# STANISLAUS COUNTY Community Development Block Grant (CDBG) Program Consortium Annual Action Plan Fiscal Year 2007-2008



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#### ity Consortium

# First Program Year 2007-2008 Action Plan

#### CENTRAI

#### **Executive Summary**

Stanislaus County will be entering its sixth year as an Entitlement Jurisdiction for Community Development Block Grant (CDBG) funds and the fourth year as a recipient of Emergency Shelter Grant (ESG) funds. For Fiscal-Year 2007-2008, these grant amounts are approximately:

CDBG

\$2,545,900

ESG

\$ 109,700

TOTAL

\$2,655,600

The County is part of a six-member CDBG/ESG consortium that includes the cities of Ceres, Newman, Oakdale, Patterson, and Waterford.

#### **OBJECTIVES:**

There are three specific goals of the Federal Community Development Block Grant. They are:

- 1. Provide decent housing;
- 2. Provide a suitable living environment; and,
- 3. Expand economic opportunities

The Annual Action Plan has been developed to assist the six participating jurisdictions achieve these three goals. The overriding consideration that is required of the CDBG and ESG programs is to benefit those members of the population that meet the definition of Targeted Income. A Targeted Income person is one who earns 80% or less of the median area income. In 2006, the median area income in Stanislaus County for one person was \$30,450. Additionally, if a project benefits a neighborhood or community, at least 51% of the population within that geographic boundary must be within the Targeted Income Group.

There is a need in the County, as well as in Oakdale, Patterson, Ceres, Newman, and Waterford for new or rehabilitated community infrastructure. From sidewalks and storm drainage to community facilities, the lack of these improvements does not promote safe and healthy communities, which in turn negatively impacts quality of life.

Further, there are opportunities for the County and the cities to fund non-profit agencies that provide public services to the community. Staff has received and reviewed twenty (20) CDBG and Six (6) ESG competitive applications to obtain funds for the public service and emergency shelter components of the programs, respectively. The Board of Supervisors is presented the eligible applicants for partial or full funding based on scoring recommendations made by a review panel that consisted of four representatives from CDBG Consortia participating cities, a representative from the County Behavioral Health and Recovery Services Department, and a representative from the County Chief Executive Office. Although federal guidelines permit a grantee to budget 15% of its allocation to public service programs, staff recommended that \$255,340, or ten percent, be set-aside for

this purpose. This allows each participating jurisdiction within the consortia flexibility to consider a public service activity independently. Therefore, 5% or \$12,767.00 could be reallocated from the overall budget to accommodate such a need.

# Community Development Block Grant Public Service Applications recommended for funding are:

Catholic Charities Children's Crisis Center Haven Women's Center Parent Resource Center Salida Union School District The Arc of Stanislaus County Westside Food Pantry

Center for Human Services DRAIL Healthy Aging Red Cross of Stanislaus County Second Harvest We Care

#### **Emergency Shelter Grant Applications recommended for funding are:**

Community Housing and Shelter Services (CHSS)
Children Crisis Center
Inter-Faith Ministries
The Salvation Army

Where appropriate and possible, other sources of funding are used for project needs identified in the Annual Action Plan. They are:

Redevelopment housing set-aside
California Housing Finance Agency funding
Home Investment Partnership Program
Grant and loan programs for infrastructure
Section 8 funds
Low Income Housing Tax Credits (LIHTC)
McKinney-Vento Homeless Assistance Act funding

The CDBG allocation from HUD for FY 2007-08 is \$2,545,900. The sub-allocation of those funds is illustrated below and was approved by all of the participating jurisdictions.

#### CDBG ALLOCATION

	Base Allocation	Poverty & Population	Administration	Total
Oakdale	\$25,000	\$240,663		\$265,663
Patterson	\$25,000	\$241,901		\$266,901
Ceres	\$25,000	\$289,089		\$314,089
Newman	\$25,000	\$261,148		\$286,148
Waterford	\$25,000	\$245,104		\$270,104
Public Services	\$255,340			\$255,340
Workforce Development	\$20,000			\$20,000
Fair Housing	\$40,000			\$40,000
County	\$25,000	\$463,135	\$339,520	\$827,655
Total	\$465,340	\$1,741,040	\$339,520	\$2,545,900.00

#### **Action Plan:**

Stanislaus County and the Cities of Ceres, Newman, Oakdale, Patterson, and Waterford identified their CDBG program area through several combined methods. For the development of the Annual Action Plan, the participating jurisdictions used population information derived from the U.S. Census regarding median household income, housing tenure, housing occupancy, disability status, employment status and poverty status. Information was also compiled from the County's Continuum of Care annual report, recently state-certified Housing Elements (2003), and California State Department of Finance reports. The target areas for the County and the Cities of Ceres, Newman, Oakdale, Patterson, and Waterford are the very low, low and moderate-income areas of the jurisdictions. Although funds are used for all residents of the Consortium's participating jurisdictions, priority is given to programs and projects in the target areas.

The following represents the activities to be undertaken by the participating jurisdictions using funds from their respective allocations:

**Stanislaus County** will complete predevelopment of the Empire Storm Drain Infrastructure. Stanislaus County staff provides administration services to each of the consortia members, as well as to its own programs and projects. The federal Housing and Urban Development Department recognizes Stanislaus County as the sole grantee for the entitled Community Development Block Grant. Accordingly, staff is responsible for the receipt and expenditure of funds, environmental documentation for projects and eligibility determination of programs and those persons accessing the services of the programs.

The City of Oakdale will be conducting housing rehabilitation, construct infrastructure improvements (this project will take place along California Avenue generally bounded by Poplar Avenue to the north, and West F Street to the south), and a first time homebuyer program with down payment assistance loans of up to \$98,000 to first time homebuyers who are at or below 80% of MAI.

The City of Patterson will undertake predevelopment and construction of infrastructure improvements replacement of approximately 1500 linear feet of 6 and 8-inch sewer main. In many of the older parts of Patterson, the pipes were made of clay. Over time, these pipes have worn away and in many areas only the form of the pipe still exists. In other areas, the pipes are cracking or joints are offset that, in turn, allows roots to grow into the pipe, blocking the transfer of sewage and creating a health concern. The city will continue to offer and administer its first time homebuyers program to assist low and very-low income households for assistance in purchasing a home. This secondary loan provides up to \$100,000 for the down payment and closing costs associated with purchasing a home.

**The City of Ceres** will begin construction of an infrastructure project in the eligible residential area of Richland Avenue that includes the installation of curb, gutter, sidewalk, matching pavement, and street lighting in the low-moderate income eligible area.

The city will continue to offer and administer its first time homebuyers program to assist low and very-low income households for assistance in purchasing a home. This secondary loan provides up to \$100,000 for the down payment and closing costs associated with purchasing a home.

The City of Newman will complete construction of its infrastructure project in the eligible residential area east of HWY 33, Inyo Avenue (south), T Street (west), Yolo Street (north) and R Street, complete rehabilitation of unsafe playground equipment and facilities in Pioneer Park, and provide sidewalk and ADA ramp infrastructure improvements in the low-moderate income eligible area.

**The City of Waterford** will complete construction of a sewer infrastructure project in the eligible residential area generally bounded by Yosemite Blvd., Tim Bell Road, Bonnie Brae Ave., Oden Drive, Summers Street, Rose Way, Kadota Avenue, and N. Western Avenue.

This will be the fourth year that Stanislaus County has received Emergency Shelter Grant funds. This year the grant award is \$109,700. The dedicated use, per federal guidelines, is to provide shelter opportunities for the homeless. Projects can include property acquisition, rehabilitation, homeless prevention programs, essential services directly related to the homeless population, and operations.

There are several agencies that offer services to the homeless population. These agencies were invited to prepare and submit competitive applications for programs and projects that are specifically developed for the benefit of the homeless population and six of the applicants were funded for their proposed projects.

Programs identified in the applications include the rehabilitation of existing facilities for homeless shelter (Redwood Family Center), shelter during inclement weather (e.g. Salvation Army), and the shelter of homeless children (e.g. Children's Crisis Center - Guardian House).

#### **Managing the Process**

Stanislaus County has designated its Planning and Community Development Department, which administers the programs on its behalf, to also serve as the lead agency for overseeing the development of the Consolidated Plan/Annual Action Plan. The Annual Action Plan was prepared by staff from the following Planning and Community Development Department's divisions: Redevelopment Agency and CDBG Program Division, with the assistance of staff from the Cities of Ceres, Newman, Oakdale, Patterson, and Waterford. Staff from the following County departments also played a key role in the development of the Con Plan: Chief Executive Office (CEO), Health Services Agency (HSA), Community Services Agency (CSA), Behavioral Health and Recovery services (BHRS), as well as staff from the Housing Authority of the County of Stanislaus. The Housing and Support Services Collaborative of Stanislaus County (which includes representatives from countywide service providers) also provided a significant amount of input.

Relationships with the above mentioned resource providers consisted of written contacts, phone interviews, electronic information transfers, and face-to-face meetings, both public and informal, with both public and private sector agencies and service providers. The former were generally utilized to generate data and update previously provided information. The latter, generally taking the form of informal meetings between staff and occasional formal public hearings, were used to review draft documents and receive public comments on those documents, respectively.

