

THE BOARD OF SUPERVISORS OF THE COUNTY OF STANISLAUS
ACTION AGENDA SUMMARY

DEPT: Chief Executive Office

BOARD AGENDA # *B-2

Urgent Routine

AGENDA DATE September 14 2010

CEO Concurs with Recommendation YES NO
(Information Attached)

4/5 Vote Required YES NO

SUBJECT:

Adopt the County's Final Capital Improvement Plan (CIP) for Fiscal Year 2010-2011 Based on the Stanislaus County Planning Commission Determination of Conformance with the County's General Plan

STAFF RECOMMENDATIONS:

1. Adopt the County's Final Capital Improvement Plan (CIP) for Fiscal Year 2010-2011 now that the Stanislaus County Planning Commission has found it in conformance with the County's General Plan.
2. Authorize staff to produce the Final Capital Improvement Plan for Fiscal Year 2010-2011 for distribution with the Fiscal Year 2010-2011 Final County Budget Addendum.

FISCAL IMPACT:

The Final Capital Improvement Plan for Fiscal Year 2010-2011 identifies 149 capital improvement projects totaling \$1,323,372,374 in total estimated project costs. An estimated \$506,653,453 (or 38.3%%) of the total project costs would be funded by either State or Federal funds, grants or other non-County sources, leaving \$159,530,989 (or 12%) in total project funding yet to be identified prior to project implementation. The portion of the total estimated project costs for which Stanislaus County would be responsible for is \$657,187,932, or 49.7% of the estimated total project costs.

(Continued on Page 2)

BOARD ACTION AS FOLLOWS:

No. 2010-560

On motion of Supervisor O'Brien, Seconded by Supervisor Chiesa

and approved by the following vote,

Ayes: Supervisors: O'Brien, Chiesa, Monteith, DeMartini, and Chairman Grover

Noes: Supervisors: None

Excused or Absent: Supervisors: None

Abstaining: Supervisor: None

1) Approved as recommended

2) Denied

3) Approved as amended

4) Other:

MOTION:



ATTEST:

CHRISTINE FERRARO TALLMAN, Clerk

File No.

FISCAL IMPACT: (Continued)

The total project costs include planning, environmental analysis, engineering and design and project management plus land acquisition, construction and all other expenses directly related to implementation of the project. Major equipment acquisition projects include the actual cost of procurement, specification, bidding and all other costs directly related to the specific items that are purchased. All internal staffing costs and the costs of consultants and designers are included in the project cost.

The estimated costs are identified individually for each of the Final 149 projects in the full Final Capital Improvement Plan document (available from the Clerk of the Board and available on the County's website at <http://www.stancounty.com/capitalprojects/>)

Funding for the County's portion of the total project cost is reported in total for each project and may include the use of enterprise or dedicated-use special funds, the County's portion of "match" for grant funding, fee revenues, proceeds from a public financing or General Funds. Those parts of projects that are related to providing an expansion of County services to new growth may incorporate Public Facilities Fees (PFF or "growth impact" fees.)

Inclusion of projects in this Final CIP Project List, or adopted into the Final CIP by the Board of Supervisors each year are not automatically authorized by the Board to proceed. The Board of Supervisors must approve each project plan concept or acquisition and a funding plan and schedule. Routine acquisitions may be approved by the Board of Supervisors as a part of the County's budget process. Projects utilizing growth impact funds through the Public Facilities Fees program must have prior approval of the PFF Review Committee and Board of Supervisors' approval.

The Final CIP Project List for Fiscal Year 2010-2011 analyzes only those estimated project costs and funding sources for projects that are either:

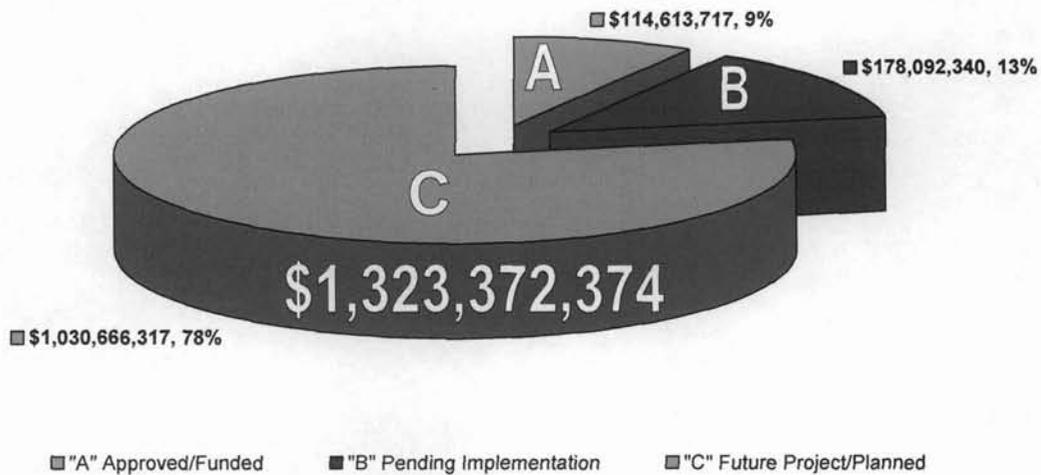
- | | | |
|----|---------------------------------|--|
| A) | "Approved/Funded" | Projects that have been approved and funded by the Board of Supervisors |
| B) | "Pending Implementation" | Are high-priority projects that are expected to be considered by the Board of Supervisors within the next few years, but for which the funding or conceptual planning effort is currently underway; or |
| C) | "Future Project/Master Planned" | Future projects that are included as part of a long-range Master Plan that has been adopted by the Board of Supervisors. |

