

THE BOARD OF SUPERVISORS OF THE COUNTY OF STANISLAUS
ACTION AGENDA SUMMARY

DEPT: Health Services Agency *MW*

BOARD AGENDA # *B-3

Urgent

Routine *DR*

AGENDA DATE October 4, 2011

CEO Concurs with Recommendation YES NO

4/5 Vote Required YES NO

(Information Attached)

SUBJECT:

Approval for the Health Services Agency to Submit an Application to the California Department of Public Health for Funding Allocation of \$747,192 under the 2011-12 Centers for Disease Control Public Health Emergency Preparedness Funding, State General Fund Pandemic Influenza Funding, and U.S. Department of Health and Human Services Assistant Secretary for Prevention and Response Hospital Preparedness Program Funding Agreement

STAFF RECOMMENDATIONS:

1. Approve the Health Services Agency to submit an application to the California Department of Public Health (CDPH) for funding allocation of \$747,192 under the 2011-12 Federal Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness Program, State General Fund (State GF) Pandemic Influenza Planning Program, and Department of Health and Human Services (DHHS) Assistant Secretary for Prevention and Response, Hospital Preparedness Program (HPP) Funding Agreement.

(Continued on Page 2)

FISCAL IMPACT:

This Agreement will continue to provide funding for preparedness to respond to bioterrorism, infectious disease outbreaks, and other public health threats and emergencies. The Agreement will include a total allocation of \$747,192 and consists of two Federal and one State funded grant. Each grant has a separate allocation, scope of work and funding period. The Centers for Disease Control and Prevention

(Continued on Page 2)

BOARD ACTION AS FOLLOWS:

No. 2011-559

On motion of Supervisor Chiesa, Seconded by Supervisor Withrow

and approved by the following vote,

Ayes: Supervisors: O'Brien, Chiesa, Withrow, DeMartini, and Chairman Monteith

Noes: Supervisors: None

Excused or Absent: Supervisors: None

Abstaining: Supervisor: None

1) Approved as recommended

2) Denied

3) Approved as amended

4) Other:

MOTION:

Christine Ferraro

ATTEST: CHRISTINE FERRARO TALLMAN, Clerk

File No.

Approval for the Health Services Agency to Submit an Application to the California Department of Public Health for Funding Allocation of \$747,192 under the 2011-12 Centers for Disease Control Public Health Emergency Preparedness Funding, State General Fund Pandemic Influenza Funding, and U.S. Department of Health and Human Services Assistant Secretary for Prevention and Response Hospital Preparedness Program Funding Agreement

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STAFF RECOMMENDATIONS (Continued):

2. Authorize the Health Services Agency's Managing Director or her designee to sign the Agreement, and any amendments, and accept the funds when awarded.
3. Authorize the Chairman of the Board of Supervisors to sign the non-Supplantation Certification Form – Exhibit E to the Agreement.
4. Authorize the Auditor-Controller to make the necessary budget adjustments in accordance with the attached budget journals.

FISCAL IMPACT (Continued):

(CDC) base allocation is \$372,902 and covers the period of August 10, 2011 through August 9, 2012. The State General Fund Pandemic Influenza allocation is \$77,870 and covers the period from July 1, 2011 through June 30, 2012. The Hospital Preparedness Program (HPP) allocation is \$296,420 and covers the period of July 1, 2011 through June 30, 2012.

The CDC and HPP funding were included in the approved Fiscal Year 2011-2012 budget. The State General Fund Pandemic Influenza was not included at that time. The attached budget journal and corresponding staff recommendation would add the State General Fund Pandemic Influenza allocation of \$77,870 funding to the budget, as well as bring the CDC and HPP funding, included in the approved Fiscal Year 2011-2012 budget, in line with the actual award. There is no fiscal impact to the General Fund.

DISCUSSION:

In order to ensure greater coordination of separate funding streams and maximize integration of funds, the California Department of Public Health is again issuing a single guidance and Comprehensive Agreement for all funding sources.

These funding sources are:

- Public Health Emergency Preparedness (PHEP) all-hazards funds awarded to California by the CDC for CDPH and Local Health Departments (LHDs) to develop and maintain public health preparedness.

Approval for the Health Services Agency to Submit an Application to the California Department of Public Health for Funding Allocation of \$747,192 under the 2011-12 Centers for Disease Control Public Health Emergency Preparedness Funding, State General Fund Pandemic Influenza Funding, and U.S. Department of Health and Human Services Assistant Secretary for Prevention and Response Hospital Preparedness Program Funding Agreement

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- Hospital Preparedness Program (HPP) funds awarded to California by the Assistant Secretary for Prevention and Response (ASPR) for health care facilities and emergency medical services (EMS) to develop and maintain disaster preparedness.
- Local pandemic influenza planning funds appropriated from the State General Fund for LHDs to develop and maintain preparedness for pandemic influenza.

