INFORMATION PACKET
FOR MEDICAL WASTE GENERATORS

The Medical Waste Management Act defines medical waste as material that is Bio-hazardous or Sharps waste, or waste resulting from immunization or search on humans and animals. This packet contains the information and forms you will need to help you comply with the Medical Waste Management Act, California Health And Safety Code (Sections 117600-118360).

Instructions

Please return the completed forms prior to medical waste generation or treatment.

1. Complete the “Pre-Application Questionnaire” on Page 2. If your answers indicate you are not required to register as a medical waste generator, then complete the “Certification Statement” on Page 4 and return both completed forms to the mailing address below.

2. If you are required to register as a medical waste generator, as indicated by affirmative answers to questions 3 & 4 on the “Pre-Application Questionnaire”, you must:
   A. Complete the “Registration for Medical Waste” form located on Page 5.
   B. Complete a “Medical Waste Management Plan” following the guidelines provided on Page 6. If there are no changes to your Management Plan, indicate “No Changes”.
   C. Return the completed forms and management plan to our Department at the mailing address below within fourteen (14) working days.
   D. If a permit is required, complete and return the appropriate permit application included in this packet.

Your cooperation in promptly completing these forms is greatly appreciated. If you have any questions regarding registration or handling requirements, please contact our office at (209) 525-6700

RETURN ALL COMPLETED FORMS TO:

3800 Cornucopia Way, Suite C
Modesto, California  95358
(209) 525-6700
(209) 525-6774 (Fax)
PRE-APPLICATION QUESTIONNAIRE
Regulated Medical Wastes

Type of Medical Waste Generated (Please check all that apply to your facility):

☐ Laboratory Wastes: Specimen or microbiologic cultures, stocks of infectious agents, live and attenuated vaccines and culture mediums

☐ Blood or Body Fluids: Liquid blood elements, other regulated body fluids, articles contaminated with blood or body fluids

☐ Sharps: Syringes, needles, blades and contaminated broken glass

☐ Contaminated Animals: Animal carcasses, body parts and bedding materials

☐ Surgical Specimens: Human or animal parts or tissues removed surgically or by autopsy

☐ Isolation Wastes: Waste contaminated with excretion, exudates, or secretions from humans or animals who are isolated due only to the highly communicable diseases listed by the Centers for Disease Control, Biosafety Level 4 precautions

☐ Pharmaceuticals: Any drug, including over-the-counter medication, which has no value, (i.e. cannot be dispensed, repacked, sold, restricted, or returned for credit)

Please check the appropriate box for the questions listed bellow:

1. Does your business or service generate any of the medical waste listed above? ☐ Yes ☐ No

   If your answer is “No”, please complete the “Certification Statement” on Page 4 and return it with this questionnaire to the address indicated. You do not need to complete the remainder of this questionnaire.

2. Do you generate less than 200 pounds of medical waste per month? ☐ Yes ☐ No

   If you answered “Yes”, you are a small generator.

3. Small generators may store their medical waste in a permitted Common Storage facility with other small generators. Do you plan to do this at your facility? ☐ Yes ☐ No
If your answer is “Yes”, you must fill out a “Common Storage Facility Permit Application” on page 12.

4. Do you plan to treat your medical waste onsite (at your facility), by autoclaving, incinerating, microwaving, or other California approved method? □ Yes □ No

If you are a small generator and your answers to question 3 and 4 are “No”, then complete the “Certification Statement” on Page 4 and return it with this questionnaire to the letterhead address. You do not need to complete the rest of this package.

If your answer to this question is “Yes”, you must complete Pages 5, 6 and page 9 and return them with this questionnaire and the appropriate fee to the address indicated on Page 1.

If you generate less than 20 pounds of medical waste per week, transport less than 20 pounds at one time, and have a hauling information document on file in your office, you may apply for a Limited Quantity Hauling Exemption permit. This exemption allows you or your staff to transport medical waste to a medical waste treatment facility.

5. Do you want to apply for a Limited Quantity Hauling Exemption (LQHE)? □ Yes □ No

If your answer ‘Yes”, you are a small generator and need to complete the LQHE permit application on page 10.
CERTIFICATION STATEMENT

FOR NON-MEDICAL WASTE GENERATORS AND MEDICAL WASTE GENERATORS NOT REQUIRED TO REGISTER

Business Name: ________________________________

Business Address: ________________________________

City State Zip Code

Phone Number: (_______) __________________________

Contact Person: ________________________________

I am not required to register as a Medical Waste Generator because (Please check the appropriate statement[s])

- [ ] I do not generate any medical waste.
- [ ] I generate less than 200 pounds of medical waste per month.
- [ ] Off-site treatment disposal through a registered hazardous waste hauler/mail-back service.
- [ ] Will transport medical waste myself, or by an employee, to a permitted treatment facility, transfer station, or consolidation point (requires filling a limited-quantity hauler exemption application with Stanislaus County).
- [ ] I do not treat any medical waste at my facility by means of autoclaving, incinerating or microwaving.
- [ ] I am not a State Licensed Facility

Other: ________________________________

I declare under penalty of law that to the best of my knowledge and belief the statements made herein are true and correct. I hereby consent to all necessary inspections made pursuant to the California Medical Waste Management Act and incidental to the issuance of this registration and the operation of this business.