The Stanislaus County Planning and Community Development Department, as administrator of the Stanislaus County CDBG Consortium, coordinates and consults with other program providers, local, state and federal government entities, non-profit and for-profit agencies and business, professional organizations, interest groups, and other parties interested in the implementation of Federal programs.

Specifically, they are: Housing Authority, Health Services Agency, Community Services Agency, Behavioral Health and Recovery Services (County); Housing and Community Development (State); USDA/Rural Development, Economic Development Administration, HUD (Federal); California Coalition for Affordable Housing (non-profit); Habitat for Humanity, Stanislaus County Affordable Housing Corporation (STANCO), and Self-Help Enterprises, SHE (non-profit).

Stanislaus County will participate in regularly scheduled meetings with the cities of Modesto and Turlock to coordinate any CDBG, HOME and ESG funded activity that may be of benefit to each of the separate entitlement communities within Stanislaus County. Further, quarterly meetings will be held between the participating jurisdictions of the CDBG consortium. This will assure that the activities outlined in the Action Plan are given the fullest attention for design and implementation or construction.

The Stanislaus County CDBG Consortium will maintain its membership and active involvement in the Housing and Support Services Collaborative to continue outreach and information sharing with other county agencies serving similar clientele.

#### **Citizen Participation**

Citizen Participation (CP) is an integral part of the planning and implementation processes for the Community Development Block Grant (CDBG) and the Emergency Shelter Grant (ESG) Program, pursuant to the rules and regulations governing administration of the programs. In their attempt to assure adequate opportunity for participation by program beneficiaries and the general public, the County Board of Supervisors have prescribed Consolidated Plan (Plan) pre-submission, Plan amendment, grantee performance, subrecipient monitoring and record maintenance requirements. The Stanislaus County CDBG Consortium not only complies with Federal regulations, but also wishes to insure that all residents of the participating jurisdictions, and principally families with low or moderate incomes, have the opportunity to participate in the needs identification and strategy formulation process for these programs. This CP Plan outlines the steps developed by the County to insure compliance with federal regulations governing implementation of the two federal programs administered by the County Planning and Community Development Department, and meet their mandate to involve local residents in the planning and implementation of related projects and programs. All required elements are contained herein including: encouragement of citizen participation; information to be provided (including specific information regarding public hearings and Plan amendments); access to records; technical assistance; and comments and complaints.

The CP process involves: scheduling, publicizing and conducting meetings and public hearings; providing technical assistance to applicants and other interested persons/groups; and maintaining accurate and current information regarding the CDBG and ESG program which is available to citizens upon request.

The County Planning and Community Development Department's citizen participation efforts have evolved over the years as a result of the community's changing needs, local program changes and changing federal regulations. However, one constant has been the desire to provide a consistent, efficient and effective level of service to the public, which exceeds regulatory compliance and conveys a commitment to understanding community needs and encouraging the involvement of all interested individuals and organizations throughout the participating jurisdictions, especially those who may be directly affected by the programs.

In order to elicit public participation in the preparation of the Draft Annual Action Plan, public notices were published defining the development process and how persons, agencies and interested groups could participate. This year the County was able to post announcements regarding the CDBG program on the Planning and Community Development internet homepage, which facilitated the receipt of citizen input online. A series of public meetings was held in February/March 2007 to discuss the preparation of the Draft Annual Action Plan. That series included:

JURISDICTION	DATE	TIME	LOCATION
Stanislaus County	February 12, 2007	6:00 pm	1010 10 <sup>th</sup> St. Modesto
City of Patterson	February 15, 2007	6:00 pm	1 Plaza Patterson
City of Newman	February 16, 2007	5:30 pm	1200 Main St. Newman

City of Ceres	February 20, 2007	4:30 pm	2321 E. Whitmore Ceres
City of Waterford	February 22, 2007	5:30 pm	540 C St. Waterford
City of Oakdale	February 26, 2007	6:00 pm	122 S. 2 <sup>nd</sup> Ave. Oakdale
	<u>Munici</u>	oal Advisory Co	uncils
Hickman	February 1, 2007	7:00 pm	Hickman
Denair	February 6, 2007	7:00 pm	3756 Alameda, Denair
Salida	February 8, 2007	7:00 pm	4835 Sisk Rd, Salida
South Modesto	February 28, 2007	6:00 pm	3800 Cornucopia Way, Modesto
Empire	March 12, 2007	7:00 pm	18 S. Abbie, Empire
Keves	March 15, 2007	7:00 pm	5463 7 <sup>th</sup> St. Keyes

Public meetings were held in each of the participating Urban County jurisdictions to develop and prepare the Annual Action Plan and to ensure proposed activity consistency with the Consolidated Plan.

The availability of the Draft Annual Action Plan for public review and comment was noticed through newspaper announcements. The Draft Annual Action Plan was released for its official public review and comment on March 20, 2007.

Copies of the Draft Annual Action Plan were made available for review at the County Planning and Community Development Department, the Planning Departments of the Cities of Ceres, Newman, Oakdale, Patterson, and Waterford and the Stanislaus County Main Library. The Plans will also be taken to the city councils of Ceres, Oakdale, Newman, Patterson, and Waterford for review. A final public hearing will be held May 1, 2007 before the Stanislaus County Board of Supervisors.

The Planning and Community Development Department considered all oral and written public comments received in preparing and revising the Plan. A summary of responses to public comments on the review of the Draft Annual Action Plan is included herein and entitled Summary of Citizen Comments. Opportunities were facilitated and or to the extent it was received, Testimony given during public meetings and hearings from interested persons and agencies was considered during the Plan's revision process.

#### **Summary of Citizen Comments**

Throughout the months of February and March, CDBG staff conducted several public meetings throughout the unincorporated areas and at least one general meeting in each of the CDBG participating jurisdictions.

Twelve (12) general meetings were held to discuss needs within the consortia areas and CDBG participating jurisdictions. An evening presentation was conducted on February  $12^{th}$ , 2007 at the County Administration Building.

#### Stanislaus County

On February 12<sup>th</sup>, 2007 the County held an evening meeting for the public regarding the content of the Annual Action Plan. Program and project priorities for the jurisdictions for the upcoming fiscal year were discussed. No comments were received.

#### City of Ceres

A community meeting was held on February 20, 2007 at the Ceres Resource Center. Suggestions were reviewed by the public for the improvements for local infrastructure project that would benefit the jurisdiction. Comments were also made suggesting future (consideration for FY 2008-2009) storm drain and sidewalk improvements in the neighborhood generally bounded by Tamarak, Spruce, and Hemlock Avenues.

#### **City of Newman**

A community meeting was held on February 16, 2007. No Comments were received.

#### City of Oakdale

A community meeting was held on February 26, 2007. No Comments were received.

#### **City of Patterson**

A community meeting was held on February 15, 2007 at the Patterson City Hall. Comments were received from senior citizens of the El Solyo Village regarding concerns about the lack of transportation services for senior residents of this area. Seniors have to walk along State Highway 33, where there is no sidewalk to get to town. Residents of El Solyo proposed the construction of a sidewalk or a bridge that would meet with 3<sup>rd</sup> street, therefore eliminating the danger of walking on the side of Highway 33. Eligibility of this project area will be reviewed and if it meets the eligibility criteria of the CDBG program recommendations will be made to the City Council for consideration in FY 2008-2009. Recommendations may also be made designating a public service transportation program proposed by Catholic Charities to focus its services, among other areas, to the residents of El Solyo.

#### City of Waterford

A community meeting was held on February 22, 2007. No Comments were received.

#### Town of Denair

A community meeting was held on February 6, 2007. In general there is a need for senior related public services within the community. A map of eligible census tract areas will be provided at future meetings and a presentation will be offered at the senior mobile home park to educate residents about public service programs that are available to seniors living within the City of Denair.

#### **Town of Hickman**

A community meeting was held on February 1, 2007. No Comments were received, but a map of eligible census tract areas has been provided and will hold future meetings to create a list of eligible projects for future fiscal year consideration.

#### Town of Salida

A community meeting was held on February 8, 2007. A map of eligible census tract areas was requested to help provide a framework for consideration of eligible project areas within the community.

#### **Town of Empire**

A community meeting was held on March 12, 2007. No comments were received.

#### **South Modesto**

A community meeting was held on February 28, 2007. Several comments/concerns were voiced from Bret Harte Area residents. Two residents of this community addressed the issue of lack of sidewalks. They were very concerned about the people in general, but especially children having to walk to school on the side of the road and often times on the middle of the road due standing water caused by rain, with cars driving by at high speeds. Residents are very concerned about their children and people waking on the side of the road being struck by a passing by vehicle. The Shackelford neighborhood through a successful partnership with the County has addressed sewers, lights, and sidewalks, and looks forward to the addition of a community park in the neighborhood in the future. The representatives for the Olympic Tract/Park Lawn project area also expressed the need for a community/neighborhood park. Overtime these challenges should be addressed with a combination of funds to include, but not limited to, Redevelopment dollars.