A fourth category of projects, "Future Project/Pending Analysis" are not included in the totals above as these 72 conceptual projects have only been identified as a need –

further study of costs and benefits of these projects has yet to be conducted in significant detail. These project concepts are identified in the Final CIP document as "Category D – Future Project/Pending Analysis."

Of the 149 total requested projects, 26 projects are within the "Approved/Funded" category at a total estimated cost of \$114,613,717, 50 projects are within the "Pending Implementation" category at an estimated cost of \$178,092,340, and 73 projects are within the "Future Project/Master Planned" category and fall within a Board of Supervisors' approved master plan at an estimated cost of \$1,030,666,317.

**Estimated Cost of CIP Projects
Implementation Category A, B, C
Excludes D Projects**



DISCUSSION:

The Stanislaus County Capital Improvement Plan (CIP) provides a prioritized list of all major, one-time capital expenditures for acquisition, design and development of County facility and infrastructure needs and for acquisition of major equipment and technology improvements over the next twenty years. Providing a list of anticipated projects provides a long-range planning and budgeting tool and a description of the needs and timing for major capital investment.

The list of projects can also be reviewed in terms of the priorities established by the Board of Supervisors. Each project is assigned to a Board priority based on the "lead department." Each County department is assigned to a Board priority as reflected in the County organizational chart. Each Capital Improvement Plan project is assigned to a "lead department" that is responsible for implementation of the project.

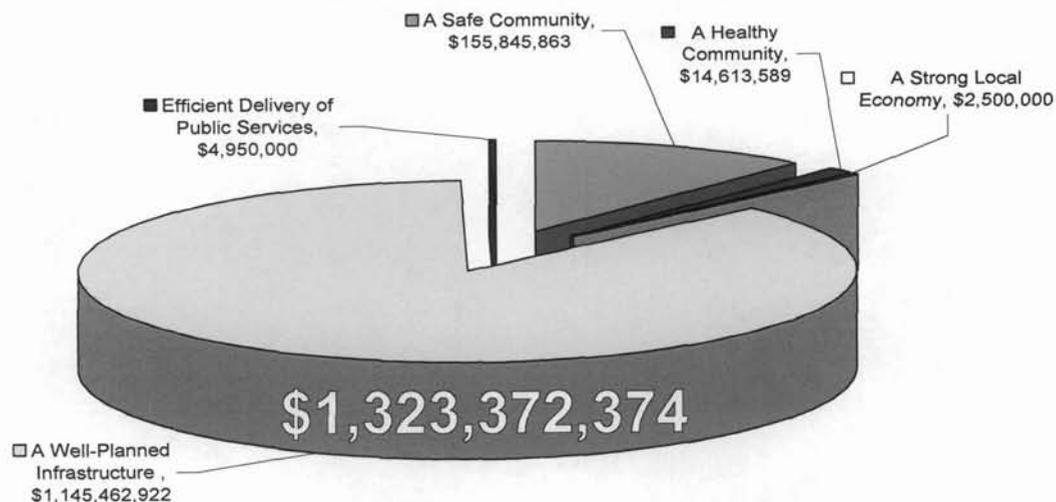
Of the total 149 requested projects, A Safe Community has five projects at \$155,845,863, A Healthy Community has five projects at \$14,613,589, A Strong Local

**Comparison of Final CIP Projects in Fiscal Year 2010-2011 to Fiscal Year 2009-2010
 By Project Implementation Category**

	Adopted CIP FY 2009-2010		Final CIP FY 2010-2011	
Implementation Category	# of Projects	Est. Cost of Projects	# of Projects	Est. Cost of Projects
"A" - Project Approved/Funded	35	\$173,420,967	26	\$114,613,717
"B"-Pending Implementation	46	\$166,843,593	50	\$178,092,340
"C"-Future Project/Master Planned	68	\$1,756,686,140	73	\$1,030,666,317
TOTAL	149	\$2,096,950,700	149	\$1,323,372,374
"D"-Future Project/Pending Analysis	75	[a]	72	[a]

[a] = Project cost is not estimated for projects pending further analysis.

