# MEMORANDUM OF UNDERSTANDING BETWEEN

# THE COUNTY OF STANISLAUS AND THE STANISLAUS COUNTY SHERIFF'S SUPERVISORS ASSOCIATION (SCSSA)

This agreement is entered into between the County of Stanislaus and the Stanislaus County Sheriff's Supervisors Association (SCSSA) representing the Sergeants Bargaining Unit.

Pursuant to the Employee relations Ordinance of the County and Section <u>3500 et seq.</u> of the Government Code, the duly authorized representatives of the County and the SCSSA, having met and conferred in good faith concerning the issues of wages, hours and terms and conditions of employment as herein set forth, declare their agreement to the provisions of this Memorandum of Understanding.

FOR THE COUNTY:	FOR SCSSA:
7/4/4	Paul Konsdorf
Vita Oldara Olaharan	Paul Konsdorf (Oct 1, 2021 13:33 PDT)
Vito Chiesa, Chairman	Paul Konsdorf, Labor Representative
Board of Supervisors	Goyette and Associates
Story	David J. Garcia David J. Garcia (Oct 1, 2021 14:09 PDT)
Jody Hayes, Chief Executive Officer	David Garcia, Labor Representative
+ 400) X	Goyette and Associates
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Tamara Thomas, Human Relations Director	Timothy Luke Schwartz, President
Chief Executive Office	Sergeant
Micky T LaBarbera	both Almal
Micky T LaBarbera (Oct 6, 2021 14:54 PDT)	Jonathan howard (Oct 1, 2021 15:00 PDT)
Micky LaBarbera, Undersheriff	Jonathan Howard, Member
Sheriff's Office	Sergeant
Keira Vink (Oct 7, 2021 13:53 PDT)	Francisco Soria (Oct 6, 2021 09:01 PDT)
Keira Vink, Senior Management Consultant	Francisco Soria, Member
Chief Executive Office	Sergeant
· Ylands Charles.  Yolanda Bearden (Oct 7, 2021 13:16 PDT)	
Yolanda Bearden, Management Consultant	
Chief Executive Office	
Carmen Alania Carmen Alania (Oct 7, 2021 12:49 PDT)	
Carmen Alania, Management Consultant	

Chief Executive Office

Brooke Freeman, Business Manager
Sheriff's Office
17 0

<u>|Iris Garcia</u> |Iris Garcia (Oct 6, 2021 11:46 PDT)

Iris Garcia, Human Resources Manger Sheriff's Office

DATE SIGNED 10/27/2021

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#### 1. EMPLOYEES COVERED

This agreement covers the wages, hours, terms and conditions of employment for the term of the agreement for those employees in the classification of Sergeant.

#### 2. TERM OF THE AGREEMENT

This agreement shall remain in full force and effect for thirty-six (36) months commencing on July 1, 2021 and ending on June 30, 2024.

#### 3. NO STRIKE

The SCSSA, its members and representatives, agree that it and they shall not engage in, authorize, sanction or support any strike, slowdown, stoppage of work, curtailment of production, concerted refusal of overtime work, refusal to operate designated equipment (provided such equipment is safe and sound) or refusal to perform customary duties.

#### 4. NON-DISCRIMINATION/FAIR REPRESENTATION

- A. The parties agree that the provisions of this agreement shall be applied without favor or discrimination based upon a protected class as described in Stanislaus County's Equal Employment Opportunity/Non-Discrimination Statement approved annually by the Board of Supervisors in compliance with Federal and State laws. The parties agree to recognize, respect, and support the County's commitment to non-discrimination in employment as set forth in the County's Equal Rights Program. The SCSSA agrees to encourage its members to assist in the implementation of that program.
- B. The SCSSA agrees to acknowledge its responsibility to fairly represent all employees in the bargaining unit without favor or discrimination based upon a protected class as described in Stanislaus County's Equal Employment Opportunity/Non-Discrimination Statement approved annually by the Board of Supervisors in compliance with Federal and State laws. The County acknowledges and agrees that it shall not discriminate or take adverse action against employees because they are members of the Union, participate in lawful Union activities or exercise their right to Union representation.

#### 5. <u>DUES DEDUCTION</u>

A. The Association shall submit a certification/list to the County that it has and will maintain individual employee authorizations for payroll deductions, signed by the individual, from whose salary or wages the deduction is to be made. The County shall rely upon written notification from the Association for any and all employee requests to cancel or change payroll deductions for agency fees (agency shop) and membership dues. The Association is responsible to obtain and maintain voluntary written authorization for agency fee (agency shop) and membership dues deductions. Dues deductions shall automatically renew unless written notice is provided by the Association. The County shall honor any changes to dues

amounts provided by the Association. The Association is not required to provide a copy of individual employee authorizations to the County unless a dispute arises about the existence or terms of the authorization.

- B. Deduction notification will be provided to County\_Payroll@stancounty.com and Recruit\_AD@stancounty.com. Changes, cancellations, etc. received by the County prior to the 15th of the month will be processed no later than the first pay period of the second month. Example: Association notifies the County on January 15th, the deductions will be effective no later than the first full pay period in March. The parties recognize there is a lapse in time due to pay period processing constraints. The County will make every effort to process earlier if possible.
- C. The County shall direct employee inquiries to cancel or change dues deductions to the Association. The County shall not make disparaging comments about the Association nor recommend another represented organization.
- D. The Association shall indemnify, defend, and hold the County harmless against any claims made and/or any suit against the County which may arise as a result of this agreement.
- E. The County shall deduct Association dues and other authorized deductions from members' paychecks upon receiving a certified list from the Association as set forth in paragraph A above, and will forward said deductions to the Association on a twice-monthly basis, following the first two paydays of each month. In the event the Association changes its dues deduction amount, the County will process the change not more than thirty (30) days after receiving notice from the Association of the change; the change will take effect at the start of the first full period following.

#### 6. SAFETY PROGRAM SUPPORT

The SCSSA agrees to support the County's safety and loss control efforts. The parties agree to strive to reduce the number of industrial injuries among employees and maintain a safe place of employment and to encourage employees to perform their work in a safe manner.

#### 7. <u>COUNTY RIGHTS</u>

Stanislaus County retains the exclusive right, except as expressly stated herein, to operate and direct the affairs of the departments of County government and all of their various aspects, including, but not limited to the right to direct the workforce; to plan, direct and control all of the operations and services of the County; to determine the methods, means, organization, and schedule by which such operations and services are to be conducted; to assign and transfer employees within the various departments; to hire, promote, suspend, demote, discharge, reprimand, and evaluate employees; to relieve employees from duty due to lack of work or other legitimate reasons set forth in the County Reduction-In-Force policy; to change or eliminate existing methods, equipment, or facilities in order to maintain or increase the efficiency of governmental operations; and to exercise complete control and discretion over its organization and the technology of performing its work. Nothing contained herein shall be construed to preclude meeting and conferring between

employer and employee regarding the practical consequences that decisions on these matters may have on wages, hours, terms, and conditions of employment.

#### 8. COMPENSATION

#### A. Salary

#### 1. Salary Increase

Effective the beginning of the first full pay period following July 1, 2021, the employees shall receive a base pay salary increase of three percent (3%).

Effective the beginning of the first full pay period following July 1, 2022, the employees shall receive a base pay salary increase of three percent (3%).

Effective the beginning of the first full pay period following July 1, 2023, the employees shall receive a base pay salary increase of three percent (3%).

#### **COVID Recovery One-Time Payment**

In recognition of current full-time County employees only who reported to work either in person or through teleworking during the period of April 1, 2020 through June 30, 2021, and if the employee remains employed with the County at the time of the issuance of the payment; the County will confer a one-time payment to eligible employees the first full pay period in September 2021. The following criteria shall be required in determining eligibility and calculating of the one-time payment:

- Employee must be a full-time employee.
- Employee's years of full-time service as of June 30, 2021 shall be used to determine years of service.
- Number of months between April 1, 2020 through June 30, 2021 a full-time employee reported to work either in person or through teleworking.
- Employees who were on a leave of absence, approved time off, and/or did not report to work during an entire month shall not be eligible to receive payment for that month.
- Employees who reported to work either in person or through teleworking at least one day in a month shall be eligible for a payment for that month.
- Employees who have left or leave County service prior to the issuance of the payment shall not be eligible to receive the payment.
- Employee's payment shall be calculated by Department payroll staff based on information contained in personnel records. Payment calculation will not be subject to the MOU grievance process. However, if an employee believes the payment they received to be incorrectly calculated, the County will review the matter with the employee and labor organization.
- One-Time Payment is subject to applicable State and Federal tax or other required deductions.
- One-Time Payment shall not be included as pensionable wages for the purposes of retirement.

COVID Recovery One-Time Payment					
Years of Service as of June 30, 2021	0-4	5-9	10-14	15-19	20+
Per Month Payment (for the period worked during April 1, 2020 to June 30, 2021)	\$50	\$75	\$100	\$125	\$150
Maximum Payment	\$750	\$1,125	\$1,500	\$1,875	\$2,250

#### B. <u>Salary Administration</u>

- 1. The parties agree that the County salary policy applied to an employee dismissed during probationary service from a position from which he or she had been demoted, promoted or transferred, shall be clarified as follows: Such an employee's salary shall be returned to the same step in the appropriate salary range as had been held prior to the promotion, demotion or transfer. Employee salary review date, if applicable, shall be adjusted by the equivalent number of months during which an employee did not hold the classification to which he or she is returning.
- 2. The parties agree to two amendments to County policy concerning salary administration. Former step advancement policy read: "Employees shall be eligible for advancement to the second step of their salary range on their anniversary date after one year of continuous service at the first step. Eligibility for advancement shall be on an annual basis thereafter until the employee reaches the maximum salary step on the appropriate range." The parties agree the second sentence is amended to read as follows: "Eligibility for advancement to subsequent salary steps shall thereafter be based on one year of satisfactory continuous service at the prior step until the employee reaches the maximum step of the appropriate salary range."

The second change concerns the County's policy of postponing the employee's anniversary date by the number of months during which an employee was granted a leave of absence without pay. The parties agree to a change in this policy so that any leave of absence without pay, or other time off without pay exceeding fifteen (15) calendar days, shall cause the employee's anniversary date to be postponed by the equivalent number of calendar days.

#### C. Salary on Promotion

The County shall continue to guarantee a five percent (5%) minimum salary increase on promotion in accordance with the existing County Code provisions. Effective July 1, 1991, an exception to this provision shall be made if the step to which the employee is promoted is six (6) cents or less per hour under the

minimum five percent (5%) increase. This provision shall apply when promotions to classifications within the bargaining unit occur or promotion to classifications assigned to bargaining units containing this provision.

#### D. On-Call Pay

Any member of this bargaining unit who is required to stay available and accessible in an on-call capacity shall be compensated for this on-call assignment.

A member is deemed "available and accessible" when:

- they can leave their residence within thirty (30) minutes and respond in a reasonable time; and
- there is no alcohol impairment.

Members of this bargaining unit shall be compensated two hundred fifty dollars (\$250.00) for each seven (7) day period of on-call assignment. If the on-call assignment is for less than a full seven (7) day period, on-call pay shall be paid on a per diem basis.

The on-call assignment must be authorized by the manager of the unit prior to the assignment.

#### E. Overtime

Overtime shall be compensated at a rate of time and one-half of the employee's regular rate of pay for all time worked beyond eighty (80) hours in a pay period. In calculating overtime eligibility, sick leave taken shall not be considered as time "worked." All other forms of paid time off in the form of vacation time, compensatory time, bereavement leave, jury duty, holiday pay, military leave, etc. shall be considered time "worked" for the purpose of overtime eligibility.

- 1. When an employee exceeds their scheduled work hours, the Department may flex the employee's remaining work schedule in the pay period for up to four (4) hours of additional work in the pay period, or for any additional work performed on a non-scheduled work day, the employer may flex the employee's remaining work schedule in the pay period by mutual agreement.
- 2. An employee ordered to work on a regular day off (RDO), a compensatory time off (CTO) day off, or approved vacation day, shall be compensated at time-and-one-half for time worked. Employees who volunteer on a RDO, CTO, or vacation day, shall be paid in accordance with Section 8.E.1.