#### West Modesto King Kennedy Collaborative

A presentation was given at a West Modesto King Kennedy Collaborative (WMKKC) meeting on March 13, 2007. Input was received from meeting attendees regarding the need for sidewalk/infrastructure along Rouse Avenue. Children have to walk to and from school along the side of the road and are at risk of being struck by a passing by vehicle. Standing water caused by rain force people to walk in the middle of the lane, creating a greater risk of being struck by a vehicle. The same concern was voiced for the Bret Harte area, which was addressed in the South Modesto community meeting, identified above.

#### Town of Keyes

A community meeting was held on March 15, 2007. A map of eligible census tract areas has been provided to assist in the development of future projects for consideration of a targeted revitalization area. A representative from the Police Activities League (P.A.L.) program requested to be notified at the time of Public Service Notice of Funding Availability Period.

#### Stanislaus County- Final Public Hearing

A final Public Hearing was held on May 1, 2007. Comments were received from the South Modesto MAC representatives. The two representatives addressed the issue of lack of sidewalks. They were very concerned about the people in general, but especially children having to walk to school on the side of the road and often times on the middle of the road due standing water caused by rain, with cars driving by at high speeds. The Shackelford neighborhood through a successful partnership with the County has addressed sewers, lights, and sidewalks. Overtime these challenges should be addressed with a combination of funds to include, but not limited to, Redevelopment dollars. Board of Supervisor members recognized that their comments are valid and that these needs apply to many of areas within the county unincorporated area, and as money becomes available it is distributed in as equitable a manner as possible. Millions of dollars have been spent within this community on infrastructure projects that include the Robertson Road Neighborhood, a project near Ceres, and the Bret Harte Neighborhood.

#### **Institutional Structure**

Stanislaus County recognizes that gaps could exist in the institutional structure of any entity that performs a service or facilitates construction of a project. To the extent that a gap exists, a strategy of the Consolidated Plan is to take action to close that gap. Example of gap closure is the effort of the Housing and Support Services Collaborative to link potential partners to successfully and fully implement a program project as well as new partnerships formed with agencies supporting the Probation Action Committee Team (P.A.C.T.).

During monitoring, agency-to-agency referrals are also tracked to verify that participants receiving services do not experience any gaps as they strive to reach their goal of independence from the need of public services within the community. The development of the HMISystem also has the potential to actively refer consumers of homeless services in a way that greatly reduces, if not eliminates, the needs of those transitioning from the streets towards permanent housing.

#### **Monitoring**

It is the County's intent to monitor all sub-recipients of HUD Program funds on a regular basis. Monitoring will occur to ensure statutory and regulatory requirements are being met and that information submitted to the County is accurate and complete.

An agreement will be executed with all sub-recipients which will clearly state the project scope of work, performance measurement standards, reporting requirements, draw-down

requirements, applicable federal requirements, etc. The monitoring process will emphasize on-site field visits, desk audits, open communications and assistance to sub-recipients to create a good data collection and reporting system.

Specifically, the objectives of the County's monitoring program will be to:

- Ensure that sub-recipient implements its program and its individual activities, as described in the application and the sub-recipient Agreement.
- Ensure that sub-recipient conducts its activities in a timely manner, in accordance with the schedule included in the Agreement.
- Ensure that sub-recipient charges costs to the project, which are eligible under applicable laws and CDBG regulations, and reasonable in light of the services or products delivered.
- Ensure that sub-recipient conducts activities with adequate control over program and financial performance, and reasonable in light of the services or products.
- Ensure that sub-recipient has continuing capacity to carry out the approved project, as well as other grants for which it may apply.
- Identify potential problem areas and assist the sub-recipient with applicable laws and regulations compliance.
- Assist sub-recipients in resolving compliance problems through discussion, negotiation, and the provision of technical assistance and training.
- Provide adequate follow-up measures to ensure that performance and compliance deficiencies are corrected and not repeated.
- Comply with the federal monitoring requirements of 24 CFR 570.501(b) and 24 CFR 85.40.
- Determine if any conflicts of interest exist in the operation of the CDBG program per 24 CFR 570.611.
- Ensure that required records are maintained to demonstrate compliance with applicable regulations.
- Verify that the outputs and outcomes are realized in a timely manner.
- Track grantee's progress in fulfilling its goals and objectives set forth in the Consolidated Plan, measured with established guidelines to assure that the program remains on task. Additionally, with data collected by the grantee during monitoring visits and ultimately entered into the IDIS system, this program is capable of presenting the data to defend its progression towards accomplishment of its goals and objectives set forth in The Plan. On a semi-annual basis this information is compiled and compared with the goals and objectives in the Consolidated plan. If this information reflects the accomplishments set forth in The Plan, the programs will proceed as planned. If this information falls short of the goals set forth, appropriate adjustments will be made and notification sent to the respective sub-recipients to be cognizant of their need to meet certain milestones and timeliness requirements to assure receipt of program expected funds for their respective programs.

#### **Lead-based Paint**

The CDBG staff works with the Childhood Lead Poisoning Prevention Program to provide information in the unincorporated areas and the participating jurisdictions. The Childhood Lead Poisoning Prevention Program of Stanislaus County, administered through the Public Health Department, becomes involved with lead-based poisoning when notification of an elevated screening blood level is received either from the laboratory or physician. If the blood level is 10ug/dL (micrograms per deciliter), notification is made to the family. Once a child meets the case definition, an environmental investigation is performed by a Registered Environmental Health Specialist. If possible, the potential source of lead exposure is determined.

If the source of lead exposure is related to the residential physical environment (e.g. peeling paint that indicates the presence of lead) then the Housing Rehabilitation Program may participate in the source eradication.

During the implementation of local housing rehabilitation programs, appropriate steps are taken when the presence of lead-based paint is detected. Steps include full encapsulation, complete abatement (removal), painting or spot-repair (as per HUD-sponsored abatement course). These actions are part of the overall strategy of the Consolidated Plan and will continue their implementation in activities funded by that Plan.

#### HOUSING

#### **Specific Housing Objectives**

Over the next year our consortia plans to develop a centralized one-stop facilitator for all of the Consortia's First Time Home Buyer and Rehabilitation Programs. This will assist the jurisdiction in presenting a uniform and organized approach to assisting the public towards reaching and/or maintaining the American Dream of Home Ownership.

Some of the other goals include, but are not limited to the following:

- Increase activity with lease-to-own programs as the housing environment may offer more opportunity, due to: foreclosures, lower interest rates, increased inventory of units for sale.
- Actively seek residential property for purposes of new construction or rehabilitation in partnership with Habitat for Humanity/Stanislaus

#### **Needs of Public Housing**

Assist public housing agency rehabilitate existing and search for opportunities to increase housing inventory. Credit Counseling and Home Ownership courses are also offered.

The county will also continue partnering with the agency to provide housing opportunities for migrants, homeless, special needs, lower-income families, and multiple-family construction projects.

#### **Barriers to Affordable Housing**

During the ensuing year, the Consortia will actively publicize and provide education to the public regarding the First Time Home Buyer Program as well as the Minor and Major Home Rehabilitation Programs. An Expo/Workshop in a central location to promote these programs will be scheduled.

- Deferral of public facility fees associated with growth
- Waive or defer processing fees relative to housing pledged as affordable
- Provide land acquisition funds to reduce overall cost of affordable housing production

#### HOMERSS

#### **Specific Homeless Prevention Elements**

Our aim during the coming fiscal year is to finalize approval of the Stanislaus Housing and Support Services Collaboratives'(SHSSC) 10-Year Plan to End Long-Term Homelessness with all City Councils and the County Board of Supervisors. Once this has been completed we intend to be involved in regular SHSSC and Turlock Collaborative meetings to update the plan and develop the vision of addressing the housing and support service needs of this population.

With continued funding for our Housing Rehabilitation programs, we are able to limit/reduce the amount of affordable housing units that fall into disrepair. If these units are maintained and are able to meet appropriate health and safety standards, then the families/individuals are able to maintain their housing status without having to face the prospect of homelessness.

Those that face a short-term financial crisis are able to seek assistance through agencies such as Community Housing and Support Services Collaborative, who provide rental vouchers to prevent people in jeopardy of becoming homeless within seven (7) to ten (10) days.

With the use of Emergency Shelter Grant (ESG) funds we also collaborate with Inter-Faith Ministries that assists the transition of families from homelessness to permanent housing within a 6-month cycle with over a 72% success rate.

- Faith-based community participates with human and financial resources in serving the needs of the homeless.
- Food
- Shelter
- Clothing

These resources ease the financial burden of the homeless and assist in the facilitation of achieving permanent shelter.

#### **Sources of Funds**

The following chart indicates the resources acquired through the Stanislaus Housing and Support services collaborative to assist those individuals/families directly suffering from homelessness along with those in jeopardy of becoming homeless.