Signature: ________________________________ Date: __________________
REGISTRATION FOR MEDICAL WASTE GENERATORS

State License Type: _____________________________
State License Number: _______________________

GENERATOR NAME: ___________________________________________________________

Generator Facility Address: ____________________________________________________
(City/Zip)

Phone Number: (___________) ________________________________

Generator Mailing Address: ____________________________________________________
(City/Zip)

Type of Business: ___________________________________________________________

Authorized Representative: ____________________________________________________

Title: _______________________________________________________________________

Emergency Phone Number: (___________) ________________________________

REGISTRATION FOR:

- [ ] Small Quantity Generator with Onsite Treatment (Generates less than 200 lbs/month).
- [ ] State Licensed Facility
- [ ] Large Quantity Generator Only (Generates 200 lbs or more/month).
- [ ] Large Quantity Generator with Onsite Treatment (Generates 200 lbs or more/month).
- [ ] Common Storage Facility Operation.

I declare under penalty of law that to the best of my knowledge and belief the statements made herein are true and correct. I hereby consent to all necessary inspections made pursuant to the California Medical Waste Management Act and incidental to the issuance of this registration and the operation of this business.

Signature: ___________________________________________ Date: _______________

REGISTRATION APPROVAL OFFICIAL USE ONLY

Business I.D. No. ______________ Service Code ______ Date Received _____________

Date Approved: __________ Approved by: __________ Date Expired _____________
MEDICAL WASTE MANAGEMENT PLAN

According to the Medical Management Act (Health and Safety Code, Section 117930 and 117960) any Small Quantity Generators (less than 200 pounds per month) that provide Onsite Treatment and all Large Quantity Generators (greater than 200 pounds per month) shall have a Medical Waste Management Plan on file with the Stanislaus County Department of Environmental Resources. The Medical Waste Management Plan shall contain the following information as appropriate for your facility:

Business Name: ____________________________________________________________

Business Address: __________________________________________________________

Phone Number: Phone Number: (____________) ______________________________

Type of Facility or Business: ________________________________________________

E-Mail Address: _____________________________________________________________

Registration for:

☐ Small Quantity Generator with Onsite Treatment (generates less than 200 pounds per month).
☐ Large Quantity Generator Only (generates 200 pounds or more per month).
☐ Large Quantity Generator with Onsite Treatment (generates 200 pounds or more per month).

Person responsible for implementation of the Medical Waste Management Plan:

Name: ___________________________________________________________________

Title: __________________________ Date: __________________________

1. List the types of medical waste generated at your facility, i.e., laboratory wastes, blood or body fluids, sharps, contaminated animals, surgical specimens, isolation wastes, or pharmaceuticals: (see “Regulated Medical Wastes” listed on Page 2).

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

2. Estimate the monthly amount of medical waste generated (including sharps waste) at your facility:

________________________________________________________________________ Pounds/month

________________________________________________________________________ Pounds/month
3. Describe the medical waste handling procedures utilized by and applicable to your facility, including, but not limited to the following:

A. Onsite location and method for segregation, containment, packaging, labeling and collection:
   ____________________________________________
   ____________________________________________
   ____________________________________________

B. Storage area description with storage methods utilized, including duration and temperature controls, if applicable:
   ____________________________________________
   ____________________________________________
   ____________________________________________

C. Onsite treatment facility description, including type of treatment utilized (i.e. autoclave, incineration, steam sterilization), maximum capacity, time and temperature necessary, alternate contingency plan in case of equipment failure, etc:
   ____________________________________________
   ____________________________________________
   ____________________________________________

D. Name, address, registration number and phone number of the registered hazardous waste hauler employed by your facility:

   Name: ____________________________________________
   Address: ____________________________________________ (City/Zip)
   Phone: (__________) ________________________________
   Registration #: ______________________________________

E. Name, address and phone number of Offsite Treatment Facility where medical waste is transported for treatment, if different than hauler:

   Name: ____________________________________________
   Address: ____________________________________________ (City/Zip)
   Phone: (__________) ________________________________
F. Do you have a Limited Quantity Hauling Exemption: □ Yes □ No

If you answered “Yes”, you are a small generator.

G. Who on your staff is authorized to transport your medical waste? (If more than 3 names, please attach a list.) List Names:

1) ____________________________________________
2) ____________________________________________
3) ____________________________________________

H. All medical waste generators are required to keep accurate records regarding containment, storage, hauling, treatment and disposal. All medical waste records areas are to be maintained and available for review during inspection for three (3) years.