#### F. <u>Mandatory Overtime</u>

- 1. Supervisors shall be assigned to work overtime utilizing a "Mandated Overtime List" which is determined by reverse seniority and can be reviewed by all personnel. Supervisors who appear at the top of the list shall be assigned to work overtime.
- 2. Working a patrol overtime detail, (such as, but not limited to, contract cities or

event, SVOU, etc.), voluntary or by mandate, will move a supervisor to the bottom of the mandate list. A continuation of a shift does not apply.

- 3. Except as required to deal with emergency circumstances, no mandate shall occur without a fourteen (14) day notice.
- 4. Except as required to deal with emergency circumstances, no overtime shall be mandated that will cause the employee to work within an eight (8) hour period of a scheduled shift.
- 5. Except as required to deal with emergency circumstances, no overtime shall be mandated that will cause the employee to work during a previously scheduled vacation which has been entered into InTime, or within the set of days off bordering that vacation.
- 6. For these purposes, emergency circumstances shall include, unanticipated events bringing an immediate threat to health and safety, which the department does not have the on-duty resources to resolve or mitigate.
  - a. Does not include vacation requests.
  - b. Does include unanticipated sick calls.
- 7. The following assignments will be exempt from the Mandatory Overtime List:
  - a. CAP Sergeant or any Sergeant back-filling for the CAP Sergeant.
  - b. SDEA Sergeant.

#### G. <u>Compensatory Time Off (CTO)</u>

Overtime worked is accrued at one-and-one-half pay rate. Employees who voluntarily work overtime to replace another employee who uses CTO to take time off shall only be paid for hours worked.

Compensatory time may be accrued to a maximum of two hundred and forty (240) hours. Accumulation of CTO in excess of the maximum shall be paid. Employees may submit requests at a minimum of eight (8) hours to cash out accumulated compensatory time. The County shall cash out such request in the following pay period in which it is received.

Employees may be permitted to use CTO within a reasonable period of time of a request as long as the request for time off does not unduly disrupt department operations. The department shall make a good faith effort to approve the request and notify the employee as soon as practical. If vacation relief or other coverage is not available, the request shall be posted and/or made available for voluntary coverage.

Employees may not demand specific date(s) off using CTO, nor may the department order employees to take time off as CTO.

All employees who promote shall cash out the total accumulation of

compensatory time at the employee's pay rate immediately prior to the promotion.

#### H. Call-back

#### **Employees Required to Report to a Work Location**

Employees required by the Sheriff or designee to physically return to work from an off duty status shall be paid call-back pay. The call-back pay starts at the time the employee leaves their residence and stops at the conclusion of the work performed. The parties agree that the four (4) hour minimum call-back, paid at time-and-one-half, shall apply to members of the bargaining unit in any official call-back situation. The parties further agree that members of the bargaining unit may receive a maximum of ten (10) hours call-back compensation, paid at time-

and-one-half, in an eight (8) hour period resulting from a series of call-back circumstances only.

In addition, attendance at meetings on off-duty time, which have been scheduled at least two (2) weeks in advance, and for which the employee has been directed to attend, shall be compensated as overtime as provided by County policy, however, the call-back provisions shall not apply.

#### **Employees Not Required to Report to a Work Location**

Employees who are required to handle telephone calls and related work from home shall be paid for actual minutes worked at a rate of time and one half. Time worked will be rounded to the nearest quarter hour in which they are on the phone or handling work that is related to the phone call. Employees will be responsible for logging their time on tracking sheets provided by the Department for payroll processing purposes.

#### Minute Breakdown:

Actual time worked between 1-15 minutes shall be paid 15 minutes call-back. Actual time worked between 16-30 minutes shall be paid 30 minutes call-back. Actual time worked between 31-45 minutes shall be paid 45 minutes call-back. Actual time worked between 46-60 minutes shall be paid 60 minutes call-back.

#### I. Court Pay

Any Sergeant summoned to court or hearing during his or her off-duty time arising from the course and scope of employment shall be compensated at time-and-one-half.

Effective at the beginning of the first full pay period in January 2009:

Any appearance during an employee's off-duty time during the a.m. hours shall be compensated once with a minimum of four (4) hours at time-and-one-half. Any time spent beyond four (4) consecutive a.m. hours shall be compensated at time-and-one-half.

In addition, any appearance during an employee's off-duty time during the p.m. hours shall be compensated with a minimum of four (4) hours at time-and-one-half. Any time spent beyond four (4) consecutive hours shall be paid at time-and-one-half.

The minimum as described above shall only be paid for appearances that are one (1) hour or more beyond the beginning or ending of a regularly assigned shift. Appearances that are within one (1) hour of the beginning or ending of a regularly assigned shift are considered continuation of shift and shall be compensated at time and one half.

Employees are not eligible for Court Pay while on a regularly scheduled shift or overtime shift.

Employees subpoenaed to appear in court shall call in to the Sheriff's Department within twenty-four (24) hours prior to the day of the required court appearance in order to determine whether the subpoena has been canceled. Should the employee fail to call the Sheriff's Department within the twenty-four (24) hour period and appear at court for a case which has been canceled, court pay shall not be paid to the employee.

The twenty-four (24) hour time frame for call-in to the Sheriff's Department shall be a window period of 5:00 p.m. to 12:00 a.m. (midnight) of the day preceding the required court appearance. The employee shall be paid court pay if he or she calls within the required time period, is told to appear, and then the case is canceled or rescheduled.

#### J. <u>POST Certificate Pay</u>

#### 1. <u>Intermediate</u>

Effective the beginning of the first full pay period following July 1, 2015, the County agrees that those individuals possessing an Intermediate POST Certificate will receive a premium of six-and-one-half percent (6.5%) of actual base salary.

Intermediate POST Certificate pay is non-cumulative and cannot be combined with Advanced POST Certificate Pay.

#### 2. Advanced

Effective the beginning of the first pay period following January 1, 2017, the County agrees those individuals possessing an Advanced POST certificate shall receive a premium of ten percent (10.0%) of actual base salary.

Advanced POST Certificate pay is non-cumulative and cannot be combined with Intermediate POST Certificate pay.

#### 3. Supervisor

Effective the beginning of the first full pay period following July 1, 2021, the County agrees those individuals possessing a POST Supervisor Certificate shall receive an additional premium of one and one half percent (1.5%) of actual base salary.

#### K. Retirement

#### 1. Tiers

Safety Employees Tier 5 Retirement Plan – Members of the bargaining unit employed prior to January 1, 2011 and with service retirement credit greater than zero, shall receive upon retirement, three percent (3%) of base salary at age fifty (50) calculated on the single highest twelve (12) consecutive months.

Safety Employees Tier 2 Retirement Plan – The Tier 2 retirement plan for all newly hired members of the bargaining unit is reinstated effective between January 1, 2011 and December 31, 2012. Safety Tier 2 benefits include the two percent (2%) at age fifty (50) retirement benefit per Government Code Section 31664 and final average compensation calculated on the highest thirty-six (36) consecutive months.

Safety Employees PEPRA Tier 6 Retirement Plan – Pursuant to California Public Employees' Pension Reform Act of 2013 (PEPRA), the County shall provide the StanCERA Tier 6 retirement plan (2.7% at age 57) for Safety employees hired on or after January 1, 2013. Final compensation will be based on the average of the highest wages earned in any thirty-six (36) consecutive months.

Employees who are rehired/reinstated with the County after the implementation of Tier 2 on January 1, 2011 or Tier 6 on January 1, 2013 and have met the necessary membership criteria to be placed in their former retirement tier shall be eligible for placement in that former tier. In general, current legal standards allow rehired employees the opportunity to reinstate into their former retirement tier as long as the individual member either has left his retirement contributions on deposit since his prior period of County service or elects the redeposit of withdrawn retirement contributions plus applicable interest. The membership tier will depend on the employee's/member's individual circumstances and prior retirement selections. Employees who are rehired/reinstated with the County after January 1, 2011 are encouraged to confirm their membership status and retirement tier reinstatement options with StanCERA.

#### 2. Public Employees' Pension Reform Act (PEPRA)

On January 1, 2013 the Public Employees' Pension Reform Act (PEPRA) went into effect. Included in this act is a provision that requires New Members to pay at least 50% of normal cost and prohibits employers from paying this contribution on the employee's behalf [Govt. Code Sect.

7522.30(c)]. This measure defines a new members as: an individual who has never been a member of any public retirement system prior to January 1, 2013; an individual who moved between retirement systems with more than a 6-month break in service; and, an individual who moved between public employers within a retirement system after more than a 6-month break in service.

Employee retirement contribution rates are established by the Stanislaus County employee Retirement Association (StanCERA).

In order to become compliant with PEPRA all members of the bargaining unit will pay the full employee retirement contribution rate and the County will no longer pay the Employer Paid Member Contribution (EPMC). The specific employee retirement contribution will vary for each employee based on their individual retirement tier and age of entry into the The current average member contribution for all retirement system. Safety employees is 13.37% of retirement contributable income. exchange for the County eliminating the current EPMC, the County will increase base compensation by 1% (one percent) for each 1% (one percent) of the overall average employee retirement contribution that will now be paid by each employee in the bargaining unit. For those safety units where the County currently pays 100% of the employee's retirement contribution, the County will use the overall average 13.37% safety employee contribution rate to calculate the wage increase, for a total base wage adjustment of 13.37% (13.37% x 1%). The parties recognize this wage adjustment and the elimination of the EPMC will have varying impacts on bargaining unit members, as some members will have individual retirement contribution rates below or above the average for all safety members.

The elimination of EPMC and corresponding increase in base wages became effective on February 8, 2014.

Employees in retirement Tier 4 (formerly Tier 1), shall maintain the retirement benefit known as "30-year pay." Tier 4 employees are eligible for this benefit as determined by StanCERA when an employee has reached thirty (30) years of service and is no longer required to make contributions to the retirement system. The County will use three-and-three-quarters percent (3.75%) as the retirement pick-up amount utilized in the "30-year pay" calculation to determine the level of compensation the employee will receive.

#### L. Special / Collateral Assignment Process

Other than provided in this MOU, the number of Special or Collateral assignments receiving special pay and those which shall be renewed are at the sole discretion of the Sheriff and such decisions shall not be subject to the grievance procedure. Assignments shall be for a specific term as set below. Assignments may not be renewed, and deputies may be removed from a special assignment at the sole discretion of the Sheriff without appeal except as expressly

provided herein.

1. A Special Assignment is defined as an assignment in which an employee is removed from routine patrol duties and accepts responsibility of an assignment on a regular full-time basis that is unrelated to routine patrol duties. A Collateral Assignment is defined as an assignment in which an employee performs regular full-time duties as assigned and in addition, accepts responsibility of an assignment on a part-time basis.

The Department shall announce openings in any paid or un-paid Special Assignment or Collateral Assignment prior to appointment. The announcement shall contain the minimum requirements for eligibility, management preferences, selections process (e.g. testing and interviews), relevant dates and specific term (i.e. number of months) of the assignment.

Employees must submit a memorandum of interest in order to be considered for the position. The Department shall only consider candidates who submitted memorandums of interest in a timely manner for the position. The Department shall determine which candidates are eligible for the position and maintain sole discretion in its selection of eligible candidates for the position. However, if the Department does not receive any memoranda of interest from any eligible candidate for an opening, it may assign an employee at its sole discretion.

Special Assignments shall commence/conclude at shift change, except in case of emergency or operational requirements of the Department (i.e. promotion, termination).

#### 2. Terms

Collateral Assignments and the following Special Assignments may be of an indefinite term at the discretion of the Department:

Aero Squadron Pilots

Designated Range Master/Armorer

High Tech. Crimes Deputies

Crimes Against Persons (CAP) Deputies as defined (Homicide, Robbery, Assault w/ Deadly Weapons) will have a term of two (2) to seven (7) years.

Assignments to Contract City Patrol, Court Services or State/Federal Joint Power Agreements (JPAs) shall not be considered Special Assignments for incentive pay purposes.

In the event the Department must assign an employee to a Contract City, the Department will select an employee with the least seniority and preferably off probation. The Department may deny an assignment if an employee is having performance issues.

In the event the Department must assign an employee to Court Services,

the Department will select an employee with the highest seniority and at least one year from their date of promotion as a Sergeant and preferably off probation. The Department may deny an assignment if an employee is having performance issues.

Assignments to Contract Cities or Court Services will have a term of two (2) to five (5) years. Failure to approve an extension is not subject to the grievance procedure.

All other Special Assignments will have a term of two (2) to five (5) years.