Although a Comprehensive Agreement will be used in 2011-2012, each funding source requires a separate Work Plan and Budget, and funds from each funding source must be tracked separately. The following is a breakdown of each funding source and a summary of the corresponding required activities:

Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness (PHEP)

Grant Amount: \$372,902

Grant Cycle: August 10, 2011– August 9, 2012

Required Activities

For 2011-2012, LHDs will place priority on maintaining core public health preparedness capabilities essential for preparedness and overarching public health preparedness capabilities to ensure consistency across jurisdictions. These priority public health preparedness capabilities for 2011-2012 are:

- Core Public Health:
 - Public Health Surveillance and Epidemiologic Investigations
 - Public Health Laboratory Testing
- Overarching:
 - Emergency Operations Coordination
 - Emergency Public Information and Warning
 - Information Sharing,
 - Community Preparedness
 - Medical Countermeasures Dispensing and
 - Medical Materiel Management and Distribution

California State General Fund Pandemic Influenza (GF)

Grant Amount: \$77,870

Grant Cycle: July 1, 2011– June 30, 2012

Approval for the Health Services Agency to Submit an Application to the California Department of Public Health for Funding Allocation of \$747,192 under the 2011-12 Centers for Disease Control Public Health Emergency Preparedness Funding, State General Fund Pandemic Influenza Funding, and U.S. Department of Health and Human Services Assistant Secretary for Prevention and Response Hospital Preparedness Program Funding Agreement

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Required Activities

- Maintain Pandemic Influenza Coordinator and define the role and functions of the coordinator in pandemic influenza response.
- Improve Pandemic Influenza Operational Response Plans
- Meet Pandemic Influenza Reporting Requirements
- Maintain and Strengthen Government-Authorized Alternate Care Sites
- Maintain Local Disaster Healthcare Volunteers (DHV) Program. Support and promote the DHV program for registration and credential verification of volunteer medical and health professionals, including Medical Reserve Corps members.
- Coordinate with Preparedness Activities for At-Risk Populations. Assist and collaborate with the Local HPP Entity with their preparedness activities concerning access to medical care for at-risk populations.

Hospital Preparedness Program (HPP)

Grant Amount: \$296,420

Grant Cycle: July 1, 2011 – June 30, 2012

Required Activities

- Continue Preparedness for the Medical Needs of At-Risk Populations
- Provide Education and Preparedness Training
- Ensure NIMS Compliance
- Develop Exercises, Evaluations and Corrective Actions
- Track Hospital Bed Availability
- Continue Partnership/Coalition Development

POLICY ISSUES:

Approval of this Agreement will enable the Health Services Agency to implement activities to prepare for and respond to public health threats and emergencies. These activities support the Board's priorities of A Safe Community and A Healthy Community.

STAFFING IMPACT:

There are no staffing impacts associated with this item. The Health Services Agency will use existing staff to fulfill the scope of work required in the proposed Agreement.

CONTACT INFORMATION:

Colleen Woolsey, Associate Public Health Director
558-6833

Database
Set of Books

FMSDBPRD.CO.STANISLAUS.CA.US.PROD
County of Stanislaus

| | |
|---------------------|---|
| Balance Type | Budget |
| Category | * List - Text Budget - Upload |
| Source | * List - Text |
| Currency | * List - Text USD |
| Budget Name | List - Text LEGAL BUDGET |
| Batch Name | Text |
| Journal Name | Text |
| Journal Description | Text Increase PH FY12 Adjust Budget to Actual Award |
| Journal Reference | Text Increase PH FY12 Adjust Budget to Actual Award |
| Organization | List - Text Stanislaus Budget Org |