CA06-510	Turlock/Modest CoC	o/Stanislaus County	Super NOFA Federal Grant
Stanislaus Commi Project	unity Assistance	SHP	\$658,575.00
Housing Authority of Stanislaus	y of the County	SPC	\$505,800.00
Community Hous of Stanislaus Cou	ing and Shelter Services	SHP	\$299,999.00

#### Stanislaus County Consortium

\ \	The Salvation Army, A California Corporation	SHP	\$300,000.00
	Housing Authority of the County of Stanislaus	SHPR	\$249,634,00
	Housing Authority of the County of Stanislaus	SPCR	\$46,440.00
	Housing Authority of the County of Stanislaus	SPCR	\$85,140.00
	Housing Authority of the County of Stanislaus	SPCR	\$133,680.00
	Housing Authority of the County of Stanislaus  Total	SPCR	\$207,840.00 <b>\$2,487,108.00</b>

The Stanislaus Housing and Supportive Service Collaborative has made the commitment to end long-term homelessness in Stanislaus County by 2014.

The Ten Year Vision consists of the following elements:

- Create enough permanent supportive housing and services to meet the need.
- Create support systems to help long-term homeless people secure and maintain their housing and employment.
- Establish a mechanism to ensure accountability for the performance and outcomes of the Collaborative's efforts to develop new supportive housing and provide high quality support systems.

The Collaborative has identified several populations to be targeted in the first five years of its Ten Year Plan. They include: homeless youth, chronically homeless adults, chronically homeless families, at risk seniors, at risk families, those exiting the corrections systems, and those existing foster care. By targeting homeless youth and "at risk" populations and diverting those exiting the corrections and foster care systems, the Collaborative plans to prevent these vulnerable populations from spiraling into long-term homelessness which studies have shown is likely to occur.

#### **EMERGENCY SHELTER GRANT (ESG) PROGRAM**

#### A. Overview of Program

Funds have been set aside for this program to allow non-profit agencies and service providers to apply through a competitive process for an Emergency Shelter Program (ESG) grant. The maximum amount that an eligible agency may apply for is outlined in this section. The public service grant is for a service provider to provide a service to eligible Stanislaus County Consortia residents. The activities funded must meet the regulations outlined in this section. Applications were released in early January 2007 and were due for submittal February 9, 2007. Submitted applications were received by the County Planning and Community Development department and reviewed and scored by a review team, consisting of a representative from the Cities of Oakdale, Patterson, Ceres, Newman, the Stanislaus County Chief Executive Office, and a representative from the Housing and

Supportive Services of Stanislaus County Collaborative. This year's grant applicants presented to the grant review team on February 13<sup>th</sup> and 14<sup>th</sup>.

The purpose of ESG funds is to help operate these homeless facilities, to provide essential support services to residents, and to help prevent families or individuals who are immediately at-risk of becoming homeless. HUD has established a list of eligible activities, and allows the local grantee, to select activities in accordance with its own community development objectives.

Designed as a first step in a Continuum of Care plan of assistance, the ESG program strives to address the immediate needs of persons residing on the street and needing emergency shelter and transitional housing, as well as assisting their movement to independent living through permanent housing and permanent supportive housing.

ESG serves a variety of homeless persons and families, with no restrictions. Any targeting of ESG funds results from local service and shelter providers design of programs to address the specific needs of various homeless subpopulations, such as victims of domestic violence, youth, mentally ill, veterans, or families with children. A portion of ESG may be used to serve persons at imminent risk of losing their permanent housing and becoming homeless.

While flexible in terms of serving all homeless subpopulations and preventing persons from becoming homeless, the ESG program legislation and implementing regulations do limit the types of activities and amounts of funds that can be spent on different activities.

The following are the five categories of eligible activities and their regulations:

- Renovation, Rehabilitation, Conversion
- Essential Services
- Operational Costs
- Homeless Prevention Activities
- Administrative Costs

# ESSENTIAL SERVICES (24 CFR 576.3) \$32,910: Eligible Activities

ESG funds can be used to provide essential services to address the needs of homeless persons living on the street, in emergency shelters or in transitional housing. Essential services can address the immediate needs of the homeless, and can help enable homeless persons become more independent and to secure permanent housing.

Essential services includes services concerned with employment, health, drug abuse, and education and may include (but are not limited to):

- Assistance in obtaining permanent housing;
- Medical and psychological counseling and supervision;
- Employment counseling;
- Nutritional counseling;
- Substance abuse treatment and counseling;
- Assistance in obtaining other Federal, State and local assistance including mental health benefits; employment counseling; medical assistance; Veteran's benefits; and income support assistance such as supplemental Security Income benefits, Aid to Families with Dependent Children, General Assistance, and Food Stamps.
- Other services such as child care, transportation, job placement and job training;
   and
- Staff salaries necessary to provide the above services.

#### **Use Restrictions**

Grant amounts awarded may be used to provide an essential service...only if the service is a NEW service, or is a quantifiable increase in the level of a service above that which the unit of general local government, (or in case of a non-profit organization, the unit of general local government in which the proposed activities are to be located).

#### **Ineligible Activities**

- Existing services and staff (services must be new or provided to more persons)
- Salary of case management supervisor when not working directly on participant issues
- Advocacy, planning and organizational capacity building
- Staff recruitment and training
- Transportation costs not directly associated with service delivery

#### HOMELESS PREVENTION (24 CFR 576.21 (a)(4)) \$32,910:

Eligible Activities

Efforts to prevent homelessness such as financial assistance to families who have received eviction notices or notices of termination of utility services if:

- the inability of the family to make the required payments is due to a sudden reduction in income;
- the assistance is necessary to avoid the eviction or termination of services;
- there is a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and
- the assistance will not supplant funding for pre-existing homelessness prevention activities from other sources.

Homeless prevention means activities or programs designed to prevent the incidence of homelessness, including (but not limited to):

- Short-term subsidies to defray rent and utility payments for families that have received eviction or utility termination notices;
- Security deposits or first month's rent to permit a homeless family to move into its own apartment;
- Mediation programs for landlord-tenant disputes;
- Legal services programs for the representation of indigent tenants in eviction proceedings;
- Payments to prevent foreclosure on a home; and
- Other programs and activities designed to prevent the incidence of homelessness.

#### **Ineligible Activities**

Ineligible homeless prevention costs include:

- Housing/services to homeless persons
- Direct payments to individuals
- Long-term assistance beyond six (6) months
- Application for Federal Funds

#### **OPERATIONAL COSTS (24 CFR 576.3) \$10,970:**

#### **Eligible Activities**

The term "operating costs" means expenses incurred by a recipient that include:

- Maintenance, operation, insurance, utilities and furnishings, except that not more than 10% of the amount of any grant received under this subtitle may be used for costs of staff.
- Payment for shelter maintenance, operation, rent, repairs, security, fuel, equipment, insurance, utilities, food and furnishings. Not more than 10% of the grant amount may be used for costs of staff.

#### **Ineligible Activities**

Ineligible operating or maintenance costs include:

- Recruitment or on-going training of staff
- Depreciation
- Costs associated with the organization rather than the supportive housing project (advertisements, pamphlets about organization, surveys, etc)
- Staff training, entertainment, conferences or retreats
- Public relations or fund raising
- Bad debts/late fees
- Mortgage payments

# RENOVATION, REHABILITATION & CONVERSION (24 CFR 576.3) \$27,425: Eligible Activities

- <u>Conversion-</u> means a change in the use of a building to an emergency shelter for the homeless under this part, where the cost of conversion and any rehabilitation costs exceed 75% of the value of the building after conversion.
- <u>Major Rehabilitation-</u> means rehabilitation costs in excess of 75% of the value of building before renovation.
- Renovation- means rehabilitation that involves costs of 75% or less of the value of the building before renovation.

#### **Use Restrictions**

<u>Certifications on Use of Assistance 42 U.S.C.11375 (c)-</u> Each grantee shall certify that it will:

- in the case of assistance involving major rehabilitation or conversion, maintain any building for which assistance is used under this part as a shelter for homeless individuals and families for not less than a 10 year period; or
- in the case of assistance involving rehabilitation (other than major rehabilitation and conversion), maintain any building for which assistance is used under this part as a shelter for homeless individuals and families for not less than a 3-year period.
- Any renovation carried out with assistance under this subtitle shall be sufficient to ensure that the building involved is safe and sanitary.
- Major rehabilitation and conversion are defined as the costs of improvement that are more than 75% of the value of the building before rehabilitation. A shelter receiving this level of improvement must be used as a shelter for at least 10 years.
- Renovation is defined as the costs of improvements that are less than 75% of the value of the building before rehabilitation. A shelter receiving this level of improvement must be used as a shelter for at least 3 years.

<u>Lead-Based Paint Requirements-</u> Any ESG housing or services sites regularly frequented by children less than 6 years of age are encouraged to use ESG funds for testing and may use ESG rehabilitation funds for necessary abatement procedures.

#### Ineligible Activities

Ineligible rehabilitation or renovation costs include:

- Acquisition of real property
- New construction
- Property clearance or demolition
- Rehabilitation administration
- Staff training or fund raising activities associated with rehabilitation
- Building maintenance and repairs

### ADMINISTRATIVE COSTS (24 CFR 576.21(A)(5)) \$5,485: Eligible Activities

Eligible administrative costs include staff to operate the program, preparation, progress reports, audits, and monitoring of recipients.