**Comparison of Project Costs by Board Priority
 Excludes Cost of D Projects**



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 with the County's General Plan
 Page 5

Economy has one project at \$2,500,000, A Strong Agricultural Economy/Heritage has no projects for this fiscal year, A Well-Planned Infrastructure System has 134 projects valued at \$1,145,462,922 and Efficient Delivery of Public Services has four projects at \$4,950,000 for a Grand Total of \$1,323,372,374.

The requested CIP projects are overwhelmingly geared toward achieving the priority of A Well-Planned Infrastructure System. This priority includes all of the Public Works projects and planned expenditures for roads and traffic improvements – a total of 86.6% of the entire Final CIP. Most of these projects, along with Transit projects, are funded by County Road funds, and/or dedicated State and Federal funds. The Project List may change from time to time based on the availability of funding, population growth, changes in County service delivery, sale and acquisition of property, and the Board's priorities.

The CIP provides the County an excellent opportunity to: review our capital needs, establish priorities, review schedules, identify funding sources, monitor and evaluate the progress of capital projects, and inform the public of projected capital improvements and unfunded needs. The estimated project cost and source of funds for the project are identified – in some cases by detailed project cost estimates and funding plans, and in other long range projects as a rough estimate of costs – project funding sources may not yet known for many projects. In each case, project costs and funding are best estimates that are refined annually.

CIP Projects by County Department

Name of County Department	Number of CIP Projects	Total Estimated	Potential Funding Sources		Funding
		Cost of Projects	Total County Funding	Other Funding Sources	Sources Not Yet Identified
Behavioral Health and Recovery Services	1	\$4,144,334	\$287,513	\$3,856,821	\$0
Chief Executive Office/Capital Projects	1	\$2,500,000	\$2,500,000	\$0	\$0
Chief Executive Office/Public Information	2	\$1,150,000	\$0	\$0	\$1,150,000
Community Services Agency	2	\$6,436,000	\$136,000	\$6,300,000	\$0
Environmental Resources/Landfill	6	\$22,425,000	\$925,000	\$0	\$21,500,000
Health Services Agency	2	\$4,033,255	\$2,530,906	\$829,730	\$672,619
Library	1	\$2,500,000	\$2,303,000	\$197,000	\$0
Parks and Recreation	14	\$19,379,730	\$1,916,940	\$3,054,110	\$14,408,680
Planning/Community Development	3	\$22,700,000	\$600,000	\$15,000,000	\$7,100,000
Probation	2	\$24,821,173	\$6,821,173	\$18,000,000	\$0
Public Works/Roads and Traffic	98	\$1,066,100,000	\$608,127,400	\$457,972,600	\$0
Public Works/Transit	13	\$14,858,192	\$0	\$1,443,192	\$13,415,000
Sheriff	3	\$131,024,690	\$30,540,000	\$0	\$100,484,690
Strategic Business Technology	1	\$1,300,000	\$500,000	\$0	\$800,000
TOTAL	149	\$1,323,372,374	\$657,187,932	\$506,653,453	\$159,530,989

These numbers exclude all D Projects which are listed on a separate lead sheet with no dollar values.

The CIP includes projects that are underway or planned for the future. Unfunded projects in the CIP indicate current and future unmet needs and are included for planning purposes. While the CIP covers a 20-year planning horizon, the document will be updated annually to reflect ongoing changes as new projects are added, existing projects are modified, and completed projects are deleted from the plan.

It is important to note that the CIP is not a budget document but rather a planning tool to be used in conjunction with the budget document. Board adoption of a Capital Improvement Plan does not provide approval or funding for individual projects in the CIP. Each defined project requires its own implementation and financing plan, environmental impact findings, and subsequent approval by the County Board of Supervisors.

Project Categorization – Implementation Category

Projects are categorized in the Capital Improvement Plan Project List as follows:

“A” - Approved/Funded – Includes those projects that have either been approved by the Board or for which funding sources have been budgeted.

“B” - Pending Project Implementation – Includes those projects that have been discussed with, but not necessarily approved by, the Board of Supervisors. In some cases, projects “pending implementation” may be waiting additional funding or approval prior to proceeding.

“C” - Future Project - Master Planned – Includes those projects included in a Board approved master plan, but for which full funding has not been identified and further specific review of the project has not been completed.