| Upl | Fund | Org | Acc't | GL Proj | Loc | Misc | Other | Debit | | Credit | | Period | Line Description |
|-----|------|---------|-------|---------|--------|--------|----------------------------|---------------------|---------------------|------------------|------------------|--------|------------------------------------|
| | | | | | | | | incr appropriations | decr appropriations | decr est revenue | incr est revenue | | |
| 4 | 7 | 5 | 7 | 6 | 6 | 5 | (format: number + general) | | MMM-YY | List - Text | Text | | |
| | 1402 | 1428616 | 50000 | 0000000 | 000000 | 000000 | 000000 | 47,523.00 | | | | Sep-11 | Inc. Salaries and wages |
| | 1402 | 1428616 | 52000 | 0000000 | 000000 | 000000 | 000000 | 8,932.00 | | | | Sep-11 | Inc. Retirement |
| | 1402 | 1428616 | 52010 | 0000000 | 000000 | 000000 | 000000 | 3,670.00 | | | | Sep-11 | Inc. Fica |
| | 1402 | 1428616 | 53020 | 0000000 | 000000 | 000000 | 000000 | 258.00 | | | | Sep-11 | Inc. Unemployment insurance |
| | 1402 | 1428616 | 53000 | 0000000 | 000000 | 000000 | 000000 | 9,113.00 | | | | Sep-11 | Inc. Group health insurance |
| | 1402 | 1428616 | 54000 | 0000000 | 000000 | 000000 | 000000 | 793.00 | | | | Sep-11 | Inc. Workers compensation insuranc |
| | 1402 | 1428616 | 55130 | 0000000 | 000000 | 000000 | 000000 | 450.00 | | | | Sep-11 | Inc. Deferred comp mgmt/confidenti |
| | 1402 | 1428616 | 55120 | 0000000 | 000000 | 000000 | 000000 | 22.00 | | | | Sep-11 | Inc. Employee Assistance Program |
| | 1402 | 1428616 | 53051 | 0000000 | 000000 | 000000 | 000000 | 30.00 | | | | Sep-11 | Inc. Administration Fee |
| | 1402 | 1428616 | 87500 | 0000000 | 000000 | 000000 | 000000 | 7,079.00 | | | | Sep-11 | Inc. Intrafund charges |
| | 1402 | 1428616 | 25000 | 0000000 | 000000 | 000000 | 000000 | | | 77,870.00 | | Sep-11 | Inc. State -Other |
| | 1402 | 1428615 | 28800 | 0000000 | 000000 | 000000 | 000000 | | | 64,753.00 | | Sep-11 | Inc. Federal Revenue |
| | 1402 | 1428615 | 65780 | 0000000 | 000000 | 000000 | 000000 | 64,753.00 | | | | Sep-11 | Inc. Education & Training |
| | 1402 | 1428613 | 28800 | 0000000 | 000000 | 000000 | 000000 | 31,583.00 | | | | Sep-11 | Dec. Federal Revenue |
| | 1402 | 1428613 | 62400 | 0000000 | 000000 | 000000 | 000000 | | | 31,583.00 | | Sep-11 | Misc. Expense |

Totals: 174,206.00 174,206.00

Explanation: Adjust PH FY12 Budget to Actual Awards

| | | | |
|---|--|------------------|--|
| Requesting Department | CEO | Data Entry | Auditors Office Only |
| Laura Shinn Signature 9/26/2011 Date | <i>Laura Shinn</i> Signature 9-26-11 Date | Keyed by Date | Prepared By Date |
| | | | <i>[Signature]</i> Approved By 9-26-11 Date |

Exhibit B, Attachment 1 Criteria for Payments
2011-12 CDC Public Health Emergency Preparedness (PHEP), State General Fund (GF) Pandemic Influenza,
HHS Hospital Preparedness Program (HPP) Funding
2011-12 Agreement