#### **Ineligible Activities**

- Preparation of Consolidated Plan and other application submissions
- Conferences or training in professional fields such as accounting and management
- Salary of organization's executive director (except to the extent they carry out eligible administrative functions)

To be eligible for ESG assistance, a public service project must serve very low, and low income households. These income categories are defined as those at or below 80% of the area median income.

HUD March 2007

NUMBER IN HOUSEHOLD	VERY LOW INCOME	LOW INCOME
1	\$19,600	\$31,150
2	\$22,400	\$35,850
3	\$25,200	\$40,300
4	\$28,000	\$44,800
5	\$30,250	\$48,400
6	\$32,500	\$51,950
7	\$34,700	\$55,550
8	\$36,950	\$59,150

#### B. Emergency Shelter Grant Program Grantees

#### 2007-2008 Emergency Shelter Grants

#### Interfaith Ministries, Redwood Family Center, \$27,210

While at Redwood, residents are provided food, shelter and the tools and training necessary to remain clean and sober and secure permanent housing. Allowing women to stay at the facility up to 24 months gives them the opportunity to address obstacle that contribute to homelessness such as drug and alcohol addiction, lack of education and poor job skills. This program will serve approximately 140 women and children through the Renovation of the Redwood Family Center by installing a roof mounted heating and air conditioning unit on the child care building. Currently there is no cooling or heating system. The addition of air conditioning and heating would add to the comfort, safety, and health of the children, staff, and volunteers.

#### Interfaith Ministries, Santa Fe Project, \$24,656

Interfaith Ministries has a lease agreement with the Housing Authority of Stanislaus County for 22 units at their Migrant Camp in Empire, CA. These funds will be to continue and expand a housing program for eligible families during inclement weather months. This program also counsels and provides services that lead to permanent housing and employment opportunity. The program will serve 135 individuals, with 35 families finding permanent housing at the end of their stay at the shelter.

# <u>Community Housing and Shelter Services, Homeless Prevention-Supportive Services,</u> \$15,139

The purpose of the program will be to address the specific needs of the homeless as well as households that are at risk of losing their permanent housing and becoming homeless. The program projects that 1080 individuals in 360 household wil receive housing counseling, up to 72 individuals in 24 households will receive assistance with move-in rent or rent to avoid eviction, therefore preventing homelessness. Up to 252 individuals in 84 families will receive short-term emergency shelter.

#### Children's Crisis Center, Guardian House Shelter Program \$10,000.

This agency is requesting ESG funds to add a new component to Guardian House Shelter Services with the addition of a Children's Therapeutic Play Program. This new program will benefit homeless abused, neglected children, and at-risk children living within the city of Oakdale. The shelter provides the essential services of respite childcare, emergency shelter, case management, 24-hour crisis hot-line, and family education.

#### The Salvation Army, Winter Shelter Roof Repair, \$27,210

The Salvation Army is requesting funds for the purpose of assisting in the cost of replacing the roof of its 9<sup>th</sup> Street Homeless Shelter facility. The Salvation Army will undertake major renovation of the shelter, which consists of two phases. Upon completion of Phase One, The Salvation Army will have a state of the art Winter Emergency Shelter including shower and laundry facilities. When Phase Two is complete, a transitional housing element will be added providing approximately 25 transitional beds for single adult homeless persons. Before either Phase can begin, the facility roof must be replaced.

#### **Discharge Policy**

On July  $10^{th}$ , 2001 the Board of Supervisors of Stanislaus County unanimously designated the Stanislaus Housing and Support Services Collaborative (SHSSC) as the planning and

coordinating body for homeless programs and services in Stanislaus County; directed staff to seek Modesto City Council Support for similar designation to facilitate the collaboration of efforts on behalf of the homeless (City of Modesto adopted June 26, 2001 by Resolution No. 2001-313); directed staff to explore the feasibility of a collaborative effort to fund a grant writing capability to be shared by the City/County and Housing Authority; requested SHSSC submit bylaws for Board review; and, designated the SHSSC to develop and implement the Continuum of Care Plan as required by HUD – BHRS and CSA 2001-530.

As part of the designation to develop and implement the Continuum of Care Plan includes the following discharge policy (excerpt from the SHSSC Continuum of Care Plan):

The Stanislaus County Continuum of Care is working with the appropriate local and State government agencies to ensure that discharge policies for persons leaving publicly funded institutions or systems of care are being developed and implemented to prevent the discharge of persons from immediately resulting in homelessness. The following illustrates policies currently in place within the County and future plans to improve the discharge planning process.

Through contracted services provided by Telecare SHOP, (the county's primary agency which conducts extensive outreach to chronically homeless mentally ill individuals), Behavioral Health and Recovery Services provides treatment and discharge planning to adults with serious mental illness and/or chemical addiction. SHOP has extensive policies in place to ensure that patients and mentally ill inmates are not discharged into inappropriate settings such as shelters, the streets, hotels or motels. Discharge planning is multidisciplinary and begins at the time of admission to the facility. SHOP has the primary responsibility of discharge planning for each patient. The discharge planning team involves the patient, family, quardians, and community agencies to develop a plan for a living situation, medication, vocational, social and educational needs; community based follow-up; support services; and meaningful life activities. The discharge planning process also includes looking at a supportive or protective environment if the patient is expected to be incapable of independent living. SHOP case managers work directly with BHRS to arrange appropriate follow-up services, including housing, for the patients who are discharged. Information regarding the patient's diagnosis and medications and other pertinent information is forwarded to the various agencies that will be providing the follow-up services To ensure that discharges do not result in homelessness, the SCHSSC works closely with SHOP to ensure current policies are effective.

Appropriate discharge settings include nursing homes, basic care facilities, adult foster care, and independent living. SHOP assists individuals in completing applications for this and other mainstream resources such as Social Security prior to the patient's discharge. In addition, the Housing Authority will be collaborating with BHRS to provide Section 8 Vouchers for persons who are homeless and being discharged from institutions, hospitals, or jail facilities.

In Stanislaus County, Social Service Agencies are responsible for ensuring that children and youth in foster care settings are returned to their families or to settings other than homeless circumstances. During fiscal year 03-04 a planning group comprised of mental health representatives and the members of the SCHSSC who provide transitional and permanent supportive housing to youth out of foster care or other children's systems of care developed a comprehensive policy designed to decrease discharges to McKinney Vento beds, emergency shelters, psychiatric hospitalization and homelessness. In October 2003, the Stanislaus County Youth Focus Group developed a report, which is now utilized statewide to address the needs of youth exiting children's systems of care. With direction from the focus group, the needs assessment was conducted by consumer representatives

within the mental health system who interviewed and evaluated their young adult peers and developed an assessment of gaps in services.

The Stanislaus County Community Services Agency in collaboration with supportive service and housing providers have developed programs to prevent youth from entering McKinney-Vento emergency shelter systems. Presently, there are four government and community-based projects designed to provide transitional to permanent supportive housing. Supportive services through Child Welfare includes an after care social worker who connects the youth with services such as access to mainstream resources, education, financial, vocational, and mental health/substance abuse services. Three district transitional and permanent supportive housing service programs are offered for young adults, and young families through age 24, and one program is specifically designed for youth 17 to 19 years of age.

The CA Department of Corrections (CDC) recently developed the Police and Corrections Team Program (PACT), which is required within each parole district statewide. This program, also referred to as Community Policing, is a nationally recognized partnership between law enforcement and local service providers to ensure that parolees are provided with a connection to adequate supportive and housing services to improve their success in reintegrating into the community. In June 2004, members of the SCHSSC met with the coordinators of this program to discuss their role in the CoC Plan. Members of the PACT team expressed an interest in working with the collaborative in developing a comprehensive discharge plan for parolees residing in Stanislaus County. On July 8, 2004, the PACT team held it's first meeting, which consisted of local law enforcement, parolees, and local service providing agencies.

The SCHSSC envisions the discharge planning process beginning at the time of admission to a facility. However this is not occurring consistently. Currently, discharge arrangements are made only for the inmates with serious mental illness or who have HIV/AIDS who choose to receive services from the local Human Service Centers. The Department of Corrections does make arrangements with the local Probation and Parole (P&P) when necessary. P&P does monitor the living situations of the parolees on an on-going basis. Because of the nature of some of the persons' crimes (felonies, sexual offenders, drug related charges), persons exiting the penitentiary are faced with limited discharge options, which may include shelters.

The SCHSSC is working with the coordinators of PACT and is in the process of establishing a Discharge Planning Subcommittee. This sub-committee will attend county and possibly statewide discharge policy planning meetings, make connections with local and state government agencies regarding their discharge planning policies and processes, report their findings to the SCHSSC, and monitor the discharge processes to ensure that discharges do not result in homelessness.

This committee will comprise of members who represent the mentally ill, substance abusers, youth out of foster care, disabled and parolee populations and who can identify the specific needs within each sub-population in order to create better discharge plans for these populations. This committee will be "liaison" to work with the SCHSSC, Department of Corrections (DOC), local law enforcement, service providers, housing providers and other institutions or facilities. With its diverse membership representing special populations, this committee will be well positioned to serve as an "overseer" for discharge planning. If necessary, this committee can, as a countywide advocacy group, raise concerns regarding discharge planning to the appropriate agencies. The liaison will also report to the SCHSSC any issues of concern to determine whether there are on-going issues surrounding inappropriate discharges.