Do you have tracking documents for all medical wastes □ Yes □ No

handled at your facility?

I. Describe (if applicable) how you handle mixed medical waste, hazardous or radioactive wastes:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

J. Describe your medical waste emergency action plan, including procedures for handling spills, exposures, equipment failures, etc:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

I hereby certify under penalty of perjury that this document and all the attachments have been prepared under my direction and supervision to assure that qualified personnel properly gather and evaluate the information submitted. The information is to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibilities of fine and imprisonment.

Signature: ____________________________________________
Date: ____________________________________________
PERMIT APPLICATION FOR MEDICAL WASTE GENERATION, AND TREATMENT

State License Type: __________________
State License Number: ________________

GENERATOR NAME: ________________________________

Generator Facility Address: ________________________________ (City/Zip)
Phone Number: (_________) ________________________________

Generator Mailing Address: ________________________________ (City/Zip)

Type of Business: _______________________________________

Authorized Representative: _________________________________
Title: __________________________________________________

Emergency Phone Number: (_________) _____________________

APPLICATION FOR:

☐ Small Quantity Generator with Onsite Treatment (Generates less than 200 lbs/month).

☐ Large Quantity Generator with Onsite Treatment (Generates 200 lbs or more/month).

OFFICIAL USE ONLY

Business I.D. No. ________________ Service Code ______ Date Received __________

Date Approved: __________ Approved by: ___________ Date Expired ___________
LIMITED – QUANTITY HAULING EXEMPTION
APPLICATION

(Please mail the completed application form to our office at the address indicated above.)

To qualify for a “Limited Quantity Hauling Exemption” pursuant to Medical Waste Management Act, the applying generator must meet all the following conditions:

1. Generates less than 20 pounds per week of medical waste.
2. Transports less than 20 pounds at any time.
3. Maintains the required “informational Documents” on file in the generators office for review by Stanislaus County’s inspector or other agency.
4. The generator, or member of the generator’s staff transports the medical waste to a permitted medical waste treatment facility, transfer station, or other facility for consolidation before treatment or disposal.
5. The generator maintains a tracking document for each shipment.

Medical Waste Hauler Information: □ New □ Renewal

Facility Name: _______________________________________________________________

Business Address: ____________________________________________________________
City                State                   Zip

Business Phone: ________________

Contact Person: ______________________________________________

List Of Persons Authorized To Transport Medical Waste:

1. ___________________________  4. _________________________________
2. ___________________________    5. _________________________________
3. ___________________________  6. _________________________________

NAME AND ADDRESS OF FACILITY RECEIVING THE WASTE:

______________________________________________________________
Facility Name     Facility Address

______________________________________________________________

Applicant’s Signature    Date

OFFICIAL USE ONLY

Business I.D. No. ___________________ Service Code _______ Date Received __________

Date Approved: __________ Approved by: __________ Date Expired __________
REQUIREMENTS FOR TRANSPORTATION OF MEDICAL WASTE

1. During transport, medical waste shall remain in a biohazard and placed in a rigid container that is leak resistant, has a tight fitting cover, and is clean and in good repair. The container shall be labeled with the word “BIOHAZARDOUS WASTE” or with international biohazard symbol and the word “BIOHAZARD” on the lid and sides.

2. Red bags shall be tied to prevent leakage or expulsion of contents during transportation.

3. Only a person that has been granted a Limited Quantity Hauling Exemption by the Stanislaus County Department of Environmental Resources may haul medical waste.

4. Medical waste shall not be transported in the same vehicle with other waste unless the medical waste is separately contained in rigid containers or kept separate by barriers from other waste.

5. Medical waste shall only be transported to a permitted medical waste treatment facility, or to a transfer station or another facility for the purpose of consolidation before treatment and disposal.

6. A generator transporting their own medical waste shall have a tracking document in their possession during transport.
APPLICATION FOR A COMMON STORAGE FACILITY PERMIT

A Common Storage Facility is utilized for the collection of medical waste produced by small quantity generators operating independently, but sharing the same “common” storage area.

Please complete the following:

☐ New ☐ Renewal

FACILITY NAME: _____________________________________________________________

BUSINESS ADDRESS: _________________________________________________________

CITY, STATE, ZIP CODE: ______________________________________________________

BUSINESS PHONE: ___________________________________________________________

CONTACT PERSON: __________________________________________________________

Common Storage Facility Address: _____________________________________________

____________________________________________________________________________

Please list below the names of the other Small Quantity Generators who will share the Common Storage Facility (If more than 5, attach info):

1. _______________________________________________________________________

2. _______________________________________________________________________

3. _______________________________________________________________________

4. _______________________________________________________________________

5. _______________________________________________________________________

____________________________________________________________________________

Applicant’s Signature __________________________ Date ______________

OFFICIAL USE ONLY

Business I.D. No. ______________ Service Code ______ Date Received _____________

Date Approved: _____________ Approved by: ______________ Date Expired ____________