Personnel mandated to an assignment involuntarily may serve a minimum of twenty-four (24) months in that assignment.

Once an employee has been appointed to a Special Assignment which he or she requested, the employee commits to serving the term of the Special Assignment identified in the Department's notice. This does not prevent an employee in a special assignment from requesting a transfer to another special assignment. Assignments to Contract City Patrol and Court Services shall not be considered Special Assignments.

The date in which an employee starts the special assignment is known as the anniversary date. Excluding removal for cause, additional compensation shall start on the anniversary date and continue on an annual basis up to each anniversary date. Annual reappointments to continue a special assignment must be approved by the Sheriff. Failure to approve reappointment to continue a special assignment is not subject to the grievance procedure.

The Department maintains the right to reassign personnel based on the Sheriff's discretion. Employees shall not involuntarily have a Special Assignment changed without fourteen (14) days prior notice except in the case of unforeseen circumstances.

Employees may request to be voluntarily removed from a Special Assignment. Promotion, voluntary removal, removal upon an annual anniversary or a standard transfer at the conclusion of a term is not subject to appeal.

Removal from a special assignment resulting in a loss of additional compensation prior to an annual anniversary, during a term of assignment or removal for disciplinary reasons is subject to appeal and may be appealed under the applicable procedures set forth in the Memorandum of Understanding or under the procedures in the Public Safety Officers Procedural Bill of Rights Act (POBR). Removal from a special assignment without loss of additional compensation is not subject to appeal. In all cases, upon the request of the employee, a meeting shall be scheduled with the Sheriff or his designee to review the facts regarding non-renewal or removal of appointments.

Temporary training assignments for less than ninety (90) days are not subject to special pay. However, temporary assignments (other than for training purposes) for less than standard assignment periods are permitted and shall be subject to special pay.

#### M. Special Assignment Pay Provisions

- 1. Additional compensation in the amount of two-and-one-half percent (2.5%) of base pay shall be paid for the following assignments: Air Support Unit, SWAT, Dive Squad, Bomb Team, Detective/STING Units, Background Investigator, Mounted Unit, Canine Unit, Hostage Negotiator, Field Training Officer, Coroner, Major Accident Investigation Team, Range Master Supervisor, and Public Information Officer.
- 2. Additional compensation in the amount of ten percent (10%) of base pay shall be paid for Sergeants assigned as Air Support Pilot in Command.
- 3. The parties agree that there is no compensable off-duty work being regularly performed in connection with at least the following assignments which receive a special pay premium: SWAT, Dive Squad, Bomb Team, Detective Unit, Background Investigator, Hostage Negotiator, and Field Training Officer. In the event it is finally determined by a Court that, contrary to the County's understanding, some compensable work was being performed, all such work will be compensated at minimum wage. Further, SCSSA agrees that the special pay premium will be applied, to the fullest extent allowed under the law, as compensation in full satisfaction of any such claim that is determined valid by a court of competent jurisdiction. This section shall not diminish any employee's right to compensation for work specifically assigned to be performed outside of the employee's regularly scheduled duty hours.
- 4. The parties agree that pursuant to the terms and conditions set forth in the Settlement Agreement and Release of All Claims Relating to the Canine Handlers ("Canine Agreement"), effective January 13, 1997, each represented employee who is assigned as a canine handler and has a dog assigned to his or her care, training, and upkeep, shall be paid eighteen (18) minutes per day at the regular rate of pay for care, grooming and transportation. This work when performed over and above the designated work period, shall be compensated at time-and-one-half of the canine handler's regular rate of pay. All other terms and conditions set forth in the Canine Agreement remain in full force and effect.
- 5. The parties disagree whether there is any compensable off duty work performed by members of the Mounted Unit. If a covered employee asserts a claim for off-duty compensable work, that employee will no longer be entitled to a two-and-one-half percent (2.5%) premium. In the event it is finally determined by a Court that, contrary to the County's understanding, some compensable work was being performed, all such

work will be compensated at minimum wage.

Each mounted shift shall include three (3) hours per day for members of the Mounted Unit for grooming and transportation of their mounts.

- 6. The additional compensation as described herein may be earned by one (1) incumbent for as many special pay assignments as the Sheriff assigns and approves.
- 7. Such assignment pay shall not be paid: (a) during periods of absences for disability leaves (including, without limitation, 4850 leave); (b) unpaid leaves of absence; or (c) any time during which the employee is unable to perform the assignment for a full pay period.

#### N. <u>Designation of Bilingual Positions</u>

- 1. The County will designate certain languages as eligible for bilingual certification. The County currently recognizes the following languages: Spanish, Cambodian, Laotian, Hmong, Greek, Assyrian, Farsi, Russian, Vietnamese, Portuguese, and Sign Language. The County's Chief Executive Office may designate other languages as needed.
- 2. Employees asserting their competence in any County designated bilingual language shall be given the opportunity to test for bilingual certification. The County CEO or designee is responsible for conducting bilingual certification testing within a reasonable amount of time. Employees will be tested for verbal and/or written bilingual proficiency as determined by the County CEO and Sheriff. Employees who pass the test will be certified as bilingual.
- 3. Employees certified as bilingual will receive additional compensation of two-and-one-half percent (2.5%) of base pay for bilingual certification pay, effective the first full pay period following the certification date. Only those employees certified bilingual will be granted bilingual certification pay.
- 4. Employees receiving bilingual certification pay shall use their bilingual skills within the course of employment to maintain the certification pay. Bilingual employees who are not certified as bilingual will not be subject to discipline for declining to use bilingual skills in the course of employment.
- 5. Bilingual pay shall not be paid during periods of absences for disability leaves (including, without limitation, 4850 leave) or unpaid leaves of absences during which the employee is unable to perform the assignment for a full pay period.

#### O. Notification of Shift and Special Assignment Changes

Sergeants shall not involuntarily have their shifts or special assignments changed

without fourteen (14) days prior notice except in the case of unforeseen circumstances. "Unforeseen circumstances" are defined as unexpected events such as natural disaster (major flooding or earthquake), request for mutual aid or in the event of civil unrest such as a riot. The term does not include unexpected staffing shortages. This excludes regularly scheduled annual shift changes.

#### P. <u>Seniority Bidding</u>

In no event shall a supervisor be involuntarily reassigned to another shift more than once in a twelve (12) consecutive month period from the effective date of reassignment.

The Department will accommodate all shift trades agreed to by the Sergeant being reassigned to patrol and another patrol sergeant.

#### Q. Acting Watch Commander Pay

Sergeants assigned as Watch Commander for a minimum of one (1) hour shall receive an additional seven-and-one-half percent (7.5%) compensation above their base rate of pay for each hour worked in the capacity of Watch Commander. Time worked as Acting Watch Commander will be rounded to the nearest hour. Watch Commander assignment pay is limited to one Sergeant acting as the Countywide Watch Commander at any given time.

#### R. <u>Preparation Time</u>

Sergeants will be allowed up to 20 minutes of working preparation time prior to the start of their normal work shift. Preparation time must be approved by their supervisor in advance. All preparation time must be flexed off within the same period in order to prevent any overtime.

#### S. <u>Fitness Incentive</u>

The Parties agree to pursue implementation of a physical fitness program and agree to meet and discuss implementation of that program consistent with the terms set forth below no later than December 31, 2021.

<u>Category 1 (POST Standards):</u> A Fitness incentive of \$500 once annually will be provided to bargaining unit members who complete the then-current POST-developed Work Sample Test Battery (WSTB). The WSTB contains a valid sample of physically demanding work tasks performed by California patrol officers. The tasks currently required to be performed are as follows:

- a. Run a 99-yard obstacle course consisting of several sharp turns, several curb-height obstacles, and a 34-inch-high obstacle that must be vaulted; and
- b. Lift or drag 165-pound lifelike dummy 32 feet; and
- c. Run 5 yards to a 6-foot chain link fence, climb over fence, continue running another 25 yards; and
- d. Run 5 yards to a 6-foot solid fence, climb over, continue running another 25 yards; and

#### e. Run 500 yards

At the time of the testing and completion of the required tasks a minimum score of 384 must be obtained to demonstrate sufficient physical ability to be eligible for the Category 1 fitness incentive. The standards of scoring are set by POST. The score for each member can be determined in one of two ways:

- 1. By manually converting times to points, using conversion tables on pages 15-19 of the Work Sample Test Battery Proctor Manual, then summing up points for all five events to determine the student's total score; or,
- 2. By inputting the times into a POST-provided Excel spreadsheet. The Excel spreadsheet will automatically calculate each student's score.

<u>Category 2 (SWAT Standards)</u>: An additional fitness incentive of \$1,000 once annually will be given to bargaining unit members who complete the then-current Sheriff's Office SWAT Team testing qualifications. This test currently requires performance of all of the following:

- a. 2 weighted pull-ups (35lb weight on their back and chin must go over bar with arms locking out each time); and
- b. 40-yard sprint in 8 seconds or less; and
- c. 800-meter run with 9 up downs and 10-yard body drag (165 lbs) and 9 zig zags between cones. This is to be completed in 4 min 30 sec.

No employee shall receive more than one fitness incentive per category (for a total of \$1,000) under this fitness incentive program in a single calendar year. For example, if an employee passes the Category 2 test, they will receive a \$1,000 incentive. If an employee first passed the Category 1 test, they will receive \$500. If they pass the Category 2 test in the same year, they will receive another \$500, for a total of \$1,000 in the calendar year.

Testing standards for Category 1 will follow POST WSTB standards as those standards are modified. Testing standards for Category 2 will follow the Sheriff's Office SWAT team testing standards as those standards are modified. The Parties acknowledge that training for the physical fitness incentive should be completed on off-duty time

#### 9. DAYLIGHT SAVINGS TIME

The parties agree upon daylight savings time change, employees who are at work during the hour that the time change occurs shall be compensated for the time actually worked.

#### 10. HOLIDAY/VACATION TIME PROVISIONS

#### A. County Holiday Policy

1. The County recognizes the following holidays, which are valued at eight (8) hours each:

New Year's Day, January 1st

Third Monday in January, Martin Luther King Day Third Monday in February, Washington's Birthday Last Monday in May, Memorial Day Independence Day, July 4th First Monday in September, Labor Day Veterans Day, November 11th Thanksgiving Day Day after Thanksgiving Day Christmas Eve, December 24th (see note) Christmas Day, December 25th

Note: Christmas Eve is only considered a holiday of eight (8) hours when Christmas Eve falls during an employee's regular work schedule. Employees who work Monday through Friday, will be provided eight (8) hours of holiday time when Christmas Eve falls on a Monday through Friday. Employees who regularly work on a Saturday or a Sunday will be provided eight (8) hours of holiday time when Christmas Eve falls on their regularly scheduled workday (example: Tuesday through Saturday schedule and Christmas Eve falls on a Saturday).

- 2. Only the immediate days of mourning or holiday declared by the President and Governor shall be County holidays in addition to the specific list of holidays above.
- 3. If January 1st, July 4th, November 11th, or December 25th occurs on a Sunday, the following Monday shall be observed as the holiday for employees assigned to a 5-2 (Saturday and Sunday off) work schedule.
- 4. If January 1st, July 4th or November 11th occurs on a Saturday, the preceding Friday shall be observed as the holiday for employees assigned to a 5-2 (Saturday and Sunday off) work schedule.
- 5. Employees who are required to work as determined by their work schedule on a recognized holiday as listed above in Section 10.A.1 shall be compensated at a rate of time-and-one-half for all hours worked on the recognized holiday.
- 6. Employees who are required to work as determined by their work schedule on a recognized holiday as listed above in Section 10.A.1 shall be credited with equivalent vacation time up to the maximum value of the individual holiday.
- 7. When a recognized holiday falls on the employee's regularly scheduled day off and the employee is not required to work, the employee shall be credited with vacation time up to the maximum value of the individual holiday.
- 8. Employees shall be considered "working the recognized holiday" based upon the start date of their shift.

9. For purposes of determining holiday credit and time-and-one-half pay for holidays, employees on a 5-2 (Saturday and Sunday off) work schedule will use the observed holiday while employees on shift schedules (12-hour shifts) will use the actual holiday.

#### B. Combining Optional Holiday Time with Vacation

The parties recognize that on December 31, 1983, any optional holiday time was combined with vacation benefits. The rate of accrual of vacation hours was increased on January 1, 1984 by sixteen (16) hours of "special" vacation time each calendar year in lieu of optional holiday time. See subdivision F of this section for vacation accrual rates.