Other actions, which will be undertaken, include contacting the DOC to discuss the importance of initiating discharge planning at the time of admissions and the need to hold regular meetings of aftercare coordinators regarding the discharge of inmates with serious mental illnesses and chemical dependency needs into the community. Based on the results of this research, the SCHSSC will consider the needs in developing permanent supportive housing for inmates released from facility settings.

The Stanislaus County Community Development Block Grant Program (CDBG), in response to the federal requirement that all Annual Action Plans have a policy that relates to potential homelessness of individuals being released from local, state, or federal funded institutions (e.g. jail, prison, and foster-care program), provides for the following support for those persons.

There are a number of local service providers that are part of the Police and Corrections Team Program (P.A.C.T.) and meet twice a month to share their support services with recent parolees. Agencies participating include:

United Samaritan Foundation - meals Employment Development Dept. - employment opportunities Dept. of Motor Vehicles - identification Advancing Vibrant Communities - matches needs with providers King-Kennedy Memorial Center - educational skills People's Christian Fellowship Church - supportive living Modesto Learning Center - education AEGIS Medical Systems - addiction treatment New Hope Recovery - addiction treatment Friends Outside - job development Salvation Army - meals, rehabilitation Reformers Unanimous - faith-based Modesto Gospel Mission - meals, shelter AGAPE House - shelter, discipleship Solidarity Fellowship - shelter, sober living communities, employment opportunities Community Rehabilitation and Educational Services - shelter, recovery program for men Celebrate Recovery - recovery program Dept. of Child Support Services - Angel Tree Network Proposition 36 - drug treatment programs

To the extent that shelter services are needed, Stanislaus County will interface with the appropriate service agency to access shelter. Should there be a need that cannot be met utilizing any of the above shelter providers, Stanislaus County will utilize other agencies that are not part of PACT, but nevertheless provide shelter for the homeless and Target Income Group.

These agencies include:

We Care Community Housing and Shelter Services Interfaith Ministries

The CDBG program will interface with the Stanislaus County Community Services Agency to respond with shelter needs of those persons 'aging-out' of the Foster care system.

County Staff will also work with agencies (e.g. Behavioral Health and Recovery Services (BHRS) to develop housing projects that respond to needs beyond a stand-alone shelter (i.e. a facility that would include among other things medical services).

#### COMMUNITY DEVELOPMENT

#### **Priority Non-Housing Community Development Needs**

#### Public Improvements and Facilities

All of the participants in the Urban County are faced with either the non-existence of certain public infrastructure (e.g. sewer, sidewalks) or the deterioration of existing infrastructure caused by time, material and installation methodology used decades ago. Examples include water systems and distribution lines and community facilities. Stanislaus County has begun the design-drawing preparation for storm drainage infrastructure for the community of Empire. The sewer collection and transfer system for the Robertson Road Neighborhood has been completed and a community meeting with neighborhood residents was held in March to inform residents of the connection process as well as the option to connect under the grant/loan program offered through the county. The cities of the CDBG Consortium will also begin or continue infrastructure improvements for the low-income areas of their respective city.

Funding for public improvements and facilities can come from a variety of resources that include, but are not limited to: redevelopment agency, CDBG, USDA/RD, state infrastructure bank, and regional water board.

#### Non-Homeless Persons with Special Needs

Stanislaus County continues the implementation of a workforce development program in partnership with the Workforce Development Collaborative. The program assists low-income residents to receive training through work experience with a potential employer.

#### Public Services

The Consortium has agreed to set aside approximately 10%, or \$255,340, of the CDBG FY 2007-2008 allocation to the Stanislaus County Public Service Grant Program. Non-profits, service providers and community organizations may participate in a competitive grant process for \$20,000 grants. The Consortium also has \$109,700 available in Emergency Shelter Grant Program (ESG) funds that will also be disturbed in a competitive grant process.

Grant applications were made available in a CDBG Technical Workshop and an ESG Technical Workshop, which were held on January 10, 2007. Applications received were be reviewed and scored by a committee of six (6) representatives from the consortium, CEO office, and Behavioral Health and Recovery Services office. The scores were tallied by Community Development Block Grant program staff and recommended to the Board of Supervisors for approval. Grantee award announcements will be made on May 1, 2007 at a County Board of Supervisors public hearing. The activities funded will further the goals and objectives of the Consolidated Plan.

The 2007-08 Annual jurisdictional allocations of the CDBG Program funding is as follows:

Jurisdiction	Community Development Block Grant
Stanislaus County (includes admin.)	\$827,655
City of Ceres	\$314,089
City of Newman	\$286,148
City of Oakdale	\$265,663
City of Patterson	\$266,901
City of Waterford	\$270,104
Public Service Grant Program	\$255,340
Workforce Development	\$20,000
Fair Housing	\$40,000
Total	\$2,545,900.00

A summary of the activities to be funded by the above noted allocations follows. Specific activity information is contained in the individual activity descriptions of the Annual Action Plan submittal. The proposed CDBG Public Service Programs for the upcoming fiscal year are described in this section.

It is anticipated that all projects included in this Annual Action Plan will be implemented during this fiscal year. However, there may be some projects (e.g. extensive infrastructure) that may require funding from multiple years to complete the project. It is expected that for these projects, at least preliminary work will begin in the year in which the projects are initially funded.

#### Proposed Projects for Fiscal Year 2007-2008

#### **Stanislaus County**

• Predevelopment of the Empire Infrastructure Project in the area generally bounded by "A" Street to the West, McCoy Avenue to the North, North & South Avenue to the East, and South Avenue to the South. – Allocate \$488,135.

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#### **Affordable Housing Programs**

- The County now offers both a Minor Home Repair and Major Home Repair program, and it is projected that the programs will be able to assist 12 and 4 homeowners, respectively, over the coming fiscal year using a combination of HOME and RDA setaside for match purposes.
- In partnership with the Housing Authority of Stanislaus County (HA) the county was able to assist in the purchase of a unit that is currently being used as part of a lease-to-own program. The current participant is projected to be in the position of homeownership during this year. If successful, we will endeavor to partner with the HA again to pursue two more lease-to-own unit purchases to continue this program that offers families in the Family Self-Sufficiency program the opportunity to attain homeownership.
- The County will also continue to partner with Habitat for Humanity using HOME and RDA funds to assist in the process of site acquisition. Over the past fiscal year the County was able to assist in the purchase of 5 properties, which have now has affordable housing for 5 first-time homebuyers.

#### Fair Housing Program

• The County will be contracting with Project Sentinel during the 2007-2008 fiscal year to continue its Fair Housing Program. Funds will be used to provide fair housing information, housing counseling and tenant/landlord mediation services to residents of the unincorporated areas of Stanislaus County and the Cities of Ceres, Newman, Oakdale, Patterson and Waterford. Project Sentinel provides housing advocacy to the County Consortium's jurisdictions through community forums, town-hall meetings, and housing fairs. – Allocate \$40,000

#### Stanislaus Workforce Development Program

 One of the main goals of the program is create pathways that lead to increased skills, wages and opportunities for low-income residents, families and communities through the involvement of technology training.

CDBG funds will be used to add a new component to the program. Two additional sites that serve a new segment of the population of the County that had not been previously reached, the special needs population. Through the County's Workforce Development Collaborative there is a commitment to provide job and career development opportunities to the under-served in the community. The Targeted Technology Training Program (T3 Program) will conduct training sessions 2-3 times a week at the Redwood Family Center and Santa Fe Project. The Redwood Family Center is located in West Modesto and serves as a Clean and Sober transitional housing program for women with children. Through the utilization of the T3 Program, the Center will be able to assist its program participants develop and further their computer skills.

The second site will alternate between the Santa Fe Project located in Empire as well as site in the Airport Neighborhood. The Santa Fe Project serves as a winter shelter for women and men with children.

The T-3 Program participants will be able to acquire and further develop computer skills that will allow them to re-enter the workforce and in many cases gain a competitive edge in the field they select to enter. Approximately 60 individuals will be participating in the technology program. – Allocate \$20,000

#### Stanislaus County-CDBG Program Administration

• Stanislaus County will provide management and administration services to the County's Community Development Block Grant (CDBG) Consortium program. The funds will cover the costs of salary, publications, public notices, and other eligible costs directly related to the program. These funds will also cover administration costs incurred from administering the ESG program. – Allocate \$339,520

#### **City of Ceres**

The City of Ceres will begin construction of an infrastructure project in the eligible residential area of Richard Way to include the installation of curb, gutter, sidewalk, matching pavement, and street lighting in the low-moderate income eligible area, as well as predevelopment activities in the adjacent Richland Avenue area.

- Allocate \$282,713
- Project Administration \$31,376
   This expenditure includes costs associated management, oversight, and coordination of the related CDBG infrastructure projects.