| | | CDC PHEP Base and Cities Readiness Initiative (CRI) | Reference Lab Allocations (\$217,740 total to each Reference Lab) | Lab Trainee Stipends (\$30,000 each) | Lab Training Assistance Grants (\$15,500 each) | HPP | State GF Pandemic Influenza |
|--------------------------|-----------------|--|--|--|---|--|--|
| 1st Payment | Criteria | CDPH must receive following signed Agreement documents: <ul style="list-style-type: none"> Signed Agreement Page Non Supplantation Certification Form Certification Regarding Lobbying Submission of Work Plan Submission of Budget | CDPH must receive following signed Agreement documents: <ul style="list-style-type: none"> Signed Agreement Page Non Supplantation Certification Form Certification Regarding Lobbying Submission of Work Plan Submission of Budget | CDPH must receive the following: <ul style="list-style-type: none"> Signed Agreement documents Lab trainee(s) must be included in Lab budget A copy of the LFS letter approving the trainee Name and proposed hire date of the trainee A training plan that provides 6 months of training with a completion date no later than Aug 9, 2012. Only 12 traineeships available | LHD must: <ul style="list-style-type: none"> be an LRN Sentinel laboratory and submitted signed Agreement documents have applied for and received approval for at least one lab trainee stipend be a member of a training consortium with at least one other county indicate what other county(ies) are part of the consortium and provide a letter from at least one other consortium partner agreeing to the arrangement. | CDPH must receive following signed Agreement documents: <ul style="list-style-type: none"> Signed Agreement Page Non Supplantation Certification Form Certification Regarding Lobbying Five Letters of Support (Refer to the 11-12 Application Guidance) Submission of Work Plan Submission of Budget Submission of Health Care Facility (HCF) Form | CDPH must receive following signed Agreement documents: <ul style="list-style-type: none"> Signed Agreement Page Non Supplantation Certification Form Certification Regarding Lobbying Submission of Work Plan Submission of Budget |
| | Payment | 25% of CDC PHEP Base and/or CRI Allocation | 25% of Lab Allocation (not including lab trainees) | Award is dependent on number of trainees requested AND availability of traineeships AND submission of all required documents | Award is dependent on availability of assistantship funds AND meeting all requirements above | 25% of HPP H1N1 Allocation | 25% of State GF Pandemic Influenza Allocation |
| 2nd Payment | Criteria | <ul style="list-style-type: none"> 1st Payment Criteria must be met the Work plan and Budget must be approved All required application documents must be submitted | <ul style="list-style-type: none"> 1st Payment Criteria must be met the Work plan and Budget must be approved All required application documents must be submitted | N/A | N/A | <ul style="list-style-type: none"> 1st Payment Criteria must be met the HPP Work Plan and HPP Budget must be approved An invoice with actual HPP expenditures above the 25% advance amount must be submitted to CDPH All required application documents must be | <ul style="list-style-type: none"> 1st Payment Criteria must be met the CDC Work Plan and Budget must be approved An invoice with actual State GF Pan Flu expenditures above the 25% advance amount must be submitted to CDPH All required application documents must be |
| | Payment | 25% of CDC PHEP Base and/or CRI Allocation | 25% of Lab Allocation (not including lab trainees) | N/A | N/A | CDPH will pay the Local HPP Entity for actual expenditures above the 25% advance (i.e. - if a local entity submits and invoice for 35%, CDPH will pay them 10% because the local entity already received 25%). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement. | CDPH will pay the LHD for actual expenditures (above the 1st payment) |
| 3rd Payment | Criteria | <ul style="list-style-type: none"> 1st & 2nd Payment Criteria must be met prior year-end progress report must be submitted | <ul style="list-style-type: none"> 1st & 2nd Payment Criteria must be met prior year-end progress report must be submitted | N/A | N/A | <ul style="list-style-type: none"> 1st & 2nd Payment Criteria must be met prior year-end progress report must be submitted An invoice with actual HPP expenditures must be submitted to CDPH | <ul style="list-style-type: none"> 1st & 2nd Payment Criteria must be met prior year-end progress report must be submitted An invoice with actual State GF Pan Flu expenditures must be submitted to CDPH |
| | Payment | 25% of CDC PHEP Base and/or CRI Allocation | 25% of Lab Allocation (not including lab trainees) | N/A | N/A | CDPH will pay the Local HPP Entity for actual expenditures (above the 2nd payment). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement. | CDPH will pay the LHD for actual expenditures (above the 2nd payment) |
| Final Payment | Criteria | <ul style="list-style-type: none"> 1st, 2nd & 3rd Payment Criteria must be met current mid-year progress report must be submitted | <ul style="list-style-type: none"> 1st, 2nd & 3rd Payment Criteria must be met current mid-year progress report must be submitted | N/A | N/A | <ul style="list-style-type: none"> 1st, 2nd & 3rd Payment Criteria must be met current mid-year progress report must be submitted An invoice with actual HPP expenditures must be submitted to CDPH | <ul style="list-style-type: none"> 1st, 2nd & 3rd Payment Criteria must be met current mid-year progress report must be submitted An invoice with actual State GF Pan Flu expenditures must be submitted to CDPH |
| | Payment | 25% of CDC PHEP Base and/or CRI Allocation | 25% of Lab Allocation or remaining balance | N/A | N/A | CDPH will pay the Local HPP Entity for actual expenditures (above the 3rd payment). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement. | CDPH will pay the LHD for actual expenditures (above the 3rd payment) |

**EXHIBIT E
NON-SUPLANTATION CERTIFICATION FORM**

**2011-12 CDC Public Health Emergency Preparedness (PHEP), State General Fund
(GF) Pandemic Influenza, HHS Hospital Preparedness Program (HPP)
Fiscal Year 2011-2012**