Optional holiday time on the books as of December 31, 1983 for an employee, was "frozen" on the books and may be:

- 1. Taken as time off,
- 2. Cashed out with the approval of the employee, the Department Head and the County Auditor-Controller, or
- 3. Shall be cashed out upon the employee's termination.

#### C. Vacation Credit for Holidays

Exceptions to when equivalent vacation time is credited are as follows:

- 1. New hires or employees who return from leave of absence shall receive vacation credit for a holiday if the first day worked is on or before the holiday.
- 2. Terminated or discharged employees, or those beginning an unpaid leave of absence, shall receive the holiday, or if the last day worked falls on the holiday.
- 3. Employees on disciplinary suspension without pay shall not receive vacation credit for a holiday occurring during the period without pay.
- 4. Employees taking time off without pay shall not receive vacation credit for the holiday if they are on an unpaid status during the major portion of the pay period.

#### D. Vacation Accumulation Maximum

Vacation time in the amount not to exceed four hundred fifty (450) hours shall be carried over on employee accrual balances from year to year.

The parties agree that, employees who have reached the four hundred fifty (450) hour vacation accumulation maximum shall not accrue any additional vacation time. Accrual of vacation time shall again commence in the pay period that the employee's vacation time has fallen below the four hundred fifty (450) hour

maximum. It is the policy of the County that the employees take at least their normal vacation each year; provided, however, that for reasons deemed sufficient by their department head, an employee may, with the consent of the department head, take less than the normal vacation time with a correspondingly longer vacation the following year.

Employees who are nearing the vacation accumulation maximum of four hundred fifty (450) hours (at three hundred seventy (370) hours or higher) will receive notification from the department. Employees are encouraged to request vacation upon receiving this notice pursuant to department procedures.

Failure by the employee to make a good faith effort to request vacation in accordance with departmental procedures, will result in vacation accrual stoppage at four hundred fifty (450) hours.

If the employee does make a good faith effort to request vacation time and the request is denied by the department, or the approved vacation is canceled, or cannot be utilized by reason of subpoena or other required duties of the department, the employee shall receive up to eighty (80) hours of vacation cashout. It is understood employees may have to request vacation time outside of high use times, i.e. holiday seasons and summer months.

#### E. Limited Cash Conversion

The parties agree that employees with one hundred (100) or more hours of accrued vacation on the records may request conversation into cash payments of up to forty (40) hours of accrued vacation not more frequently more than once in a fiscal year. Employees with two hundred (200) or more hours of accrued vacation on the records may request conversion into cash payments of up to sixty (60) hours of accrued vacation not more frequently more than once in a fiscal year.

#### F. Vacation Accumulation Rate

The parties agree that consistent with the County Code the following vacation accumulation rates are in effect during the term of the agreement:

- 3.08 hours per pay period (ten (10) days a year) for the first through completion of the second year of continuous services.
- 4.62 hours per pay period (fifteen (15) days a year) for the third year through and including the tenth year of continuous service.
- 6.16 hours per pay period (twenty (20) days a year) for the start of the eleventh year through and including the twentieth year of continuous service.
- 7.70 hours per pay period (twenty-five (25) days a year) for the twenty-first (21st) year of continuous service and thereafter until separation from County service.

In addition, employees shall earn sixteen (16) hours of "special" vacation time

each calendar year in lieu of optional holiday time. "Special" vacation shall be earned in addition to the regular vacation and shall be earned by prorating said amount over twenty-six (26) pay periods.

#### G. Time Bank

Effective upon Board of Supervisors approval and January 1<sup>st</sup> of each subsequent year, every employee covered by this agreement shall contribute an equal amount of hours, or portions thereof, from vacation to create a bank of two hundred fifty (250) hours to be used for SCSSA business. The unused hours in any year shall be carried over to the next year. However, the maximum number of hours in the time bank shall be capped at five hundred (500) hours. The time bank shall not be subject to cash-out nor shall it be returned to contributing employees.

The time bank shall be used for SCSSA business and shall be approved in advance by the SCSSA president. SCSSA shall endeavor to provide reasonable and timely notice for all time bank leave requests. Requests for time bank leave shall be submitted and approved consistent with the Sheriff's existing policy for approval of vacation time. The SCSSA recognizes that the Sheriff shall not be obligated to hire relief personnel on an overtime basis in order for a time bank leave request to be approved, nor shall previously approved leave requests of other Sheriff's personnel be subject to cancellation so that SCSSA time bank requests can be approved.

Furthermore, the County will contribute an additional eighty (80) hours annually. This supplementary time may only be used when it is of benefit for SCSSA and the County, as determined by the SCSSA President. Requests for time off shall be noted as County time and submitted as described above.

#### 11. LEAVES OF ABSENCE

- A. The parties agree that the County's leave of absence policy shall remain unchanged during the life of this agreement and that leaves of absence without pay may be approved for probationary employees. Further, as a condition for a leave of absence without pay to continue, the County may require the employee on leave to provide periodic status reports demonstrating that the conditions still remain upon which the leave of absence was initially requested and approved.
- B. Unpaid leaves of absences, or other absences (other than paid vacation), greater than three weeks shall not count toward the minimum service period required to achieve permanent status.
- C. The parties further agree that the County's leave of absence policy shall change to reflect the fact that the granting of any leave of absence without pay or other time off without pay exceeding fifteen (15) calendar days shall cause the employee's date of -eligibility for increased vacation accrual rates to be postponed by the equivalent number of days to the nearest number of days for which the leave of absence is granted based on the number of calendar days in such month.

#### 12. SENIORITY SHIFT PREFERENCE

A. For the purpose of shift bidding and vacation bidding, seniority is defined as time in current classification and higher classification. When a member is promoted, that member shall start a new date of seniority in that classification. However, when a member is voluntarily or involuntarily demoted, that member shall retain the time in service from the higher classification. In the event multiple Deputies are promoted to Sergeant at the same time, seniority shall be determined by the highest overall score, descending to the lowest score. Where two (2) or more employees have equal seniority, then total County seniority shall determine the order of seniority. An agreed upon lottery system will be used to determine seniority in case of a tie.

Any break in full-time employment (excluding paid/unpaid leave of absences) from the County shall be considered a break in service for purposes of calculating seniority. Employees returning to the bargaining unit after a break in service will start with no time in service for the purpose of calculating seniority.

#### B. Shift and Squad Bidding

The shifts shall be approximately six (6) months in duration with shift rotations to occur in April and October of each calendar year.

Each shift shall be bid/requested bi-annually on the basis of seniority during the months of March and September each year. Supervisors will bid for both the April and October shift change during the March bid process. All Supervisors, including those on approved leave of absence, may submit bi-annual bids for seniority shift preference during the months above.

All bidding will be completed by March 31st and September 30th.

Supervisors out on extended family medical leave, military leave, disability, or 4850 must have a release to full duty without limitations effective within thirty (30) days after the shift changes occur to be eligible to exercise seniority for their shift preference. These releases must be turned in a minimum of twenty-one (21) days prior to the effective date of the shift change. Employees not meeting the requirement of submitting an appropriate release twenty-one (21) days prior to shift change may not be given shift preference but assigned to the needs of the Department.

Supervisors not meeting these requirements may not be given their shift preference over the needs of the Department.

Supervisors shall not involuntarily have their shifts or special assignments changed without fourteen (14) days prior notice except in the case of emergency. This excludes scheduled shift changes pursuant to Section 8.O of this agreement.

#### 13. VACATION REQUESTS

#### A. Restricted Shifts

For the purposes of considering vacation requests, the following holidays and shifts will be reserved at the discretion of the Sheriff's Office: graveyard shift on the dates of New Year's Eve, Fourth of July and Halloween. In addition, the Cinco de Mayo (May 5<sup>th</sup>) holiday, as observed by the community both day and graveyard shifts, vacation may not be granted.

Contract Cities may select one event annually, Wine and Cheese Festival, Apricot Festival, or a similar street fair event, during which vacations may be denied at the discretion of the Chief or Department. This provision applies to Sergeants assigned to Contract Cities.

#### B. <u>Seniority Requests</u>

All seniority vacation requests shall be submitted during the month of January and returned as soon as possible, but no later than the last day of February.

The Department shall make a good faith effort to approve seniority vacation requests.

Employees can provide one (1) priority and two (2) alternate seniority requests listed in order of priority on the request *form*.

Seniority may only be exercised for one continuous block of time per calendar year.

#### C. <u>Standard Requests</u>

All standard vacation requests will be processed on a first come, first served basis.

#### D. Approved Vacations

After any vacation request is approved it will remain approved except in an emergency at which time those vacations scheduled during that period may be recalled.

#### 14. <u>AUTOMATIC RESIGNATION</u>

The parties agree that an employee who is absent without authorization and without contacting his or her supervisor for three (3) consecutive working shifts, or longer, shall be presumed to have voluntarily resigned from County service, effective on the date at which the unauthorized absence began. The provisions of County Code Section 3.28.130 (Petition to Set Aside Resignation) shall apply. The parties agree that members of the unit do not waive any right to a hearing or other due process by this section or any rights under the "Peace Officer Bill of Rights."

#### 15. MAXIMUM SUSPENSION

The parties agree that the maximum time period during which an employee may be suspended for cause pursuant to County discipline policies is forty-five (45) days.

#### 16. <u>ARBITRATION EXPENSES</u>

This provision shall apply in the event that an individual, as opposed to the SCSSA, pursues arbitration. Prior to engaging the services of an arbitrator or court reporter, the individual shall make a deposit covering each day of arbitration, and certify that he or she is individually responsible for the costs of the arbitrator and court reporter, and that the County will have no responsibility to pay for the individual's share of costs as specified in the grievance and arbitration procedure. An arbitrator shall have no jurisdiction to order that the County assume responsibility for paying an individual's share of grievance and arbitration costs.

#### 17. <u>PROBATIONARY PERIOD</u>

#### A. <u>Length of Probationary Period</u>

Any newly appointed Sergeant shall serve a maximum probationary period of eighteen (18) months total. Employees with permanent status who are promoted into the bargaining unit shall serve a probation period of one (1) year in the position to which he has been promoted, dating from the date of such promotion unless the probationary period is extended per County policy.

#### B. <u>No Charges on Probationary Terminations</u>

The parties agree that the County shall no longer be required to prepare a statement to the file as to why an individual's probationary period was terminated.

#### C. Extension of Probationary Periods

Probationary periods shall be extended by the same number of days for any period of time not worked of twenty-one (21) or more consecutive calendar days, except vacation.

#### 18. <u>REDUCTION-IN-FORCE POLICY</u>

A joint Reduction-In-Force policy has been established and agreed to for the following four bargaining units effective August 7, 2012:

Custodial Deputy Sheriffs Bargaining Unit Sworn Deputy Sheriffs Bargaining Unit Sheriff's Supervisor Bargaining Unit Sheriff's Management Bargaining Unit

The parties agree that the joint Reduction-In-Force Policy included in this agreement as Attachment A, applices to all employees covered by this agreement. The joint Reduction-In-Force Policy may only be amended by mutual agreement of the County and

all four represented bargaining units.

#### 19. GROUP INSURANCE BENEFITS

#### A. Group Plans Available

Employee health (medical, dental and vision) insurance benefits are negotiated under a separate meet and confer through implementation process between the County and all represented employee bargaining units. A copy of the health agreement is included in this MOU as Attachment C.

#### B. Life Insurance

Employees enrolled in one of the health insurance plans shall be eligible for a ten thousand dollar (\$10,000) term life insurance policy. This benefit is available for the employee only. Additional life insurance may be purchased at employee expense through the cafeteria plan.

#### C. State or Federal Health Care Reform

Should any new State or Federal legislation be approved to take effect during the term of the agreement which affects the County's health insurance programs, the parties agree to immediately meet to determine the potential impact, if any, on employees or the County, of the legislation. Absent legislation modifying such, the County agrees that at least the dollar amounts of premium contributions made by the County for health insurance premiums shall be available to employees during the term of the agreement, unless amended through the joint negotiation process on health insurance.