“D” - Future Project - Pending Analysis – Includes Final projects awaiting further Board direction, further business case justification, or identification of potential funding sources. Projects categorized as D Future Projects-Pending Analysis are listed in name only, but are excluded from the summary cost totals of the Project List.

The Final Capital Improvement Plan Project List is organized by Board priority, consistent with the County's budget document. The Final project list is categorized according to each project's current implementation status: A, B, C, or D. Projects are grouped by lead department within each priority and a detailed description of each project is provided in the tabs identifying the Board of Supervisors' priorities.

The table below shows the number of projects that the County currently has in implementation categories A, B and C, and their associated estimated project cost,

County funding obligation, funding from other sources, and the amount of funding not yet identified.

Capital Improvement Plan Implementation Category 2010-2011

Implementation Category	Number of CIP Projects	Total Estimated Cost of Projects	Potential Funding Sources		Funding Sources Not Yet Identified
			Total County Funding	Other Funding Sources	
"A"--Approved/Funded	26	\$114,613,717	\$30,753,974	\$83,859,743	\$0
"B"--Pending Implementation	50	\$178,092,340	\$35,603,400	\$127,846,710	\$14,642,230
"C"--Future Project/Master Planned	73	\$1,030,666,317	\$590,830,558	\$294,947,000	\$144,888,759
TOTAL	149	\$1,323,372,374	\$657,187,932	\$506,653,453	\$159,530,989

*These numbers exclude all "D"--Future Project/Pending Analysis projects which are listed on a separate lead sheet with no dollar values.

A comprehensive review of proposed Capital Improvement Plan projects is conducted each year by all County departments and other key stakeholders. This review and update of needs is conducted to coincide with departmental development of their proposed budget needs for the coming year. Projects from the prior years' Final Capital Improvement Plan adopted by the Board of Supervisors are reviewed and updated with the most recent planning information pertaining to scope of work, cost, implementation schedule, project status, operational and budgetary impacts and Board approval status.

The updated list of project needs is reviewed by staff to determine the most appropriate implementation category. The reviewed project list is returned to the departments for final review, and then published for review and approval of the Board of Supervisors as the Proposed Capital Improvement Plan Project List.

On July 20, 2010, the full proposed CIP was presented to the Board of Supervisors for consideration. At that time, the Board of Supervisors authorized the staff to submit the plan to the County Planning Commission for their consideration.

Capital Improvement Plan/General Plan Consistency

Pursuant to California Government Code §65401, a County's Capital Improvement Program must be submitted to the County Planning Commission for review in order to determine "conformity with the adopted General Plan or part thereof." The Department of Planning and Community Development prepared a summary report for the Planning Commission to review. On August 19, 2010, the Stanislaus County Planning Commission considered the consistency of the Proposed Capital Improvement Plan and found that:

1. The proposed activities, projects, and acquisitions as described in the Capital Improvement Plan under the categories identified as "Approved/Funded", "Pending Implementations", "Future Project Master Planned", and "Future Project-Pending Analysis" are consistent with the various goals, policies and implementation

measures as defined in the General Plan, and therefore are in conformance with the General Plan as a whole;

2. Found that acquisitions of all equipment, easements, properties, or rights-of-way necessary for implementation of the projects identified in the CIP would, by the direct relationship to the projects described, were also considered to be consistent with the General Plan; and
3. Directed staff to forward the analysis report and Commission findings to the Stanislaus County Board of Supervisors.

In this action, the Final Capital Improvement Plan is returned to the Board of Supervisors for adoption.

POLICY ISSUES:

Approval of this agenda item to adopt the Final Capital Improvement Plan for Fiscal Year 2010-2011 will address the Board of Supervisors' priority of Efficient Delivery of Public Services by ensuring the County is in compliance with all California regulations. All of the Board of Supervisors priorities are the focus of current and future capital improvement investments.

The Board of Supervisors approval of the Final Capital Improvement Plan for Fiscal Year 2010-2011 does not constitute approval to proceed with any specific project. Each project individually requires review by the Board of Supervisors and authorization must be given by the Board prior to proceeding.

STAFFING IMPACT:

Existing Staff from the Chief Executive Office, Department of Public Works and all County Departments that request project consideration in the Capital Improvement Plan are responsible for the preparation of the Plan. There are no additional staffing impacts associated with the Board of Supervisors' approval of the Final Capital Improvement Plan. Each project plan, funding plan and staffing impact will be considered by the Board of Supervisors' separately prior to implementation.

CONTACT PERSON:

Patricia Hill Thomas, Chief Operations Officer. Telephone: (209) 525-6333.

ATTACHMENTS AVAILABLE
FROM YOUR CLERK