#### City of Newman

- The City of Newman is going to complete construction of its infrastructure project in the eligible residential area east of HWY 33, Inyo Avenue (south), T Street (west), Yolo Street (north) and R Street, complete rehabilitation of unsafe playground equipment and facilities in Pioneer Park, and facilitate the construction of sidewalk and ADA ramp infrastructure in the low-moderate income eligible area. Allocate \$257,566
- Project Administration \$28,582
   This expenditure includes costs associated management, oversight, and coordination of the related CDBG infrastructure projects.

#### City of Oakdale

The City of Oakdale will be conducting housing rehabilitation, as well as construct infrastructure improvements (this project is bounded on the east by H Street from Gilbert Street to Church Avenue) Allocate - \$244,410

Along with a first time homebuyer program with down payment assistance loans of up to \$98,000 to first time homebuyers who are at or below 80% of AMI.

Project Administration - \$21,253
 This expenditure includes costs associated management, oversight, and coordination of the related CDBG infrastructure projects.

#### **Affordable Housing Programs**

The City offers a Major Home Repair program, and it is projected that the program will be able to assist 4 homeowners during the coming fiscal year using a combination of HOME and RDA funds as the local cash match.

• First Time Home buyer program- The City of Oakdale will offer down payment assistance loans of up to \$98,000 to first time homebuyers who are at or below 80% of AMI. The City plans to assist 6 homeowners with this program during the ensuing fiscal year.

#### City of Patterson

- Third Street Sewer Main Replacement
  This project includes the replacement of approximately 1500 linear feet of 6 and 8inch sewer main. In many of the older parts of Patterson, the pipes are clay. Over
  time, these pipes have deteriorated and in many areas only the form of the pipe still
  exists. In other areas, the pipes are cracking or joints are offset which allows roots
  to grow into the pipe, backing up sewage and creating health issues. Construction is
  estimated to begin October 16, 2007 and end December 15, 2007. Allocate
  \$240,210
- Project Administration \$26,690
   This expenditure includes costs associated management, oversight, and coordination of the related CDBG infrastructure projects.

#### City of Waterford

- The City of Waterford will complete construction of an infrastructure project in the eligible residential area generally bounded by Yosemite Blvd., Tim Bell Road, Bonnie Brae Ave., Oden Drive, Summers Street, Rose Way, Kadota Avenue, and N. Western Avenue.
- Pre-development of an infrastructure project near Skyline Park to include sidewalks, curbs and gutters. Allocate \$248,496.
- Project Administration \$21,608
   This expenditure includes costs associated management, oversight, and coordination of the related CDBG infrastructure projects.

# COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PUBLIC SERVICE GRANT PROGRAM

#### **Overview of Program**

The Stanislaus County Public Service Grant Program will distribute approximately \$255,340 to eligible non-profits and agencies for public service programs throughout the participating jurisdictions of the CDBG Consortium. To be eligible for Community Development Block Grant (CDBG) funds, a project must first meet one of the three National Objectives of the Community Development Block Grant Program. They are established by the U.S. Department of Housing and Urban Development (HUD). These objectives are: 1) Directly benefit low and moderate income people; 2) Prevent or eliminate slum and blight conditions; 3) Meet a particular urgent community development need. (The last objective is generally considered by HUD to mean an unusual condition posing an immediate threat to health and welfare such as an earthquake or other natural disaster.) HUD has established a list of eligible activities, and allows the local grantee, Stanislaus County, to select activities in accordance with its own community development objectives.

The County may fund non-profit organizations under the "Public Services" category. To be eligible for CDBG assistance, a public service project must serve low and moderate-income households. Low and moderate-income families are defined as those at or below 80% of the area median income.

Public services include, but are not limited to: job training, child care, drug abuse counseling and treatment, services for senior citizens, health care, recreation programs, energy conservation counseling, services for homeless persons, education programs, public safety services, and fair housing counseling.

Funds have been set aside for this program that allows non-profits and service providers to apply through a competitive process for a public service grant. The maximum amount that an eligible agency may apply for is \$20,000. The public service grant is for a service provider to provide a new or expanded service to eligible Stanislaus County Consortia residents. The activities funded must meet a CDBG national objective. Applications were released January 10, 2007 and were due February 9, 2007. Submitted applications were then received by County CDBG staff and reviewed and scored by a team consisting of a representative from the cities of Ceres, Newman, Oakdale, and Patterson, the County Chief Executive Office, and the Housing and Supportive Services Collaborative. This year's grant applicants presented their proposals to the grant review team on February 13 or 14. Below area the results of that review and are recommended for funding.

#### **Public Service Grants for Fiscal Year 2007-2008**

#### American Red Cross, Health and Safety Outreach Education, \$12,000

American Red Cross Stanislaus County chapter proposes to provide low-income residents in Ceres and unincorporated areas of Stanislaus County with access to Health and Safety Preparedness Education to help prevent and prepare for emergencies that include: 1) Life threatening emergencies in which immediate action on behalf of a bystander or family member is provided until professional or medical personal arrives. 2) Preparation of emergency due to natural (earthquake, fire, flood) or man made disaster (toxic spill, power outage, etc). The agency proposes to provide CPR/First Aid Training Sessions, Emergency Preparedness Workshops, and provide CPR/First Aid Bilingual Instructor Scholarships training to five members from the targeted community.

#### Catholic Charities, Stopping Dependent Adult and Elder Abuse, 16,300

This program will provide educational information to increase awareness of low-and moderate-income Stanislaus County elders and dependent adults that will reduce the likelihood of becoming a victim of any form of abuse, via mandated reporter trainings, outreach/presentations, and information and referrals. Indicators: 12 mandated reporter trainings, 3 new organization reporter trainings, 5 collaborative outreaches, 35 information and referrals to consumers/agencies, 10 presentations, 10 events exhibited/attended, 5,000 persons served.

#### Catholic Charities, Assisted Transportation Program, \$20,000

The Senior Self-Sufficiency program will provide direct service to Stanislaus County residents who are age 60 or older by helping them maintain their independence by providing assisted transportation to and from medical appointments and for grocery shopping. When appropriate, referrals to other more suited transportation modes will be provided. This agency is requesting funds to help finance the delivery of transportation to and from medical appointments for at risk seniors who are of low and moderate-incomes who live in Ceres, Newman, Patterson, Waterford, Oakdale, and the unincorporated areas of the county.

Catholic Charities, Workforce Development, In Home Care Professionals Training, \$20,000 Catholic Charities will provide a comprehensive training program for in-home care professionals assigned to care for elders and dependent adults. Those who complete the training will be better prepared to deliver the services needed. The training will benefit two segments of the population: 1) the under or unemployed individuals who will be prepared to deliver a satisfying service, and 2) older residents who depend on quality reliable care. The program will recruit and train 35 individuals whose incomes are 80% or less of the median income.

#### Center for Human Services, Westside Resource Center, \$20,000

The goal of this project is to provide recreation access to low-income youth (many who come from monolingual, Spanish speaking families), life skills services, social services, and outreach to the community regarding Westside Resource Center (WCA) services. The

agency anticipates serving 49 Patterson/Grayson children will participate in free/reduced cost Parks and Recreation sports programs (basketball, baseball, soccer, volleyball, swimming, etc). In addition WCA will provide life skills supportive services (employment case management, employment training, homeownership education, translations, school and community support)

Children's Crisis Center, Guardian House Children's Nutrition & Education Program, \$20,000 This unique program is the first and only one in Stanislaus County offering childcare as a method of sheltering and feeding children at risk of abuse, neglect, homelessness and exploitation. During the first year of operation the Guardian House in Oakdale provided Respite Childcare, case management, 24-hour Family LINE and Family Nurturing classes to children and their families, but also provides over 20,000 nutritious, balanced meals to more than 1,200 children. A nutrition education component will be added to the program by providing guidance and straight forward informational materials to parents in a supportive, educational manner to help them make healthy food choices, budget money, plan meals, understand portion control and encourage physical activity.

#### Disability Resource Agency for Independent Living, Assistive Technology, \$20,000

This program is requesting funds to be used for the purchase of assistive technology devices, adaptive aids, or durable medical equipment for low-income persons with disabilities that will lead to increased self-sufficiency and independent living. Services will be expanded to include the purchase of new adaptive aids and durable medical equipment. DRAIL anticipates serving 24 persons in the Oakdale, Patterson, and the unincorporated areas of Stanislaus County with outcomes showing increased independence with direct correlation to the services being provided.

#### Healthy Aging Association, Young at Heart (Senior Exercise Program), \$20,000

The purpose of the "Young at Heart" Program is to provide strength training classes and fall prevention education/outreach to seniors in the communities of Oakdale, Patterson, Westley/Grayson, Newman, and Waterford. Seniors will improve their physical fitness through exercising, improving their health and well-being. Healthy Aging anticipates serving 180 participants through Strength Training and 1020 will receive Fall Prevention and Health Education.

#### Parent Resource Center, Oakdale Young Mothers' Parenting Program, \$20,000

The purpose of the Center is to provide emotional and educational support for parents in nurturing and guiding their children through the critical first 5 years of a child's life. The PRC proposes to provide the Oakdale Young Mothers' Parenting Program to serve young inexperienced mothers and their children with critical prevention services to: 1) Ensure healthy birth outcomes 2) Prevent child abuse and neglect 3) Encourage educational and job skills development. The program anticipates serving 70 unduplicated individuals.