#### 20. WORK TIME REPORTING REQUIREMENTS

- A. Both parties agree to the importance of having accurate reporting of work time entered on timesheets or into the electronic timecard system in order to ensure:
  - 1. The data necessary for the accurate and timely payment of wages is collected;
  - 2. Compliance with appropriate governmental regulations; and
  - 3. The required supporting documentation is maintained.
- B. Employees are required to report all time worked on timesheets or into the electronic timecard system. This includes entering time-in and time-out for each work day. For timekeeping purposes, time-in is the time you begin work and time-out is the time you break from work for a meal period or leave work for the assigned day or shift. The payroll system allows for employees to enter actual start and end times to the minute and this would be the expectation for all employees when reporting on timesheets or into the electronic timecard system.
- C. When evaluating employee attendance, actual start and stop times will be used.
- D. The parties further agree to meet and confer on an upgrade to the electronic

payroll system to allow for rounding of time for payment purposes in accordance with the Fair Labor Standards Act (FLSA).

#### 21. PAYROLL DEDUCTIONS

#### A. <u>Legal Defense Fund Deduction</u>

The County agrees that it shall deduct from the salary of each full-time member of the bargaining unit who provides written authorization for such deduction on forms provided by the SCSSA, an amount specified in writing by the SCSSA for the specific purpose of a legal defense fund. The County shall remit said deduction to the address on file for the SCSSA. The foregoing deduction, however, is subject to sufficient funds being due to the member of the bargaining unit for whom deductions are to be made after the County has paid all of their legally required or employee-authorized payroll deductions. The SCSSA agrees to defend, indemnify and hold harmless Stanislaus County, its employees and agents against damages and claims of whatever nature arising out of deductions from employee paychecks.

#### B. Payroll Deduction

The County agrees to maintain, at no cost to SCSSA, a payroll deduction for members of this bargaining unit who voluntarily approve of such deduction in an amount determined by the SCSSA and consistent with the requirements of the Auditor-Controller.

#### C. Credit Union Deduction

The parties agree that the County shall provide a voluntary payroll deduction for the Operating Engineers Credit Union.

#### D. Voluntary Dues Deduction - Long Term Disability Income Protection Plan

The County agrees to implement a voluntary dues deduction program for members of the bargaining unit to participate in a long term disability insurance plan. The voluntary deduction shall be implemented in accordance with the policy of the Auditor-Controller.

#### 22. FUTURE MEET AND CONFER TOPICS

The parties agree, during the term of this Agreement, to meet and confer at the request of either party to the extent required by the Meyers-Milias-Brown Act. These mutual, nonbinding discussions are specifically limited to the following:

- A. County Personnel Policies
- B. Health Insurance

The County agrees that any changes made as a result of the meet and confer process pursuant to this section shall not result in a loss of salary, compensation or cafeteria contributions currently provided to members of the bargaining unit.

#### 23. PERSONAL PROPERTY DAMAGE PROCEDURE

The parties agree that the County policy providing for reimbursement of personal property such as clothing damaged or destroyed in the line of duty and without employee negligence shall continue with the specific understanding that normal wear and tear is not covered as reimbursable and that any and all disputes arising out of this process shall be referred for final resolution to a County department head mutually agreed upon. If the parties cannot agree on a particular department head, one shall be selected by an alternate striking method. Normal wear and tear refers to the wearing out of articles of personal property or clothing that results over time and through no sudden or unusual occurrence such as line of duty accident. This recognizes the fact that many articles of clothing wear out with age and would be replaced in the normal course.

#### 24. SICK LEAVE

#### A. <u>Sick Leave Accruals</u>

In accordance with County Code Section 3.40.010, regular full-time employees shall be entitled to three and seven-tenths (3.7) working hours or a proportionately equal number of sick leave pay for each bi-weekly pay period of actual hours paid.

#### B. <u>Sick Leave Usage</u>

Sick leave may be used by an employee in the case of a bona fide illness.

Sick leave may also be used by an employee in the event of a serious illness where he/she must care for a member of their immediate family. Sick leave used for family leave shall be governed by the California Labor Code Section 233.

Immediate family is normally defined as: parents (including adoptive, foster, step, and parents of the employee's spouse or registered domestic partner); spouse (including registered domestic partner); child (including adoptive, foster, step, and child of the employee's spouse or registered domestic partner); sibling; grandparents; and grandchildren. In accordance with County Code Section 3.40.010, this definition may be expanded to include other persons with whom the employee has enjoyed a parent or family-like relationship.

#### C. Sick Leave Cash-out

The parties agree that the sick leave policy of the County in effect immediately prior to the commencement of this agreement shall remain in effect during the term of this agreement, with the exception that the policy of the County concerning pay for a portion of accrued sick leave upon termination of County employment shall be amended as follows in the consideration of the salary and other fringe benefit increases in this agreement. The parties agree that employees who terminate from County service as a result of death, non-service connected disability retirement, or service retirement shall be eligible to receive cash for accrued, but unused sick leave on the books at the rate of fifty percent (50%) of the salary equivalent of such sick leave.

The parties agree that at the time a member of the bargaining unit is granted a service connected disability retirement the employee shall not have the option to continue his or her disability leave charged against accrued sick time. Any accrued sick leave shall be cashed out at seventy-five percent (75%). The seventy-five percent (75%) cash-out shall be limited to this circumstance only.

Employees terminating from County service for all other reasons including, but not limited to, resignation and discharge shall not be eligible to receive any cashout of unused sick leave.

The maximum amount of sick leave that shall be applied toward the cash-out provisions as provided for herein shall be six hundred (600) hours. For example, if an employee retires from County service, he or she would be cashed out for fifty percent (50%) of six hundred (600) hours or three hundred (300) hours. Time in excess of the six hundred (600) hours shall continue to accrue and be used in the case of illness.

In addition, any current employee who has accrued time in excess of six hundred (600) hours shall, upon retirement, consistent with current MOU provisions, cashout the amount of time accrued as of the pay period ending January 6, 1995 or the end of the last pay period in October, whichever time is higher. The total sick leave accrual on the date for each employee shall become the employee's individual maximum or cap for sick leave cash-out purposes while the employee remains in the continuous employment of the County. For example, if the employee has one thousand (1,000) hours on the date the cash-out maximum takes effect, he or she would be cashed out for fifty percent (50%) of one thousand (1,000) hours or five hundred (500) hours upon retirement. Any time accrued and in excess of this time shall not be subject to cash-out. The County agrees that any sick leave credited toward retirement of the employee will be made in good faith.

The purpose of this provision is to place a ceiling on the County's cash-out liability for sick leave while maintaining unlimited accrual of sick leave for catastrophic illness.

Furthermore, the County agrees all sick leave accrued above the employees individual cash-out maximum shall be converted toward service credit upon retirement on an hour-for-hour basis.

## D. <u>Conversion of Sick Leave Cash-out Benefits to Health Insurance Upon</u> Retirement

If the County establishes a program which allows for the conversion of sick leave cash-out benefits to cover the cost of health premiums upon retirement, that program shall be made available to all employees covered by this agreement. This program must meet the criteria of the Auditor-Controller and Internal Revenue Codes for tax purposes.

#### E. <u>Sick Leave Used for Family Leave</u>

The parties agree that sick leave used for family leave would be governed by the California Labor Code Section 233.

#### 25. <u>UNIFORM ALLOWANCE</u>

#### A. <u>Uniform Allowance</u>

Uniform allowance shall be one thousand four hundred and seventy (\$1,470) per year paid monthly in twelve (12) equal payments. In exchange for this additional compensation, employees shall be responsible for the maintenance, care, purchase and replacement of uniforms.

Uniform allowance shall be increased by \$50.'00 effective the first full pay period following January 1 as follows:

Pay Period Following Date	Current Amount	New Amount
January 1, 2022	\$1,420	\$1,470
January 1, 2023	\$1,470	\$1,520
January 1, 2024	\$1,520	\$1,570

Refer to Section 23, Personal Property Damage Procedure, for reimbursement of personal property damaged or destroyed in the line of duty.

No uniform allowances shall be provided to employees absent from duty for three (3) or more consecutive calendar months on 4850 or other disability-related leave.

### B. <u>Safety Equipment</u>

The following items shall be purchased and made available by the County and replaced as necessary due to normal wear and tear or damage caused while on duty:

- Semi-automatic handgun
- Rifle or shotgun
- Less than lethal shotgun
- Handgun holster
- Double magazine pouch
- Duty belt keeper (4)
- Baton and holder
- Key holder
- Handcuffs (2) and keys
- Handcuff case(s)
- O.C. Spray and holder
- Flashlight and holder
- Taser and holder

- Equipment duty belt & buckle
- Radio and radio case
- Body Armor Level II or IIIA
- Duty jacket
- Rain jacket
- Safety vest (traffic)
- Raid vest (Detectives/Investigators)
- Badge
- Hat badge
- Posse box
- Citation book holder
- Utility/Gear bag
- ID and proximity card

Additional safety equipment will be available based upon special assignment.

#### 26. BODY ARMOR

The Sheriff will provide Threat Level II or IIIA body armor to all sergeant personnel in the Operations Division. Any future proposed changes to body armor must be approved by SCSSA. All such personnel are required to wear the body armor; provided, however, the Sheriff may designate exceptions to the requirement for certain personnel. The Sheriff's designation of exceptions is not grievable or arbitrable.

#### 27. RETIREE MEDICAL TRUST

The parties agree to allow the SCSSA to establish or participate in a Retiree Medical Trust including requiring a payroll deduction in order to participate.

#### 28. SEVERABILITY

It is not the intent of the parties hereto to violate any laws, rulings or regulations of any governmental authority or agency having jurisdiction over the subjects of this collective bargaining agreement, and the parties hereto agree that in the event that any provisions of this agreement are finally held or determined to be illegal or void as being in contravention of any such laws, rulings or regulations, nevertheless, the remainder of the agreement shall remain in full force and effect unless the parts so found to be void are wholly inseparable from the remaining portion of this agreement.

#### 29. MILEAGE REIMBURSEMENT

Mileage reimbursement rates will be established by the County Auditor-Controller effective January of each year based on the rates published by the IRS. This provision will be implemented in January 2007.

#### 30. TAKE HOME COUNTY VEHICLES

Take home County vehicles will be based upon assignment and assignment will be at the Sheriff's discretion. The use of a County vehicle will not be considered as compensation.

#### 31. <u>FULL UNDERSTANDING, MODIFICATION AND WAIVER</u>

- A. This Agreement sets forth the full and entire understanding of the parties regarding the matters set forth herein, and any and all prior or existing Memoranda of Understanding, Understandings, and Agreements regarding the matters set forth herein, whether formal or informal, are hereby superseded and terminated in their entirety.
- B. Existing practices and/or benefits which have a direct effect on employee wages, hours and other terms and conditions of employment which are not referenced in this Agreement shall continue without change unless modified or abolished by mutual agreement of the parties. The parties understand and agree that the provisions of mutual agreement shall not apply to issues under conditions of employment unless it can be shown that they affect wages, hours or other substantial terms or conditions of employment.

The continuation of these existing practices and/or benefits is contingent upon there being practices and benefits that are recognized by the parties as open and notorious and clear and known and regular and consistent. Examples, without limitation, would be those established in writing or those created by custom and practice known to both sides for a substantial period of time. It is the intent of the parties that management trials, test cases, individual or small group practices, or sporadic practices not be considered past practices.

- C. It is the intent of the parties that ordinances, Board resolutions, rules and regulations enacted pursuant to this Agreement be administered and observed in good faith.
- D. Nothing in this Agreement shall preclude the parties from mutually agreeing to meet and confer on any subject within the scope of representation during the term of this Agreement.

## ATTACHMENTS

- A. Reduction-In-Force Policy
- B. Binding Arbitration of Discipline and Grievances (Excluding EEO Grievances)

# Sheriff's Represented Bargaining Units Reduction-In-Force Policy

The following Reduction-in-Force Policy has been established and agreed to for the following bargaining units effective August 7, 2012:

Deputy Sheriffs Association (DSA)
Stanislaus Sworn Deputys Association (SSDA)
Stanislaus Sheriff's Supervisors Association (SCSSA)
Stanislaus Sheriff's Management Association (SCSMA)

The joint Reduction-in-Force Policy may only be amended by mutual agreement of the County and all represented bargaining units. Issues related to implementing reduction-in-force actions which are not specifically addressed within this policy will be subject to further meet and confer between the County and the affected bargaining unit(s).