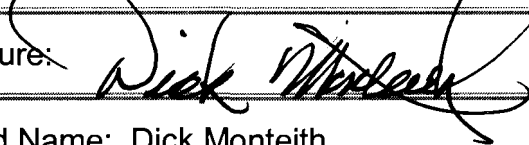
Stanislaus County

(County/City and Name of Local Health Department and/or Local HPP Entity)

I hereby certify that the above-named local health department (LHD) and/or Local HPP Entity shall not use funds allocated by the California Department of Public Health (CDPH) to supplant funding for existing levels of service and that funds shall only be used for the purposes specified in the Fiscal Year (FY) 2011-2012 CDC Public Health Emergency Preparedness (PHEP), State General Fund (GF) Pandemic Influenza, HHS Hospital Preparedness Program (HPP) Funding Agreement as approved by the CDPH.

I further certify that funds received shall be deposited in an interest-bearing Local Public Health Preparedness Trust Fund as per the Health and Safety Code 101317 and expended only for the purposes stated in the LHDs and/or Local HPP Entity's Grant Application Work Plan and Budget, as approved by the CDPH.

Chairperson, Board of Supervisors, Mayor of a City or designee:

| |
|--|
| Signature:  |
| Printed Name: Dick Monteith |
| Title: Chairman, Stanislaus County Board of Supervisors |
| Phone: 209-525-4445 |
| Date: OCT 04 2011 |

Please return the original signed certification with your FY 2011-2012 CDC PHEP, State GF Pandemic Influenza, HPP Funding Agreement Funding Agreement to:

California Department Public Health
Emergency Preparedness Office
Attn: Local Management Unit
MS 7002
P.O. Box 997377
Sacramento, CA 95899-7377

**2011-12 CDC Public Health Emergency Preparedness (PHEP), State General Fund (GF)
Pandemic Influenza, HHS Hospital Preparedness Program (HPP) Funding
AGREEMENT**

CDC CFDA # 93.069; HPP CFDA # 93.889; State GF Pan Flu - Chapter 33

1. This Agreement is entered into between the California Department of Public Health, herein after referred to as “CDPH” and the County of Stanislaus, herein after referred to as “LHD” and/or “Local HPP Entity”.
2. The term of this Agreement is:
 - August 10, 2011 through August 9, 2012 PHEP (Centers for Disease Control and Prevention [CDC])
 - July 1, 2011 through June 30, 2012 (Hospital Preparedness Program [HPP])
 - July 1, 2011 through June 30, 2012 (State GF Pandemic Influenza)
3. The maximum amount payable under this Agreement is \$747,192, and is allocated as follows:
 - \$372,902 PHEP CDC Base Allocation. (8/10/11 – 8/9/12)
 - \$0, Laboratory Allocation. (8/10/11 – 8/9/12)
 - \$0, Laboratory Trainee Stipends. (8/10/11 – 8/9/12)
 - \$0, Laboratory Training Assistance Grant. (8/10/11 – 8/9/12)
 - \$0, Cities Readiness Initiative Funds. (8/10/11 – 8/9/12)
 - \$296,420 HPP Allocation. (7/1/11 – 6/30/12)
 - \$77,870 State GF Pandemic Influenza Allocation. (7/1/11 – 6/30/12)
4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of this Agreement.

| | |
|---|----------|
| Exhibit A — Scope of Work | 03 Pages |
| Exhibit B — Budget Detail and Budget Provisions | 04 Pages |
| Exhibit B, Attachment 1, Criteria for Payments | 01 Page |
| Exhibit C — Additional Provisions | 03 Pages |
| Exhibit D(F) — Special Terms and Conditions (Federal) | 25 Pages |
| Notwithstanding provisions 3, 4, 6, 12, 13, 17, 22, 23, 27, and 30 which do not apply to this Agreement. | |
| Exhibit E – Non-Supplantation Certification Form | 01 Page |

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

| CONTRACTOR | |
|--|---|
| CONTRACTOR'S NAME | |
| County of Stanislaus | |
| BY (<i>Authorized Signature</i>) | DATE SIGNED (Do not type -signor must date) |
| PRINTED NAME AND TITLE OF PERSON SIGNING | |
| Mary Ann Lee, Managing Director | |
| ADDRESS | |
| 830 Scenic Dr, Modesto CA 95350 | |
| STATE OF CALIFORNIA | |
| AGENCY NAME | |
| California Department of Public Health | |
| BY (<i>Authorized Signature</i>) | DATE SIGNED |
| ADDRESS | |
| 1615 Capitol Avenue, MS 7002, P.O. Box 997377, Sacramento, CA 95899-7377 | |