#### Salida Union School District, Parent-Child Reading Partners, \$18,000

The purpose of this program is to involve both parents and children with interactive learning activities designed to help kindergarten and  $1^{\rm st}$  grade students who struggle with reading. The program is composed of three 20 minute segments: The first 20 minutes parents spend with a credentialed teacher learning strategies for helping their children learn to read. Students spend the first 20 minutes with a paraprofessional on rhythm activities. The second 20 minutes parents and children work together on an assigned activity using multisensory learning devices such as LeapFrog Learning Tools. The last 20 minutes parents and children read a book together. The program will serve approximately 150 kindergarten and  $1^{\rm st}$  grade families.

#### Second Harvest, Food Assistance Program, \$20,000

The Food Assistance program interacts with non-profit charities that have a food pantry program of their own. We are currently serving 22 non-profit organizations in the Cities of

Ceres, Newman, Oakdale, Patterson, Waterford, and the unincorporated cities of Stanislaus County. Each non-profit agency visits the Food Bank to select packaged groceries, canned fruits and vegetables, cereals and breads, dairy products, meats, and fresh fruits and vegetables. Through the Food Assistance program, Second Harvest Food Bank is able to collect, store, and distribute a large quantity and diversity of food product, and in turn make these groceries available to local non-profit charities. Second Harvest Food Bank anticipates providing assistance to over 45,000 unduplicated clients at risk of going hungry in the Stanislaus County CDBG Consortium. We project that we will distribute over 575,000 pounds of supplemental groceries to these individuals and families in the Stanislaus County CDBG Consortium. This is a value of services of over \$860,000 and will provide over an estimated 380,000 meals.

Howard Training Center, The Arc of Stanislaus County, Senior Meals Programs, \$10,000 The Senior Meals Program Provide seniors 60 years and older a nutritious meal 5 days a week at congregate sites throughout the county, and delivered to the homes of seniors who are homebound. The program offers seniors appropriate nutrition education materials, linkages/referrals for additional services needed, and contact with caring staff. Funds are requested to provide approximately 4,500 additional meals for the fiscal year serving 700 low to moderate-income unduplicated seniors.

#### We Care, Emergency Cold Weather Shelter - Turlock, \$20,000

The purpose of the We Care Cold Weather Temporary Shelter is to serve a segment of the homeless population that would otherwise not be eligible for assistance from any social service agency. It is a program designed to shelter between 58-63 homeless individuals during the worst part of the winter. The program will provide 5,750 shelter nights and serve 300 unduplicated individuals at the shelter from December 1, 2007 through March 31, 2008.

#### Westside Food Pantry, Emergency Food Program, \$9,600

The Westside Food Pantry provides emergency food assistance for families out of work, single mothers unable to make ends meet, senior citizens stretching budgets to cover medical expenses and the terminally ill. The agency will also provide books to children under 13 who visit the pantry with their parents, as it has been proved to be as nourishing to the needy families as the groceries dispensed. The funds will be used for food purchases for the agency to distribute to the residents of the Westside communities and the City of Patterson.

#### Haven Women's Center, \$9,090

The program focuses on serving survivors of domestic violence and sexual assault that reside in the City of Oakdale and the City of Ceres. They receive referrals from many different agencies including community, law enforcement, medical personnel, as well as friends and family. The goal of the program is to demonstrate increased skills to prevent abuse by following through with an established action plan that they develop with a Prevention Specialist.

#### **Antipoverty Strategy**

The Stanislaus County Department of Employment and Training provides employment training through a partnership with Modesto Junior College and facilitates GED instruction. The Workforce Investment Board is cultivating relationships with area employers for entry-level employment opportunities.

 Cal-WORKS, a program implemented and administered by the Stanislaus County Community Services Agency, is designed to place former recipients of Aid to Families With Dependent Children in employment that ultimately weans the participant from public assistance. This program mandates work activity to those receiving the assistance. • Stanislaus County in partnership with the City of Modesto, Habitat for Humanity, Community Services Agency, the West Modesto King Kennedy Collaborative, Modesto Junior College has implemented a workforce development program that focuses on the building trades. The program has three components: 1.) Technical Education Component 2.) Housing Component; and 3.) Small Contractors Support Center.

The County expanded the program to include other fields by creating a new work experience program in partnership with the Department of Employment and Training. In collaboration with the West Modesto King Kennedy Collaborative the County has implemented a grass roots outreach and recruitment, case management and basic skills training within income eligible communities of County and City of Modesto residents.

The first component consists of construction and building industry curriculum and training. The training involves general education, literacy courses, employment skills and direct training experience in the construction industry. The second component is hands-on work experience for the students enrolled in the program at Habitat for Humanity construction sites and other local construction. Students are provided the opportunity to learn about plumbing, wiring and other construction skills at designated sites with experienced instructors, and other professionals of the trade. The third component, the Small Contractors Center serves as a resource center to building contractors who are interested in assistance with meeting bonding, insurance, bidding, and licensing requirements. The program as a whole has been extremely successful in placing program graduates with various companies that use building trades apprentices and professionals.

• The County of Stanislaus partners with the Alliance Worknet, which provides a wide range of employment and training services to the community through various programs under the Federal Workforce Investment Act (WIA). The Alliance is overseen by two separate entities: the Stanislaus County Board of Supervisors and the Stanislaus Economic Development and Workforce Alliance Board (Alliance). The primary function of the Alliance is to increase the readiness of the local workforce to accept employment opportunities.

The Alliance partners with Stanislaus County and its cities in fulfilling the following objectives:

- Promotion of Stanislaus County and its nine incorporated cities as a desirable location for new and expanding businesses.
- > To work with public agencies and local businesses to promote cooperation in the economic development process.
- > To assist in business retention and expansion efforts by offering programs for technical and financial assistance.

The Alliance offers these programs: Technical Assistance, Training and Education, and Loan Programs. The Alliance's Certified Development Corporation Loan Program can provide up to one million dollars for plant and equipment for local business. This corporation also offers confidential, one-on-one counseling to businesses needing assistance in a variety of areas, and a small business center that offers a wide variety of training seminars and conferences for the business community throughout the year.

The Alliance maintains a small revolving loan fund for gap financing. Typically, the Alliance will provide up to half the business financing needs while a bank provides the other half. The Alliance revolving loans are for terms of up to 7 years and are at competitive interest rates.

#### **CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing — The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

- 1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- 2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- 3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
- 4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;



- 6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- 7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** — The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 — It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Title

#### **Specific CDBG Certifications**

The Entitlement Community certifies that:

Citizen Participation — It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan — Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan - It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds - It has complied with the following criteria:

- 1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
- 2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2007, 2010 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
- 3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

#### Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws — The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws — It will comply with applicable laws, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, as assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Signature/Authorized Official

Date

Title

# LOCAL GOVERNMENT GRANTEE FY 2007-2012 EMERGENCY SHELTER GRANTS PROGRAM CERTIFICATIONS BY THE CHIEF EXECUTIVE OFFICER

I, Richard W. Robinson, Chief Executive Officer of Stanislaus County, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 CFR 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the agency will comply with:

- 1. The requirements of 24 CFR 576.53 concerning the continued use of buildings for which emergency shelter grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
- 2. The building standards requirement of 24 CFR 576.55.
- 3. The requirements of 24 CFR 576.56, concerning assurances on services and other assistance to the homeless.
- 4. The requirements of 24 CFR 576.57, other appropriate provisions of 24 CFR Part 576, and other applicable Federal laws concerning nondiscrimination and equal opportunity.
- 5. The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- 6. The requirement of 24 CFR 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- 7. The requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.
- 8. The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
- 9. The requirement that the recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 CFR 76.56.
- 10. The requirements of 24 CFR 576.57(e) are met dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58.
- 11. The requirements of 24 CFR 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of

termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the results of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; **and** (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.

- 12. The new requirement of the McKinney-Vento Act (42 USC 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that State and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such person in place of State and local resources.
- 13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under State and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the Department of Housing and Urban Development.

BV: AND MEDILI	5/1/2007
Signature	Date

Richard W. Robinson
Typed Name of Signatory

CEO

#### **ESG CERTIFICATIONS**

The Emergency Shelter Grantee certifies that:

Major rehabilitation/conversion – It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

Essential Services – Where assistance involves essential services or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other service essential for achieving independent living, and other Federal State, local, and private assistance.

Matching Funds – It will obtain matching amounts required under 24 CFR §576.71.

Confidentiality – It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

Consolidated Plan – It is following a current HUD-approved Consolidated Plan or CHAS.

Discharge Policy—It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** – It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

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#### Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance - If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs — it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Title

#### APPENDIX TO CERTIFICATIONS

#### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. <u>Drug-Free Workplace Certification</u>

- 1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
- 2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- 3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- 4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
- 5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
- 6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

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Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 21.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

### Appendix A -

- A. Stanislaus County Consortium Summary of Specific Annual Objectives for 2007-2008
- B. Summary of Specific Annual Objectives for 2007-2008 CDBG Public Service Program Grantees
- C. Summary of Specific Annual Objectives for 2007-2008 ESG Grantees