#### **REDUCTION-IN-FORCE**

Whenever in the judgement of the Board of Supervisors it becomes necessary in the interest of economy or because the necessity for a position no longer exists, the Board of Supervisors may abolish a position or classification, and if necessary reduce personnel by laying off employees without the filing of disciplinary charges and without granting the employee the right of appeal except as accorded in these provisions. In reducing the number of employees every effort will be made to avoid displacing existing employees by allowing voluntary demotion or transfer to vacant positions. In laying off employees in the Classified Service the order of separaration shall be based upon seniority as hearin specified.

In the event that a Reduction-In-Force action is to be recommended, a good faith effort will be made by the County to notify the Union, and meet upon Union request to discuss alternatives to the Reduction-In-Force action including voluntary time-off, approval of leave of absence requests, and voluntary lay-offs. The County will do what it reasonably can to make available to employees who are laid off retraining opportunities as available through Federal or State job training programs or other available County programs.

## **ORDER OF SEPARATION**

Employees in the same classification shall be separated considering type of appointment and seniority with the least senior employee in any category of appointment being the first separated and with tied seniority scores broken as provided herein.

The sequence of appointment types shall be:

- 1. Provisional
- 2. Extra-Help/Part-time (Extra-help may be maintained by mutual agreement of the County and the impacted bargaining unit)
- 3. Trainee
- 4. Regular Full-Time

Employees with prior probationary or permanent status in a lower level classification who are subject to a Reduction-In-Force action shall be returned to their prior classification, subject to the seniority provisions of this agreement.

## **REDUCTION-IN-FORCE PROCEDURES**

In calculating total continuous service for the County, those records which are maintained by the Chief Executive Office shall be utilized. However, should there be a challenge to the validity of the calculations or in cases of equal or near equal seniority , the Chief Executive Office may utilize such payroll or other records which may be on file with the Auditor-Controller's Office or other department.

## Continuous Service Defined

Employees on approved leaves of absence without pay, catastrophic leave (donated time) or unpaid suspension shall retain seniority accumulated before the leave of absence. The first 60 calendar days on each individual approved unpaid leave, catastrophic leave, or disciplinary suspension will be included in the seniority score computation. Time will be deducted starting the 61<sup>st</sup> calendar day of such leave. Time spent on military leave is not deducted for the purposes of calculating seniority regardless of the length of such leave.

Service to the County including PSC, unpaid volunteer/intern, or any service which is not in an employer-employee relationship does not count toward total County seniority.

Any voluntary separation is considered a break-in-service; time spent prior to leaving County service shall not be counted for the purposes of calculating seniority for a reduction-in-force. Persons hired from a reduction-in-force reemployment list regain all previously earned seniority on the date of reemployment.

## **SENIORITY CALCULATIONS:**

Among permanent and probationary employees in the classifications of Deputy Sheriff-Custodial, Deputy Sheriff-Coroner, Sergeant-Custodial, Sergeant, Custodial Lieutenant, and Lieutenant, the order of layoff will be determined by employee's seniority calculations in the following order:

- 1. Classification Seniority
- 2. Department Seniority
- 3. County Seniority
- 4. Lottery

#### 1. Calculation of Classification Seniority

Among permanent and probationary employees in the classifications listed above, the order of layoff will first be determined by the total full-time service in the employee's current classification and higher ranking classification(s). Extra-help/part-time service is not counted in calculating Classification Seniority.

For the purposes of calculating Classification Seniority, employees who were released from probationary status and subsequently returned to the same classification will not receive credit for time served during the first probationary period. (Example: Lieutenant is released from probation and subsequently promotes back to Lieutenant. Only the

current time spent as a Lieutenant shall be counted towards classification seniority. Time in classification spent prior to the probationary release does not count.) If an employee is released from probation and demotes to a lower level classification all time spent in the higher level classification shall count towards Classification Seniority in the lower level classification and toward overall County Seniority.

## Example of Classification Seniority Calculation:

#### Initial Reduction in Force of One Lieutenant Position

	Seniority Rank	County Service	Time in Classification (or higher)	
	1.	20 yrs	Lt. 10 yrs	
	2.	16 yrs	Lt. 7 yrs	
	3.	16 yrs	Lt. 5 yrs	
r	4.	18 yrs	Lt. 3 yrs	Least time spent as a Lieutenant, bumped to prior Sergeant position
ı	Impact on	Sergeants		
ı	Seniority Rank	County Service	Time in Classification (or higher)	
ı	1.	25 yrs	Sgt. 15 yrs	
ı	2.	22 yrs	Sgt. 12 yrs	
L	3.	18 yrs	Lt. 3 yrs Sgt. 7 yrs	Previously held permanent status as a Sergeant, 10 years seniority in class or higher
	4.	20 yrs	Sgt. 8 yrs	Bumped to prior Deputy Sheriff position

#### 2. Calculation of Department Seniority

In the case of two or more employees with equal Classification Seniority, the order of layoff will then be determined by total full-time service in all positions held in the Sheriff's Department. Extra-help/part-time service is not counted in calculating Department Seniority.

#### 3. Calculation of County Seniority

In the case of two or more employees with equal Classification and Department Seniority the order of layoff will then be determined by County Seniority. Calculation of County Seniority means all continuous service in the County, regardless of classification and department. Continuous extra-help service shall be included in the calculation of County Seniority.

Extra-help hours served on or after January 1, 1999, shall be calculated on an hour-for-hour basis with eight (8) hours as the equivalent of one (1) day of service. Extra-help hours served prior to January 1, 1999, are not available in the existing payroll system and will be calculated at 2.86 hours a day per seven (7) calendar days of service (equivalent of 20 hours per calendar week).

#### 4. Lottery

Should the order of layoff not be determined in the calculation of Classification, Department, or County Seniority an agreed upon lottery system will be used to determine the order of layoff. The County and the impacted bargaining unit(s) will meet and confer over the terms and conditions of the lottery process prior to each lottery.

#### WRITTEN NOTICE

Written notice of layoff shall be served on affected employees by the Chief Executive Office in person or by certified letter mailed to the last address on file with the Chief Executive Officer. Notice will be served or mailed at least 21 calendar days prior to the effective date of the separation. Notice shall be deemed served upon return of a delivery receipt or receipt showing attempted delivery.

Notice of probationary release to employees on probation will be served by the Department Head.

#### **DEMOTION RIGHTS IN LIEU OF LAYOFF**

In lieu of being laid off, an employee may elect to voluntarily demote within the Sheriff's Department to a lower paid classification previously held and in which the employee presently meets the minimum qualifications of the classification. Less senior employees who may be displaced as a result of demotion actions shall in turn be subject to the provisions of this section. In order to exercise these options, the employee affected must so advise the Deputy Executive Officer of Human Resources in writing no later than seven (7) working days after receiving notice of layoff.

# **VOLUNTARY TRANSFER OR DEMOTION IN LIEU OF LAYOFF VACANCY**

In lieu of being laid off, an employee may request to voluntarily transfer or demote to a vacant position in the Sheriff's Department or any other County department. The transferring employee must meet the minimum qualifications of the classification at the time of transfer. Such requests require approval by the Department Head. Employees transferring or demoting may be required to serve classification and/or department probation consistent with existing County personnel policies.

#### **RE-EMPLOYMENT**

For a period of two (2) years from the effective date of layoff no regular position in the affected classification in the department involved shall be filled without first providing employees possessing rights to re-employment with an opportunity to be rehired. During the period of April 6, 2010 through June 30, 2012, the parties have agreed to extend the re-employment rights based upon the provisions outlined in each Association's agreement with the County: Implementation of 5% Salary Savings in Fiscal Years 2010-2011 and 2011-2012. Re-employment lists shall be in inverse order of lay-off with the most senior employee from amongst those laid-off rehired first. Such re-employment would be at the same salary step or the salary range assigned such classification and with the same seniority as the employee had earned at the time of layoff. Benefits paid out at the time of separation such as vacation or sick leave may be bought back at employee expense. Written notice of the re-employment opportunity shall be sent by certified mail to the last known-address of the former employee by

the Department Head or designee. The former employee shall have fourteen (14) calendar days to respond to the notice.

# ADMINISTRATIVE DECISIONS

The Chief Executive Officer is authorized to render decisions resolving questions of seniority and continuous service in the administration of this section.

## **APPEALS**

Persons subject to layoff or demotion under these provisions may appeal to the Chief Executive Officer any allegation of error, fraud, irregularity or bias in the application of the reduction-inforce procedures. Any appeal submitted shall include the basis for the appeal.

An informal appeal shall first be filed by the affected person to the County's Deputy Executive Officer of Human Resources within (7) days of receiving the notification of the reduction-inforce. The Deputy Executive Officer shall review the applicable MOU, Reduction-in-Force Policy, and the seniority calculation methodology. The Deputy Executive Officer shall respond to the request in writing.

The affected person may appeal the Deputy Executive Officer's decision to the County's Chief Executive Officer, within seven (7) days after receipt of the Deputy Executive Officer's decision. The Chief Executive Officer shall respond to the appeal request in writing.

Shall the affected person wish to appeal the Chief Executive Officer's decision he/she may request a hearing with the Hearing Board established pursuant to Stanislaus County Ordinance Code 3.28.06 within seven (7) days of receipt of the Chief Executive Officer's decision. The Chief Executive Officer shall forthwith transmit the appeal request to the Hearing Board. The Hearing Board shall within a reasonable time from the filing of the appeal, commence the hearing thereof and shall notify the interested parties of the time and place of the hearing at least five (5) days in advance thereof.

At the hearing, both the appellant and the County shall have the right to be heard publicly, to be represented by Counsel and to present evidentiary facts. In certain situations in which an affected employee is disputing the seniority calculation of another employee both the affected employee who is disputing the seniority calculation and the employee whose seniority is being questioned may have the right to be present at the hearing subject to agreement by the affected labor organization and the County. The parties may agree to a hearing closed to the public and the Hearing Board may at any time exclude any person who may be a witness in the appeal under consideration. The hearing shall be informal and the Hearing Board shall not be bound by any of the rules of evidence governing trial procedure and State courts. The Hearing Board shall render a written decision, copy of which shall be transmitted to the Chief Executive Officer. The Chief Executive Officer shall serve a copy of the decision upon the appellant. The decision of the Hearing Board shall be final.

Relevant provisions in Chapter 3.28.060 and 3.28.070 of the Ordinance Code of Stanislaus County shall govern the hearing process.

## SICK LEAVE CASH OUT PROVISIONS

Employees with one (1) year of service or more who are laid off due to a reduction-in-force shall be eligible for twenty-five percent (25%) sick leave cash out upon termination from the County.

# **GRIEVANCE PROCEDURE** - MOU PROVISION

## Procedure for Settling Grievances Including Binding Arbitration

A. <u>Intent</u>: It is the intent of this provision of the Memorandum of Understanding to provide orderly and equitable procedures for the presentation and resolution of misunderstandings and disputes between the County and its employees. It is further intended that the exercises of these rights in good faith be available to all County employees, (except as herein provided) without fear of reprisal or coercion.

#### B. Definitions:

- 1. <u>Grievance</u> A grievance is defined as an employee initiated allegation that a term or condition of employment established by State law, County Ordinance, resolution, Memorandum of Understanding or written departmental policy is being violated provided, however, that such term or condition of employment is not subject to the discretion of the County or is not a subject outside of the scope of representation as defined in Section 3500 et seq. of the Government Code or the County's Employee relations Ordinance. This grievance procedure shall not apply to matters within the scope of applicable Federal or State grievance procedures.
- 2. <u>Complaints</u> A complaint is defined as an employee initiated allegation or dispute concerning terms and conditions of employment which are not grievances as defined above. Complaints shall be handled as herein provided except that as complaint may not be appealed to the Chief Executive Officer or to arbitration.
- C. <u>Exclusion of Disciplinary Appeals and Equal Employment Opportunity Grievances</u> Appeals from disciplinary actions or grievances alleging violation of the County's policies of equal employment opportunity or equal rights or involving allegations of employment discrimination will be handled pursuant to the County's Equal Employment opportunity grievance procedure and does not include binding arbitration as the final step in the procedure.
- D. <u>Representation</u> In presenting and resolving grievances, employees may represent themselves on County time, within reason, or may designate a representative of their own choosing. Costs associated with such representation, if any, will be borne by the employee.
- E. <u>Time Limits</u> The time limits herein specified may be extended to a definite date by mutual consent of the parties. Failure to meet time limits by the employee shall constitute withdrawal of the grievance. Such failure by the County shall entitle the employee to request the next step in the procedure.

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# F. <u>Grievance Procedure Steps</u>:

- 1. <u>Informal Discussion</u> Every effort should be made to settle grievances at the lowest level of supervision possible. The employee should advise his/her immediate supervisor that a grievance is present and explain it to the immediate supervisor no later than fifteen (15) working days after he becomes or should become aware of the issue. The immediate supervisor shall thereafter hear, and decide the matter informing the employee of the decision orally within seven (7) working days.
- 2. Written Grievance If the grievance is not resolved through informal discussion, the employee may within seven (7) working days from the date of the supervisor's informal decision, submit a written grievance to said supervisor with a copy submitted to the Department Head and the Director of Personnel. Such a written grievance, signed by the employee shall set forth the facts as issue, the relief sought and time of occurrence of any alleged incident or violations precipitating the grievance. The supervisor shall thereafter further investigate and consider the grievance and deliver a written decision to the employee within seven (7) working days after receiving the grievance.
- 3. <u>Department Head Review</u> If the grievance is not resolved by the written decision of the supervisor, the employee may request in writing within seven (7) working days after delivery of prior written decision that the grievance be reviewed by the Department Head. If such a request is received, the Department Head or his designee shall conduct such meeting(s) with the employee, informal hearings or investigations as are appropriate in his judgment and deliver to the employee a written decision within seven (7) working days after receipt of the review request.
- 4. <u>Advisory Opinion of Director of Personnel</u> At any point in this procedure after filing a written grievance or complaint, the Director of Personnel may offer, or either party my request, the non-binding advisory opinion verbal or in writing of the Director of Personnel concerning resolution of the grievance or complaint.
- 5. Grievance Appeal If the employee wishes to appeal the Department Head's decision, he/she shall do so in writing within seven working days after receipt of the Department Head's decision. The employee may elect to submit the grievance for final decision to 1) either the Chief Administrative Officer or 2) the employee may request binding arbitration. Within the specified time period the employee shall specify in writing to the Director of Personnel whether the grievance should be submitted to the Chief Executive Officer or binding arbitration. The decision to utilize either procedure shall be the prerogative of the aggrieved employee(s); access to only one of the two procedures for the purpose of resolving the alleged grievance shall be given the employee(s); the option of procedure utilized shall be binding and irrevocable upon the employee; and the procedure utilized shall be limited to grievances only as defined in Section B, subsection 1 herein, excluding all complaints.
  - a. <u>Submission of the Grievance Appeal to the Chief Executive Officer</u>

If the employee wishes to appeal the Department Head's decision to the Chief Executive Officer, in lieu of binding arbitration, the employee shall do so in

writing to the Director of Personnel specifically stating this option, within seven working days after receipt of the Department Head's decision. The Chief Executive Officer or his/her designee shall thereafter conduct an informal hearing, and any other meetings or investigations as are appropriate in his/her judgment. The written decision of the Chief Administrative Office or his/her designee shall be delivered to the employee within fifteen working days after receipt of the appeal. The decision of the Chief Administrative Officer or his/her designee shall be the final step in the County's procedure for settling grievances.

### b. Submission of the Grievance Appeal to Binding Arbitration

If the employee wishes to appeal the Department Head's decision and elects to not refer the matter to the Chief Administrative Officer for final resolution, the employee may elect binding arbitration by writing to the Director of Personnel within seven (7) working days after receipt of the Department Head's decision. Prior to the selection of the arbitrator and submission of the grievance for hearing by an arbitrator, the Director of Personnel shall informally review the grievance and determine whether said grievance may be adjusted to the satisfaction of the parties. The Director of Personnel shall have ten (10) working days in which to review and seek amicable resolution of the grievance.

#### 1. Selection of Arbitrator

If the required steps of the grievance procedure have been exhausted and the grievance remains unresolved and is subject to arbitration, the arbitrator may be selected by mutual agreement between the Director of Personnel and the grievant or his/her representative. However, should the parties fail to mutually agree on an arbitrator they shall make a joint request of the State Conciliation Service for a list of five qualified arbitrators. The arbitrator shall be selected from the list by the parties alternately striking names with the first strike determined by chance, until only one name remains, and that person shall serve as arbitrator.

#### 2. <u>Arbitration Issues</u>

The parties shall, within 15 working days following the informal review of the Director of Personnel, exchange in writing their understanding of the questions to be submitted to arbitration. Thereafter, the parties to the arbitration shall use their best efforts to exchange a written summary of the evidence they intend to offer and to reach agreement on and reduce to writing the question or questions to be submitted to arbitration. The agreed upon question or questions, if agreement is reached, together with the exchanged summaries of evidence and a list of witnesses to be used by each side, shall be submitted to each other and the arbitrator no later than five (5) working days prior to the arbitration hearing.

## 3. <u>Arbitration Expenses Shared</u>

The cost of employing the arbitrator shall be borne equally by the parties to the arbitration. All other costs such as, but not limited to, attorney's fees shall be borne only by the party incurring that cost. If both parties agree to the use of a court reporter, or if the arbitrator requires the use of a court reporter, the cost of the court reporter shall be shared equally. Absent mutual agreement the side requesting use of the court reporter shall absorb the cost. The cost of the transcript, if one is prepared, shall be absorbed by the party requesting the transcript, unless both parties mutually agree to share the cost of the transcript. If the arbitrator requests that a copy of the transcript be prepared both parties shall share the cost of the transcript.

# 4. <u>Duty of Arbitrator</u>

The arbitrator shall conduct an informal hearing, and any other meetings or investigations as are appropriate in his/her judgment. The arbitrator shall not have the right to amend, modify, nullify, ignore, add to, or subtract from the provisions of the Memorandum of Understanding, County Ordinance, resolution, or written departmental policy. He/she shall consider and make a decision with respect to only the specific issue(s) submitted, and shall not have authority to make a decision on any other issue not so submitted. In the event the arbitrator finds a violation of the Memorandum of Understanding, applicable State or Federal law, County Ordinance, board resolution or written departmental policy, he/she shall decide the appropriate resolution. The arbitrator shall have no authority to substitute his/her judgment for that of the County as to any matter within the County's discretion. The decision and award of the arbitrator shall be based solely upon the evidence and arguments presented to the arbitrator by the respective parties.

Proposals to add to or change the Memorandum of Understanding or written agreements or addenda supplementary hereto shall not be arbitrable and no proposal to modify, amend or terminate this Memorandum of Understanding, nor any matter or subject arising out of or in connection with such proposals, may be referred to arbitration under this section.

# 5. <u>Binding Decision</u>

The decision of the arbitrator rendered consistent with the terms of the Memorandum of Understanding, applicable State or Federal law, County ordinance, resolution, or written departmental policy shall be binding upon the employee, the employee's duly recognized employee organization and the County.

Based upon significant financial impact of the arbitrator's decision upon

the County, within 15 working days of receipt of the arbitrator's decision the County may request that the Union meet with the County to discuss the financial impact of the decision. The Union agrees to meet and consult with the County over the impact upon the County of the decision. Absent agreement between the parties to modify or mitigate the impact of the arbitrator's decision, the decision of the arbitrator shall be final and binding on the parties.

#### 6. Arbitrator's Decision Due

Unless the parties agree otherwise, the arbitrator shall render the decision in writing within 30 days following the close of the hearing to the Director of Personnel. The Director of Personnel shall immediately provide a copy of the decision to the employee, the employee's duly elected representative and the Department Head. If requested by either party, the decision shall be accompanied by findings of fact and conclusions of law.

# 7. Non-employee Organization Representation

In the event that an employee chooses to represent himself/herself, or arranges for representation independent of the recognized employee organization, including assumption of the costs of arbitration as provided in subsection 3 herein, the recognized employee organization shall have the right to be a party to such proceeding for the sole purpose of protecting the interests of its members under the terms of the Memorandum of Understanding. The employee organization shall be bound by the decision of the arbitrator.

#### DISCIPLINE OF PERMANENT CLASSIFIED EMPLOYEES

#### 3.28.010 Causes for discipline.

An employee in the classified service who has permanent status shall be subject to the disciplinary action pursuant to this chapter. Each of the following shall constitute cause for discipline:

- A. Omission or willful misrepresentation of a material fact or other fraud in securing employment;
- B. Incompetence;
- C. Inefficiency;
- D. Inexcusable neglect of duties;
- E. Insubordination;
- F. Dishonesty;
- G. Improper use of drugs, including (1) drunkenness on duty, (2) use of drugs while on duty, (3) incapacitation for proper performance of duties by prior use of drugs. The term "drugs" shall mean controlled substances as defined in Division 10 (commencing with Section 11000) of the California Health and Safety Code, and shall also mean alcohol;
- H. Unexcused absence from duty, including but not limited to, participation in unlawful strikes or other job actions, such as sick-ins or slow-downs;
- I. Conviction of a felony or conviction of a misdemeanor involving moral turpitude. A plea or verdict of guilty, or a conviction following a plea of nolo contendere, to a charge of a felony or any offense involving moral turpitude is deemed to be a conviction within the meaning of this subsection;
- J. Discourteous treatment of the public or other employees;
- K. Willful disobedience;
- L. Misuse of county property;
- M. Inconsistent, incompatible or conflicting employment, activity or enterprise;
- N. Violation of a departmental rule;

O. Other failure of good behavior either during or outside of duty hours which is of such a nature that it causes discredit to the employee's department or employment. (Prior code § 2-240).

#### 3.28.020 Notice of intended discipline.

Prior to discharging, suspending or reducing a permanent employee in rank or compensation for disciplinary purposes, the department head or designee shall:

- A. Review the proposed action with the personnel director;
- B. Prepare and serve a written notice reviewed by the county counsel to inform the employee of the intended action, the reasons therefor, and the right to respond to the department head intending to impose the discipline. The notice shall identify the materials on which the action is based with sufficient certainty as to permit inspection of them by the employee. A copy of the intended charges be attached to the notice;
- C. The employee, given notice of intended disciplinary action, may within seven days after service of the notice, respond to the department head either orally or in writing. The employee shall not be entitled to a formal hearing with examination of witnesses but he may present statements by himself, written statements of any witness and other documentary material. He may be represented by another in presenting his response. The department head shall fairly and impartially consider the employee's response and shall thereafter: (1) impose the intended disciplinary action; (2) notify the employee that the intended disciplinary action will not be imposed; or (3) amend the charges. In the event the department head substantially amends the intended charges or punishment, he shall be given another notice as provided in subsection B of this section. (Ord. CS 557 § 39, 1994; prior code § 2-241).

#### 3.28.030 Notice of action and appeal.

In the event of the department head determines to discharge, suspend or reduce in rank or compensation a permanent employee after completing the procedures provided in Section 3.28.020, he shall serve upon the employee an order in writing stating (A) the nature of the disciplinary action, (B) the effective date of the action, © the causes therefor, (D) the specific acts or omissions upon which the causes are based, stated in ordinary and concise language and (E) the right of the employee to appeal. The employee acted against may, within seven days after service of the order, appeal the action of the department head. If the employee fails to appeal within the time specified, or subsequently withdraws his appeal, the punitive action taken by the department head shall be final. An appeal shall be in writing, shall be filed with the personnel director and shall contain an answer to each charge in the order. The answer shall include any objections the employee may have as to the form or substance of the order or the procedures followed by the department head. The personnel director shall forthwith transmit the order and appeal to the employee disciplinary proceedings hearing board for hearing. The hearing board shall, within a reasonable time for the filing of the appeal, commence the hearing thereof, and shall notify the interested parties of the time and place of hearing at least five days in advance thereof. (Prior code § 2-242).

#### 3.28.040 Amendment of order.

- A. At any time before the hearing, the department head may file with the employee disciplinary proceedings hearing board an amended or supplemental order, which shall be served upon the employee. The hearing board shall afford the employee a reasonable opportunity to prepare his defense to the amended or supplemental order but he shall not be entitled to file a further answer unless the hearing board in its discretion so orders. Any new charges shall be deemed denied by the employee. At any time before the matter is submitted for decision, the hearing board may order or permit amendments to the order or answer.
- B. The hearing board may offer amendment of the order after submission of the case for decision. Each party shall be given notice of the intended amendment and opportunity to show that he will be prejudiced thereby unless the case is reopened to permit the introduction of additional evidence. If such prejudice is shown, the hearing board shall reopen the case to permit the introduction of additional evidence. (Prior code § 2-243).

#### 3.28.050 Notice or order service.

Whenever reference is made in this chapter to service of any notice or order, such service shall be accomplished either by handing a copy thereof to the employee or by mailing a copy to the employee at his last known address by registered or certified mail. It shall be presumed that a properly addressed letter is served on the day following the day on which the letter was mailed. The department head shall promptly furnish the personnel director with a copy of each notice or order and a statement showing by whom, the manner and the date the notice or order was served. (Prior code § 2-244).

#### 3.28.060 Hearing board and hearing officer.

- A. The chairman of the board of supervisors shall appoint a three-member disciplinary proceedings hearing board to hear appeals pursuant to this chapter. The hearing board shall consist of a member of the State Bar of California, who shall act as chairman, a county department head and an employee. Proposed members shall be selected as follows:
  - 1. The personnel director shall submit the name of a member of the State Bar of California who shall not be a member of the county service.
  - 2. The personnel director shall submit the name of a head of a department of the county.
  - 3. Upon the request of the personnel director, each recognized employee organization shall, within five working days, nominate a permanent full-time employee of the county, and the personnel director shall submit the name of the employee chosen by lot, provided that if a recognized employee organization fails to nominate an employee, the personnel director shall do so. In the event the appellant is from the same department as a member of the appeal board, the personnel director shall submit another name for appointment to replace such member for that case only. The term of each member shall end on December 31st of each year, but a member shall continue to act on any appeal filed before that date. Two members of the appeal

board shall constitute a quorum, provided, however, that the personnel director or the chairman of the appeal board may request the temporary appointment of a member to replace a member who is or will be unavailable on the scheduled hearing date.

B. Upon written agreement of the county and the appellant made at any time before the hearing board is convened, the appeal shall be heard and decided by the chairman of the appeal board as a hearing officer. The rules and procedures set forth in this chapter for hearing by a hearing board shall also apply to a hearing by a hearing officer. (Prior code § 2-245).

#### 3.28.070 Hearing rules.

At a hearing, both the appealing employee and the department head whose action is reviewed shall have the right to be heard publicly, to be represented by counsel and to present evidentiary facts. The parties may agree to a hearing closed to the public, and the hearing board may at any time exclude any person who may be a witness in the case under consideration. The hearing shall be informal and the hearing board shall not be bound by any of the rules of evidence governing trial procedure in state courts. In arriving at a decision, the hearing board may consider any prior county disciplinary action including any letters of reprimand filed with the county personnel department. The hearing board shall make an official decision either affirming, modifying, or revoking the order. The decision shall contain findings of fact which may be stated in the language of the pleadings or be reference thereto. A copy of the written decision shall be transmitted to the department head and the personnel director. The personnel director shall serve a copy of the decision upon the employee, and shall notify the employee that the time within which judicial review must be sought is governed by California Code of Civil Procedure Section 1094.6. A copy of the decision shall be placed in the employee's personal history file. The decision of the hearing board shall be final. (Prior code § 2-246).

#### 3.28.080 Immediate termination.

Notwithstanding the provisions of Section 3.28.020, the department head may discharge a permanent employee without prior notice if immediate termination is essential to avert harm to the county or to the public. In such case, the notice of discharge shall inform the employee of his right to reconsideration by the department head who shall follow the procedures of Section 3.28.020, and where appropriate shall follow the procedures of Section 3.28.030. (Prior code § 2-247).

#### 3.28.090 Measures pending final determination.

The department head may, while intended disciplinary action is pending, and with prior review by the personnel director and the chief executive officer, take one or more of the following measures:

- A. Defer the imposition of the punishment until the final order of the hearing board;
- B. Place the employee on leave of absence with compensation;
- C. With the concurrence of any department head involved, require the employee to perform such duties as may be assigned in the same or another county department with no reduction in

compensation. Reassignment without the consent of the employee shall not exceed a period of ninety days if accusations against the employee are under investigation, but such assignment may continue until the action becomes final if the employee has been given notice of discharge;

D. Suspend the employee without pay if accusations against the employee are under investigation, and the accusations are such that, if true, immediate removal is essential to avert harm to the county or to the public, provided: (1) the employee shall be accorded the rights provided by this chapter, and may appeal the order of suspension to the hearing board at any time during the period of suspension; (2) the period of suspension without compensation shall not exceed forty-five days; (3) that in the event the employee is not served with notice of intended charges during the period of suspension, the employee shall be reinstated in county service as of the initial date of suspension; (4) that in the event the punitive action taken against the employee does not result in termination of employment, the employee shall be restored to county service for the period of the preliminary suspension and any disciplinary suspension or reduction in rank or compensation ordered or approved by the hearing board shall commence on or after the date of the punitive action by the department head. The department head may discontinue an employee's leave of absence with compensation or his suspension without compensation giving the employee forty-eight hours' notice in writing to return to duty. (Ord. CS 557 § 40, 1994; prior code § 2-248).

#### 3.28.100 Maximum suspension.

No disciplinary suspension shall be imposed for any period exceeding forty-five days and the order of suspension shall expressly state, in addition to the reasons therefor, the date of the commencement and expiration of suspension. (Ord. CS 107 § 1, 1985: prior code § 2-249).

#### 3.28.110 Hearing procedure.

The hearing shall proceed as follows:

- A. The hearing board may adopt rules of procedure. The personnel director shall be ex officio secretary to the hearing board, and the personnel director shall be authorized to issue subpoenas, make necessary orders and administer oaths in connection with the proceedings of the hearing board. Any person failing to obey a subpoena, or subpoena duces tecum, or to be sworn and testify, shall be deemed to be in contempt of the hearing board and the hearing board shall have the power to take such proceedings and impose such punishment thereof as may be taken by the board of supervisors pursuant to Title 3, Division 2, Part 2, Chapter 1, Article 9 (Sections 25170 through 25176) of the Government Code.
- B. The personnel director shall cause the proceedings to be recorded by any method he finds to be appropriate. Any person may purchase all or part of the record provided the request therefor is made within ninety days of the date of service of the final decision of the employee, the department head or the personnel director shall have a right to purchase a transcript of a hearing held in closed session. A request for the record shall be accompanied by payment of the estimated cost thereof as determined by the personnel director, and the

- person making the request shall be obligated to pay the full cost prior to delivery of the transcript.
- C. The burden of proof shall be on the head of the department issuing the disciplinary order.

  The quantum of proof required to sustain such action shall be preponderance of the evidence.
- D. At the hearing the employee may be examined under Section 776 of the California Evidence Code. Failure of the employee to appear at the hearing or failure to testify if called as a witness shall be deemed a withdrawal of the employee's appeal and the action of the department head shall be final.
- E. The hearing board may affirm or revoke the action taken by the department head or may modify such action to a less severe punishment. The hearing board may order the employee returned to his/her position either as of the date of the punitive action by the department head or as of such later date as the hearing board may specify. If the hearing board shall revoke or modify the order of the department head, the appealing employee shall be granted forthwith all rights and privileges pertaining to county service in accordance with the order of the hearing board. (Ord. CS 557 § 41, 1994; prior code § 2-250).

#### 3.28.120 Petition to set aside resignation.

In the event a person claims his resignation was given by reason of mistake, fraud, duress, undue influence, or that for any other reason it was not his free and voluntary act, he may submit a written petition to the personnel director to set aside his resignation and such petition shall be treated in the same manner as an appeal from an order for discharge; provided, however, that no such petition shall be considered by the hearing board unless it is filed with the personnel director within thirty days after (A) the last date upon which services to the county are rendered; or (B) the date the resignation is tendered to the appointing power, whichever is later. (Prior code § 2-252).

# Binding Arbitration by an Outside Arbitrator in Lieu of Section 3.28.060 Hearing Board and Hearing Officer of The Stanislaus County Discipline Ordinance

# A. Submission of the Disciplinary Appeal to the Hearing Board or Hearing Officer

The parties agree that the employee may elect to have the disciplinary matter heard by the current discipline appeals board as provided by Stanislaus County Code Section 3.28.060, "Hearing board and hearing officer" in lieu of binding arbitration by an outside arbitrator. Should the employee elect to utilize the hearing board or hearing officer as provided by Section 3.28.060 of the County Code, the decision of the hearing board or hearing officer shall be final and the employee shall forego the option of arbitration by an outside arbitrator. The employee agrees to assume half of the cost of the hearing officer.

# B. Submission of the Disciplinary Appeal to Binding Arbitration

## 1. Notice of Action and Appeal

In the event the Department Head determines to discharge, suspend or reduce in rank or compensation a permanent employee after completing the procedures provided in section 3.28.020, he shall serve upon the employee an order in writing stating (A) the nature of the disciplinary action, (B) the effective date of the action, (C) the causes therefore, (D) the specific acts or omissions upon which the causes are based, stated in ordinary and concise language, and (E) the right of the employee to appeal. The employee acted against may, within seven (7) days of service of the order, appeal the action of the Department Head. If the employee fails to appeal within the time specified, or subsequently withdraws his/her appeal, the punitive action taken by the Department Head shall be final.

An appeal shall be in writing, shall be filed with the Director of Personnel, shall request specifically the use of binding arbitration in lieu of the discipline appeals board, and shall contain a complete answer to each charge set forth in the order. The answer shall include any objections the employee may have as to the form or substance of the order or the procedures followed by the Department Head.

#### 2. Selection of Arbitrator

If the employee elects to have the disciplinary proceeding heard by an arbitrator, the arbitrator may be selected by mutual agreement between the Director of Personnel and the employee or his/her representative. However, should the parties fail to mutually agree on an arbitrator, they shall make a joint request of the State Conciliation Service for a list of five (5) qualified arbitrators. The arbitrator shall be selected from the list by the parties alternately striking names

with the first strike determined by chance, until only one (1) name remains, and that person shall serve as arbitrator.

The Director of Personnel shall forthwith transmit the order and appeal to the arbitrator for hearing. The arbitrator shall, within a reasonable time of the filing of the appeal and the election of the arbitrator, commence the hearing thereof, and the Director of Personnel shall notify the interested parties of the time and place of hearing at least five (5) days in advance thereof.

#### 3. Arbitration Issues

The parties shall exchange summaries of evidence and a list of witnesses to be used by each side shall be submitted to each other and the arbitrator no less than five (5) working days prior to the arbitration hearing.

# 4. Arbitration Expenses Shared

The cost of employing the arbitrator and the court reporter for all discharges, excluding the transcript, shall be borne equally by both parties to the arbitration. The cost of the transcript shall be covered as provided by County Code Section 3.28.110, subsection A, "Hearing Procedure". All other costs such as, but not limited to, attorney's fees shall be borne by the party incurring that cost. If both parties agree to the use of a court reporter other than for discharges, or the arbitrator requires the use of a court reporter, the cost of the court reporter shall be shared equally.

# 5. Duty of Arbitrator

The duties of the arbitrator shall be those of the hearing board as referred to throughout the Stanislaus County Discipline Ordinance including, but not limited to, Sections 3.28.070 "Hearing rules" and 3.28.110 "Hearing procedure".

#### 6. Arbitrator's Decision Due

Unless the parties agree otherwise, the arbitrator shall render the decision in writing within thirty (30) days following the close of the hearing. A copy of the written decision shall contain findings of fact which may be stated in the language of the pleadings or be referenced thereto. If requested by either party, the decision shall be accompanied by findings of fact and conclusions of law.

A copy of the written decision shall be transmitted to the Department Head and the Director of Personnel. The Director of Personnel shall cause to be served a copy of the decision upon the employee. Service by mail at the employee's last known address shall be sufficient for purposes of this section. A copy of the decision shall be placed in the employee's personal history file. The decision of the arbitrator shall be final and binding on both parties.

## 7. Non-Employee Organization Representation

In the event that an employee chooses to represent himself/herself, or arranges for representation independent of the recognized employee organization, the employee assumes the costs of the hearing officer or arbitration as provided herein.

This includes that the cost of employing the arbitrator and the court reporter for all discharges, excluding the transcript, shall be borne equally by both parties to the arbitration. The cost of the transcript shall be covered as provided by County Code Section 3.28.110, subsection A "Hearing Procedure". All other costs such as, but not limited to, attorney's fees shall be borne only by the party incurring that cost. If both parties agree to the use of a court reporter other than for discharges, or the arbitrator requires the use of a court reporter, the cost of the court reporter shall be shared equally.

If the employee elects to utilize the hearing officer or panel in lieu of arbitration, the employee agrees to assume half the cost of the hearing officer.